

ETH Kolloquium 2017/12/07

# Energy-saving strategy in automatic train operation



Dept. EEIS  
The University of Tokyo

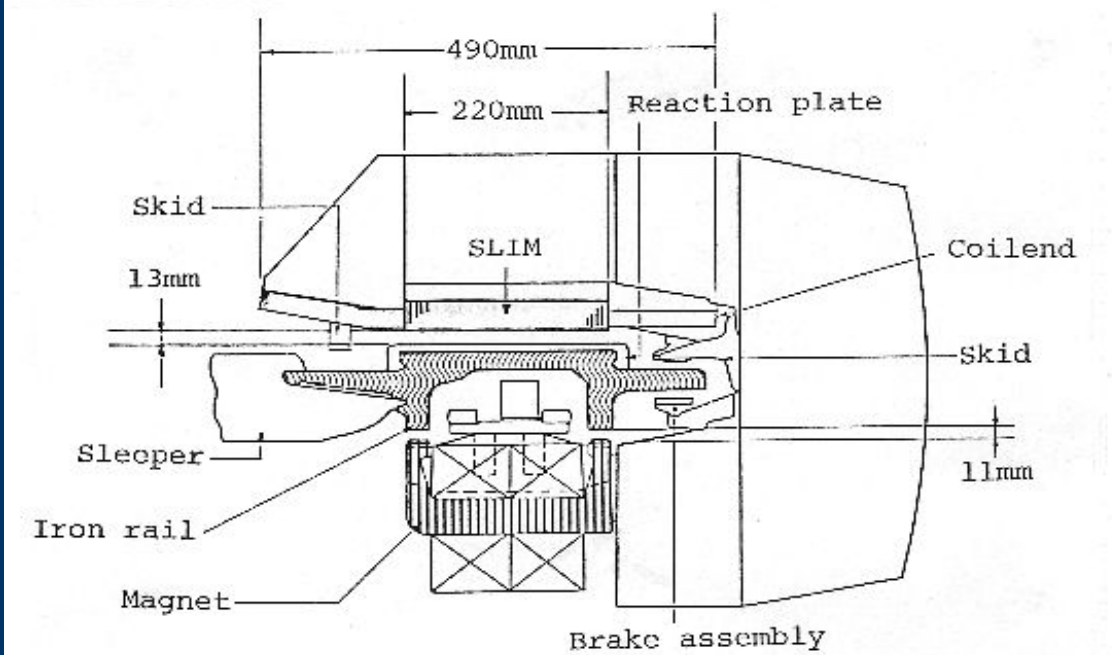
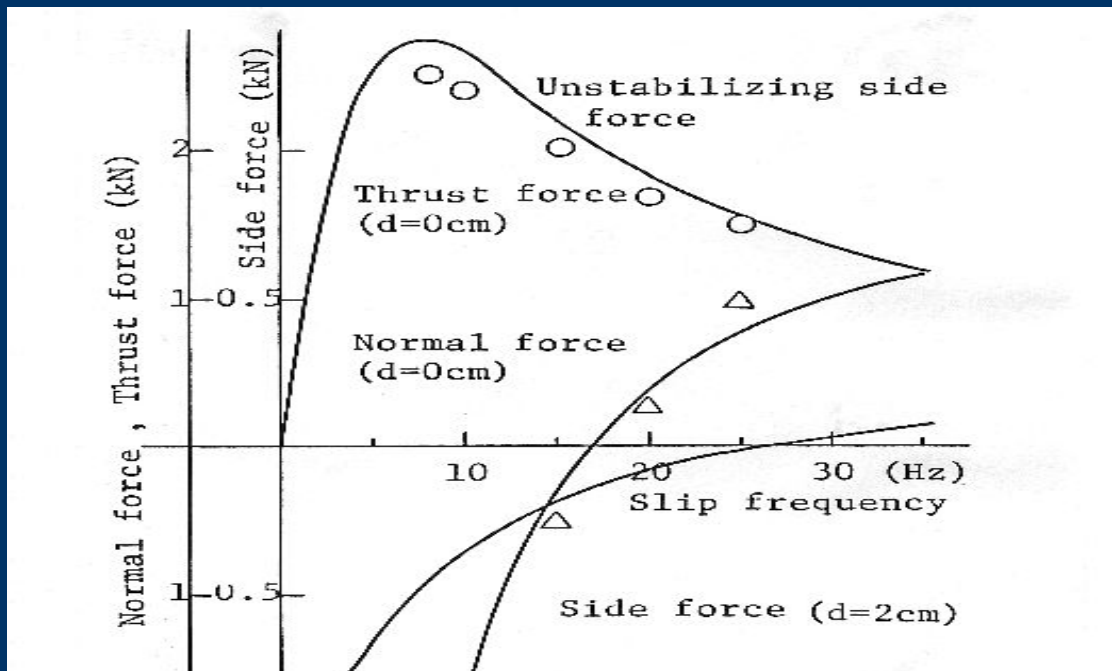
Takafumi KOSEKI

[takafumikoseki@ieee.org](mailto:takafumikoseki@ieee.org)

# Self-introduction



# M-Thesis (1988): Magnetic Wheel



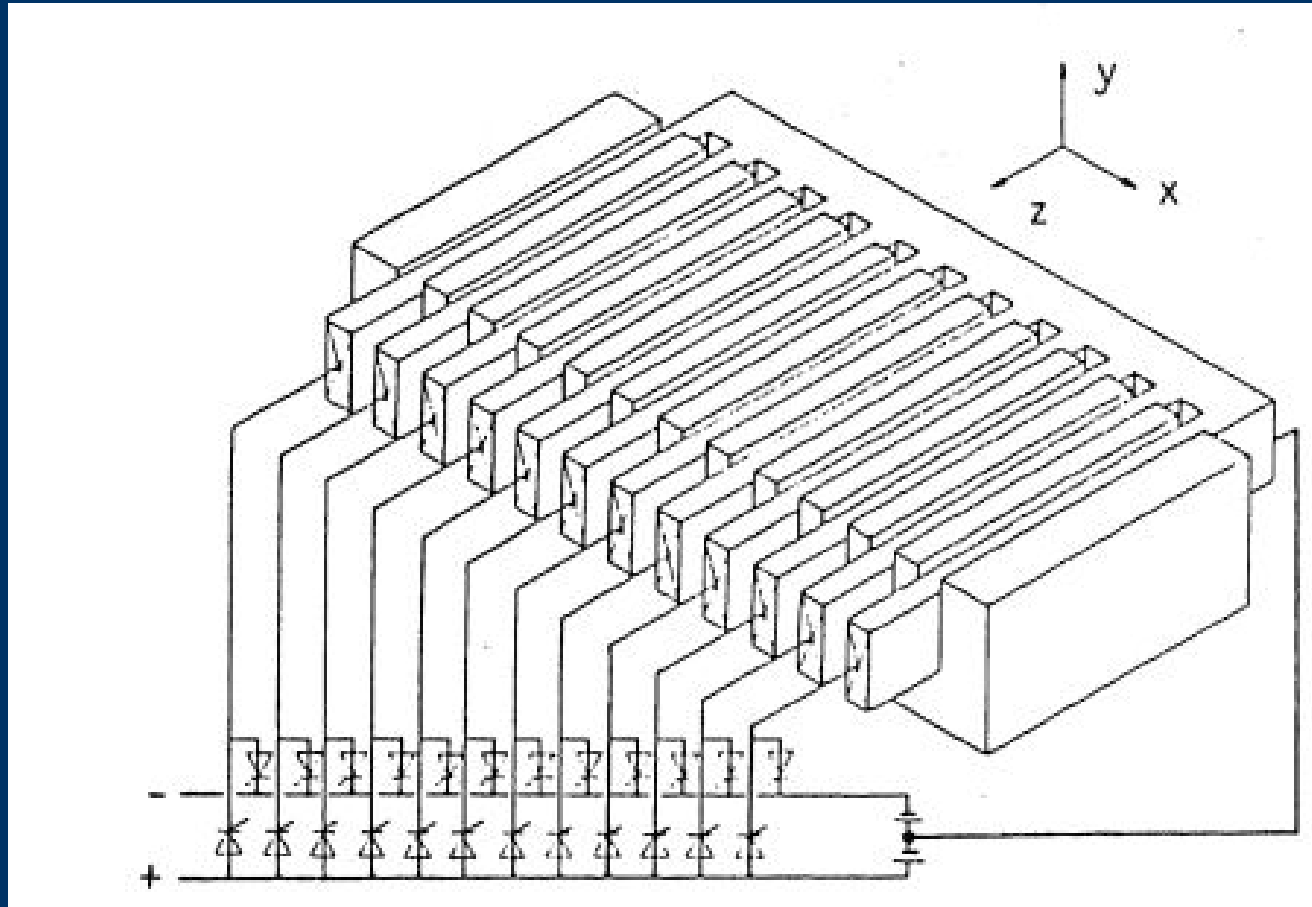
# Germany 1989-1990

## TU Braunschweig



# D-Thesis (1992) : Flux Synthesizing Linear Induction Motor

$$\frac{\partial}{\partial x} \left( \nu_y \frac{\partial A}{\partial x} \right) + \frac{\partial}{\partial y} \left( \nu_x \frac{\partial A}{\partial y} \right) = -J_0 + \sigma \left\{ \frac{\partial A}{\partial t} + v_2 \frac{\partial A}{\partial x} + \frac{\partial \phi}{\partial z} \right\}$$



# ETH-UOT: The first Swiss contacts 1994?

**THE AGS**  
The Alliance for Global Sustainability

Swiss Federal Institute of Technology	The University of Tokyo	Massachusetts Institute of Technology	Chalmers University of Technology
09:05	17:05	04:05	09:05

18 Mar 2015

Home  
[About](#)  
[News](#)  
[Research](#)  
[Education](#)  
[Outreach](#)  
[Publications](#)

**Highlight:**  
**The AGS project is to be re-started during 2014**

The project is no longer active in its current formation.

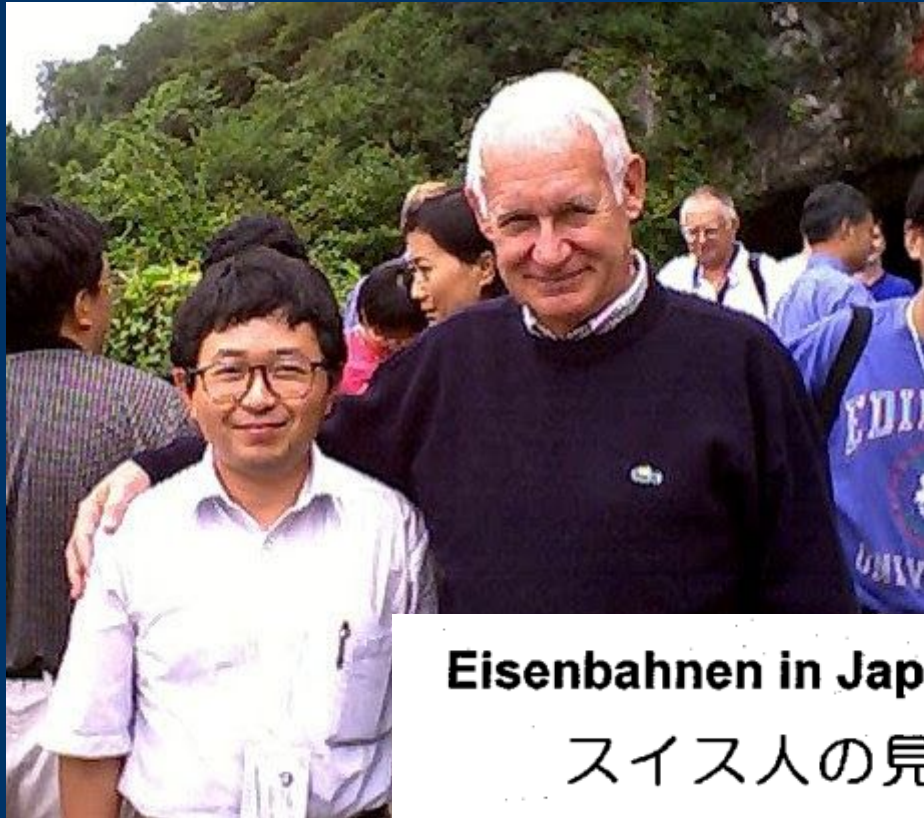
If any questions please contact: [thomas.pettersson@chalmers.se](mailto:thomas.pettersson@chalmers.se)



**Prof. Y. Hori and T. Koseki**

**Prof. Lino Guzzella**

# ETH, SBB, and Swiss contacts 1996-



## Eisenbahnen in Japan - aus Schweizer Sicht スイス人の見た日本の鉄道

Beobachtungen im November 1996

Zusammenfassung - Executive Summary

Rolf Gutzwiller  
Peter Scheidegger  
Hans Schlunegger  
Oskar Stalder

内容要約版

Februar 1997

# Swiss contacts 1996-2017





# Recent activities

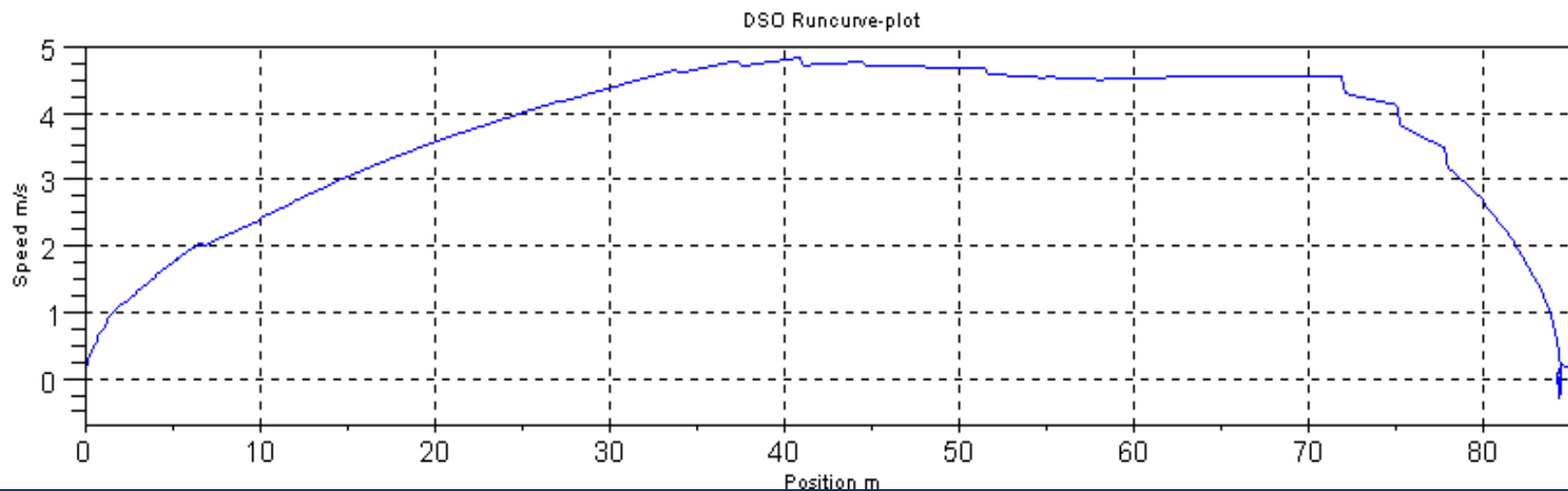
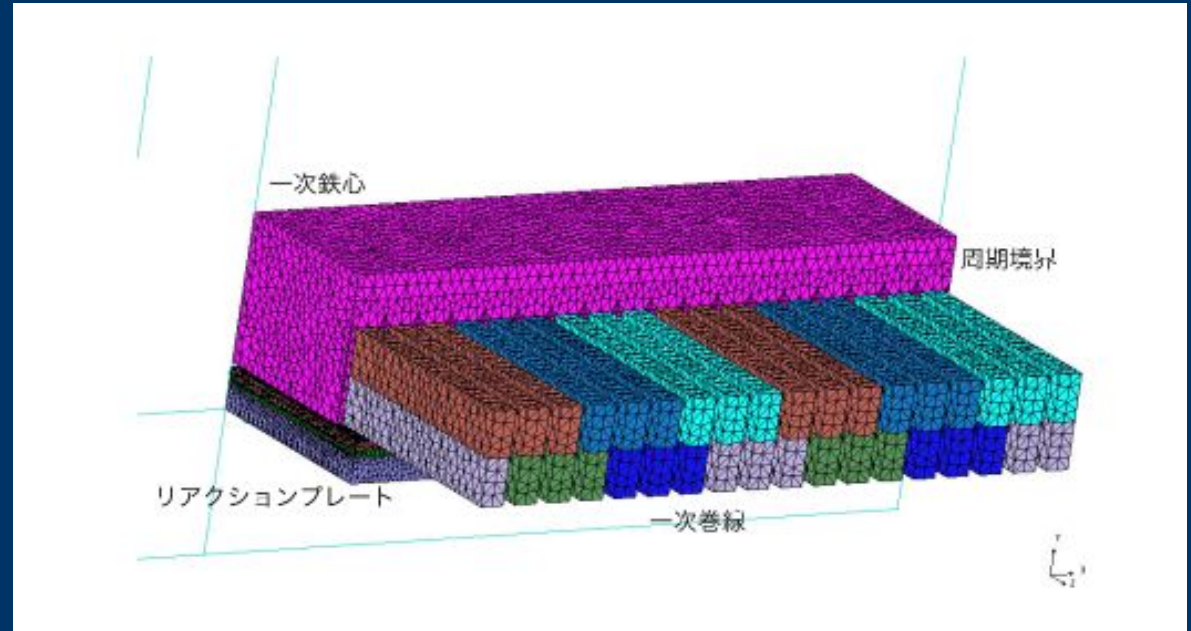
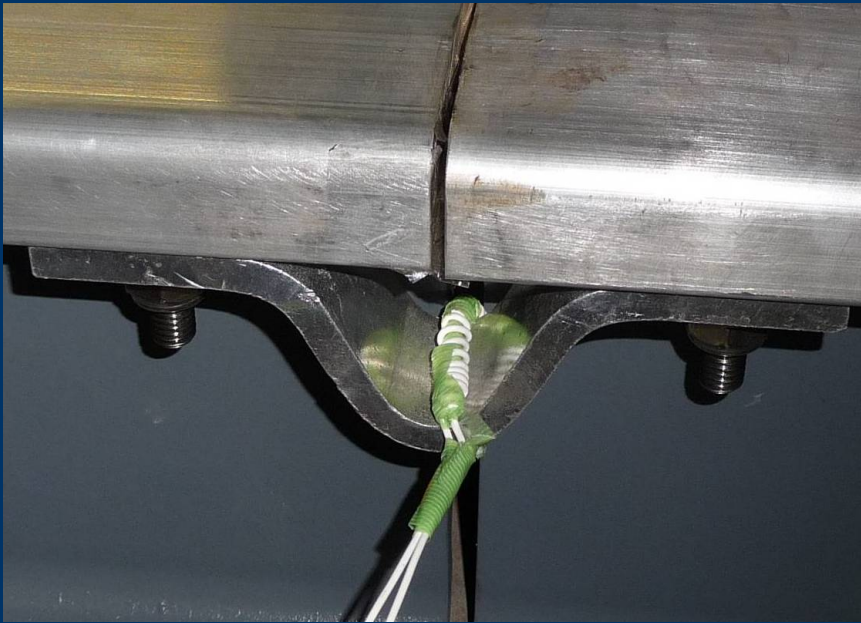


**Koseki Laboratory**



**Transport System & Control Engineering Application**

# Linear Induction Motor



# International standardization

Growing global markets:

Effort of Bombardier  
Korean studies,  
Chinese studies,...



Canadian application in Vancouver

Necessity of International Technical Standard

# Working schedule

1. The 1<sup>st</sup> project meeting at Kyoto, Japan in January 2008
2. The 2<sup>nd</sup> project meeting at Kingston, Canada in December 2008
3. The 3<sup>rd</sup> project meeting at Versaille, France in January 2010.



# Working documents and votes



## PROJECT SCHEDULE

Stage	Document	Date	Target Date
PNW	9/1017/NP	19 January 2007	
ANW	9/1056/RVN	25 May 2007	15 June 2007
1CD	9/1161/CD	11 July 2008	30 June 2008
CDM	9/1209/CC	31 October 2008	30 November 2008
ACDV	9/1209/CC	7 November 2008	31 December 2008
CCDV	9/1269/CDV	29 May 2009	30 June 2009
ADIS	9/1372/RVC	22 January 2010	31 January 2010
DEC		22 December 2010	30 September 2010
RDIS		12 January 2011	15 January 2011
CDIS		31 March 2011	
<b><u>APUB</u></b>		<b><u>31 May 2011</u></b>	

# Published in 2011

# Publication: IEC-62520

62520 © IEC:2011

– 7 –

## RAILWAY APPLICATIONS – ELECTRIC TRACTION – SHORT-PRIMARY TYPE LINEAR INDUCTION MOTORS (LIM) FED BY POWER CONVERTERS

### 1 Scope

This International standard applies to short-primary type linear induction motors (LIM) for propelling rail and road vehicles.

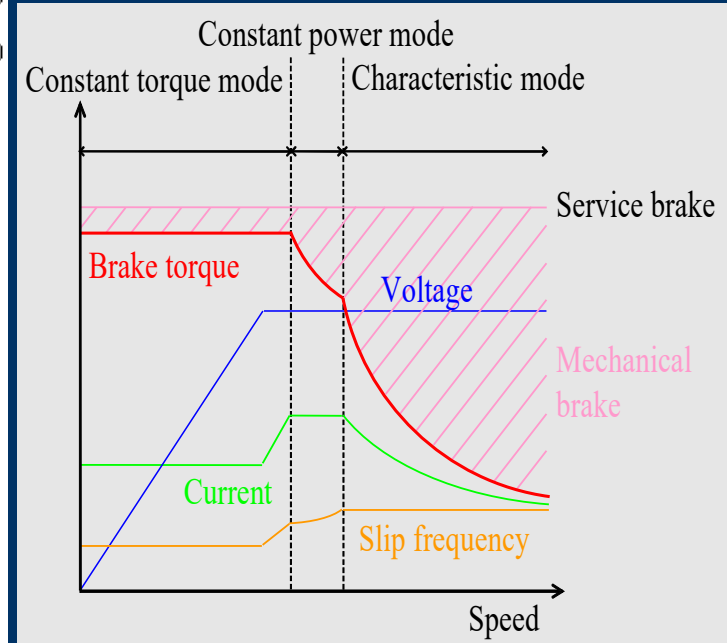
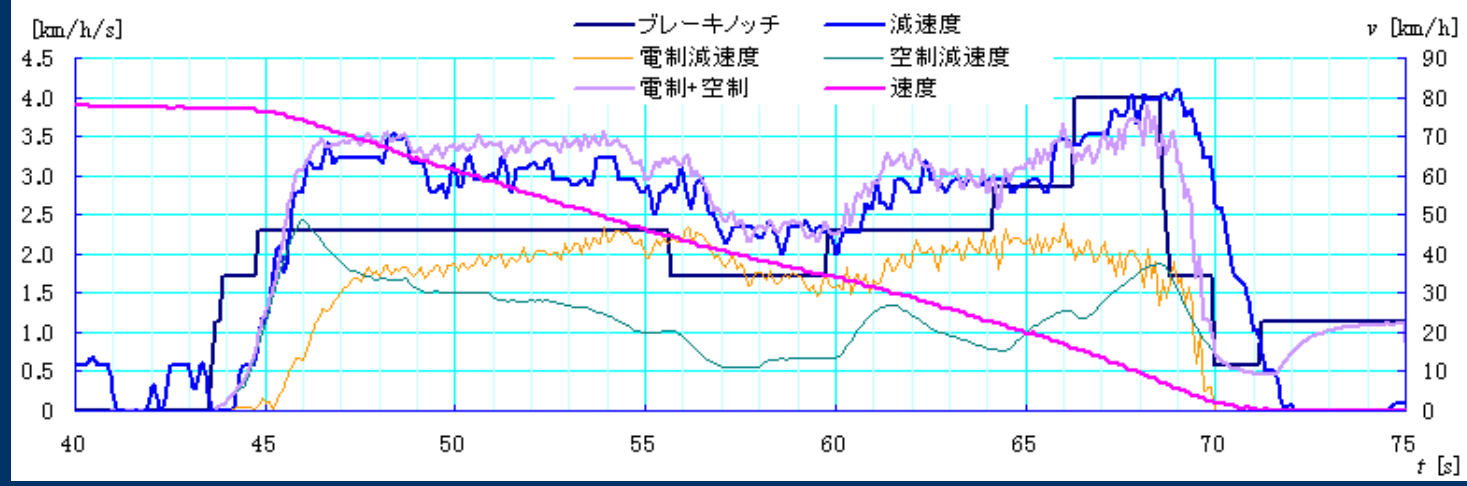
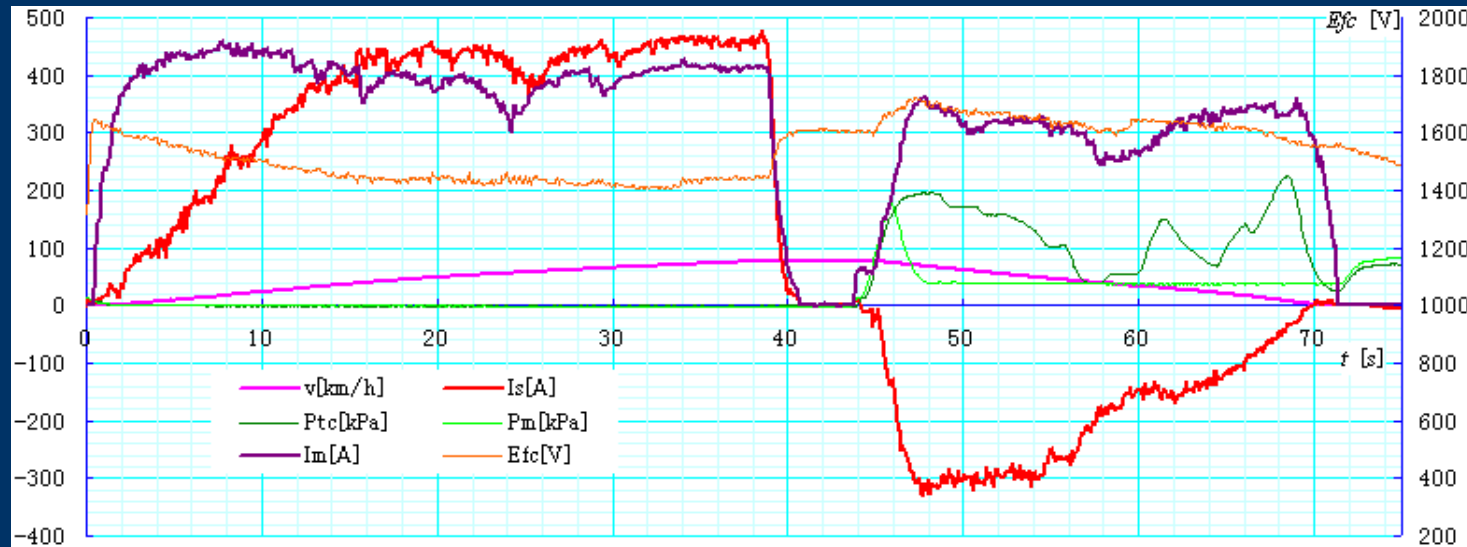
This standard applies to a specific configuration of LIM that has the primary mounted on either the vehicle body or trucks and a secondary that is fixed to the track and that is connected only by a magnetic field with the primary.

The object of this standard is to allow the performance of a LIM to be confirmed by tests and to provide a basis for assessment of its suitability for a specified duty.

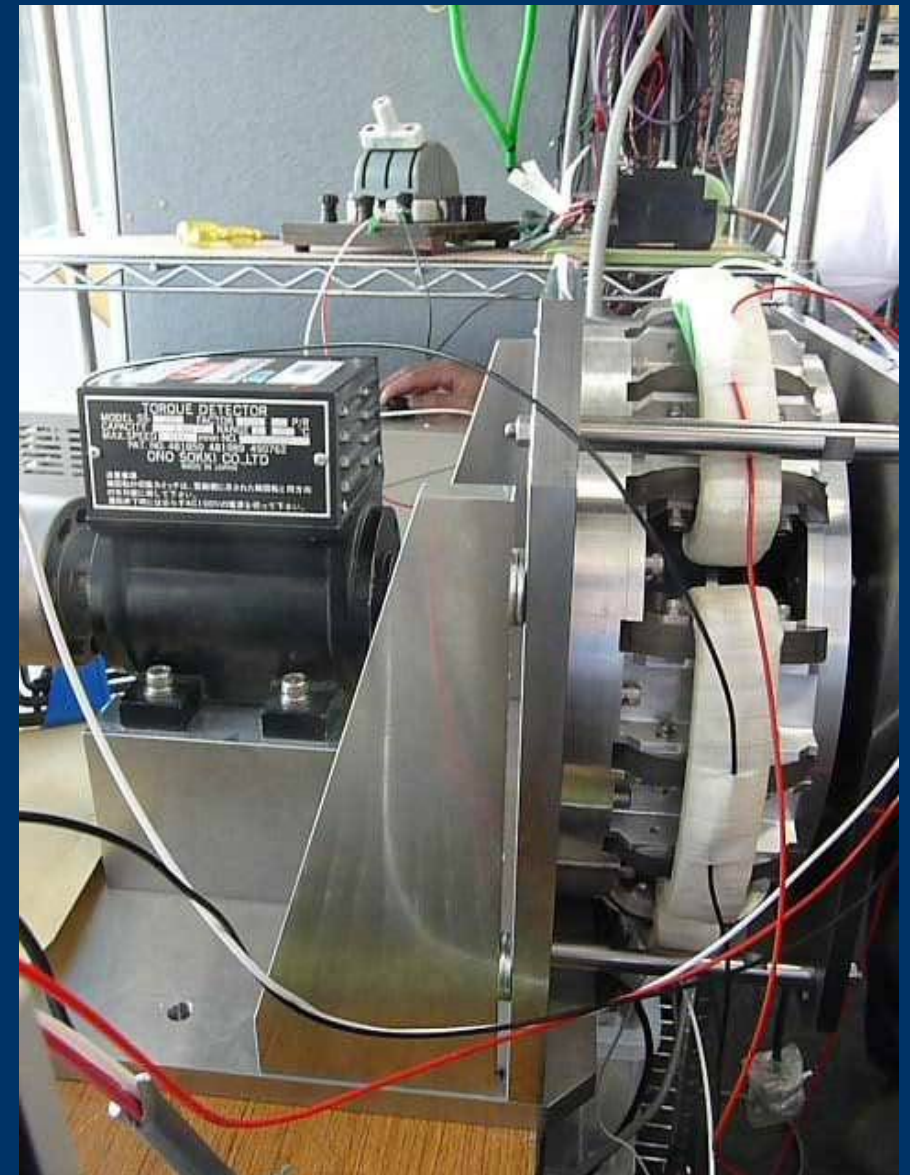
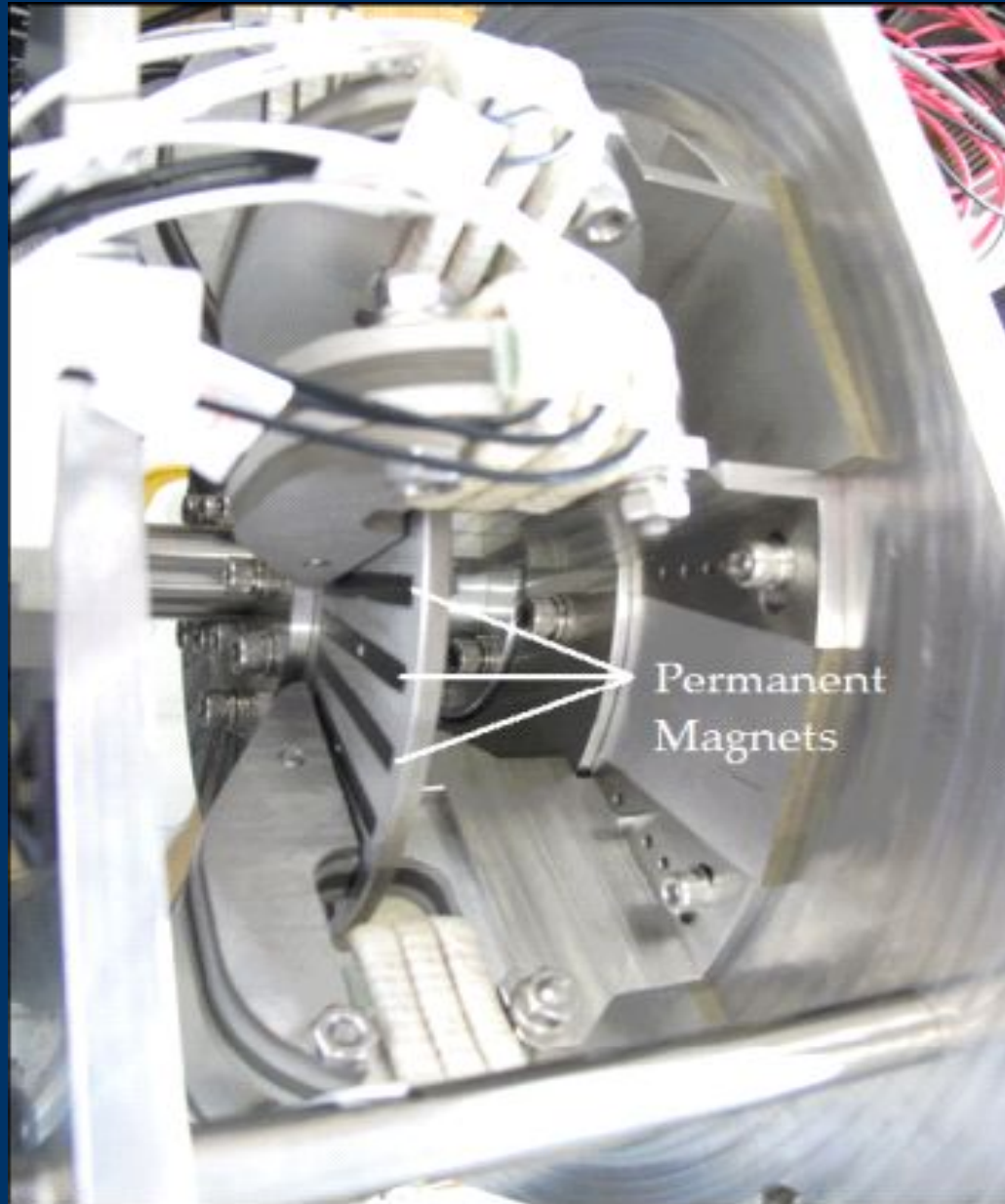
The rating of LIMs fed in parallel by a common converter should take into account the effect

Presently, I am a Japanese expert in **IEC/PT62888** for energy measurement.

# Power management and regenerative brakes

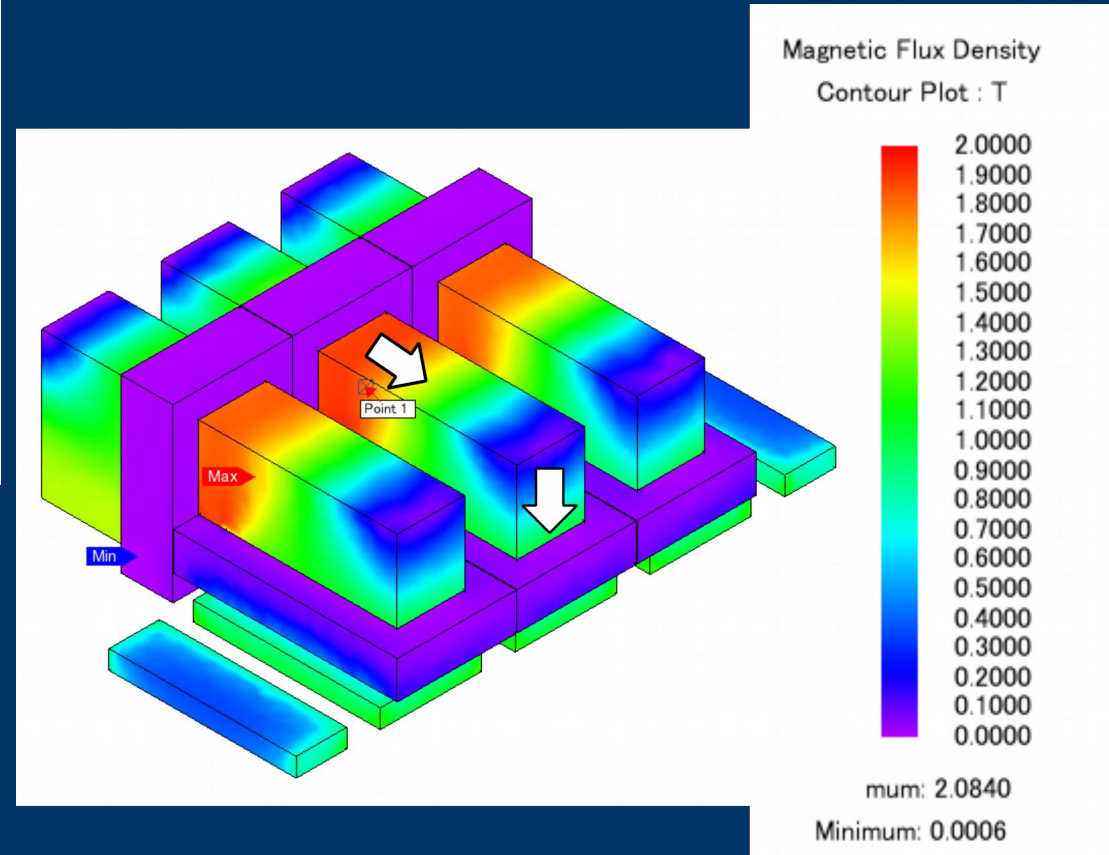
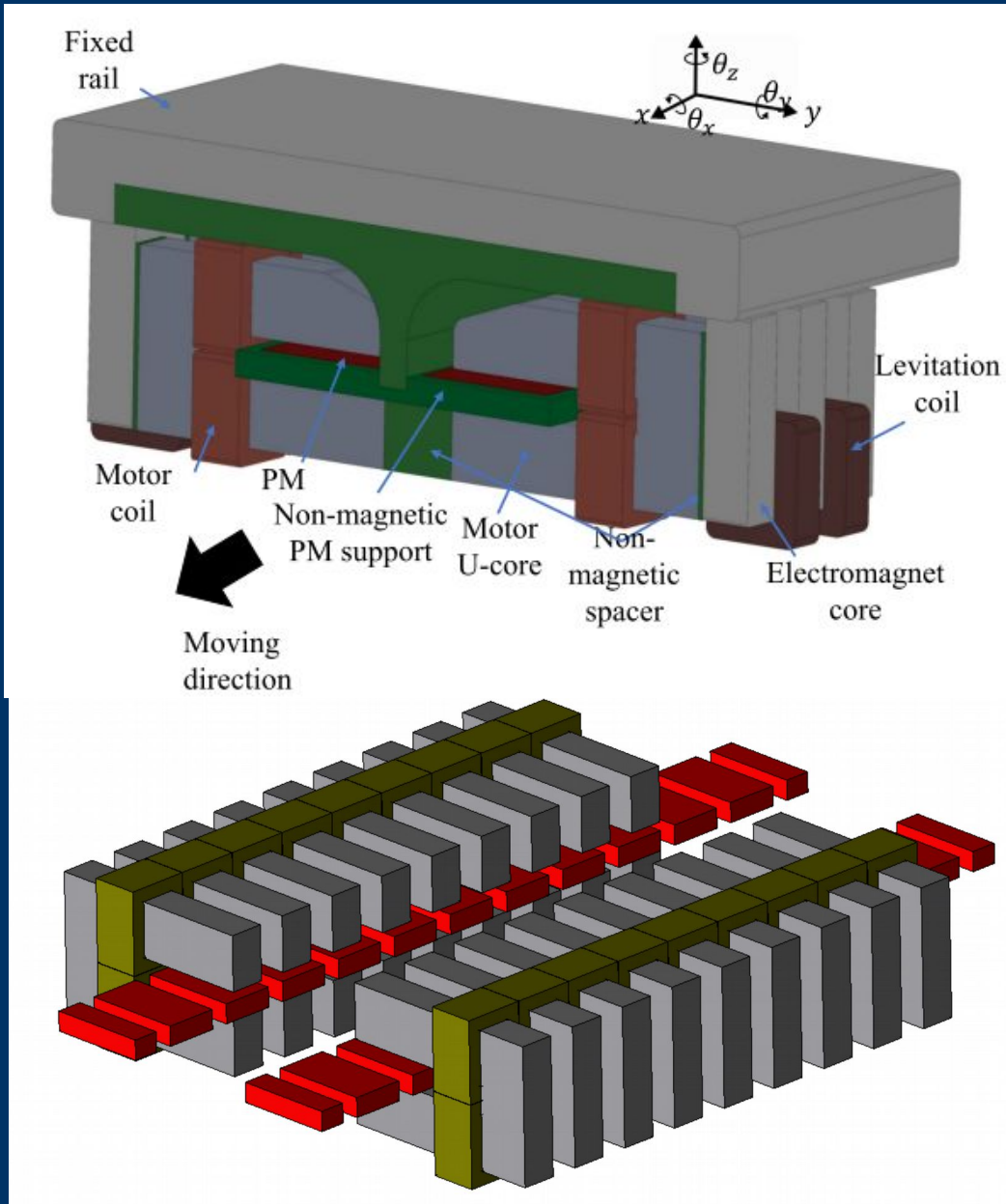


# PMSM





# PM-LSM & Maglev



# Koseki's laboratory 11<sup>th</sup> March 2011

地震直後の黒煙



# Koseki's laboratory 11<sup>th</sup> March 2011

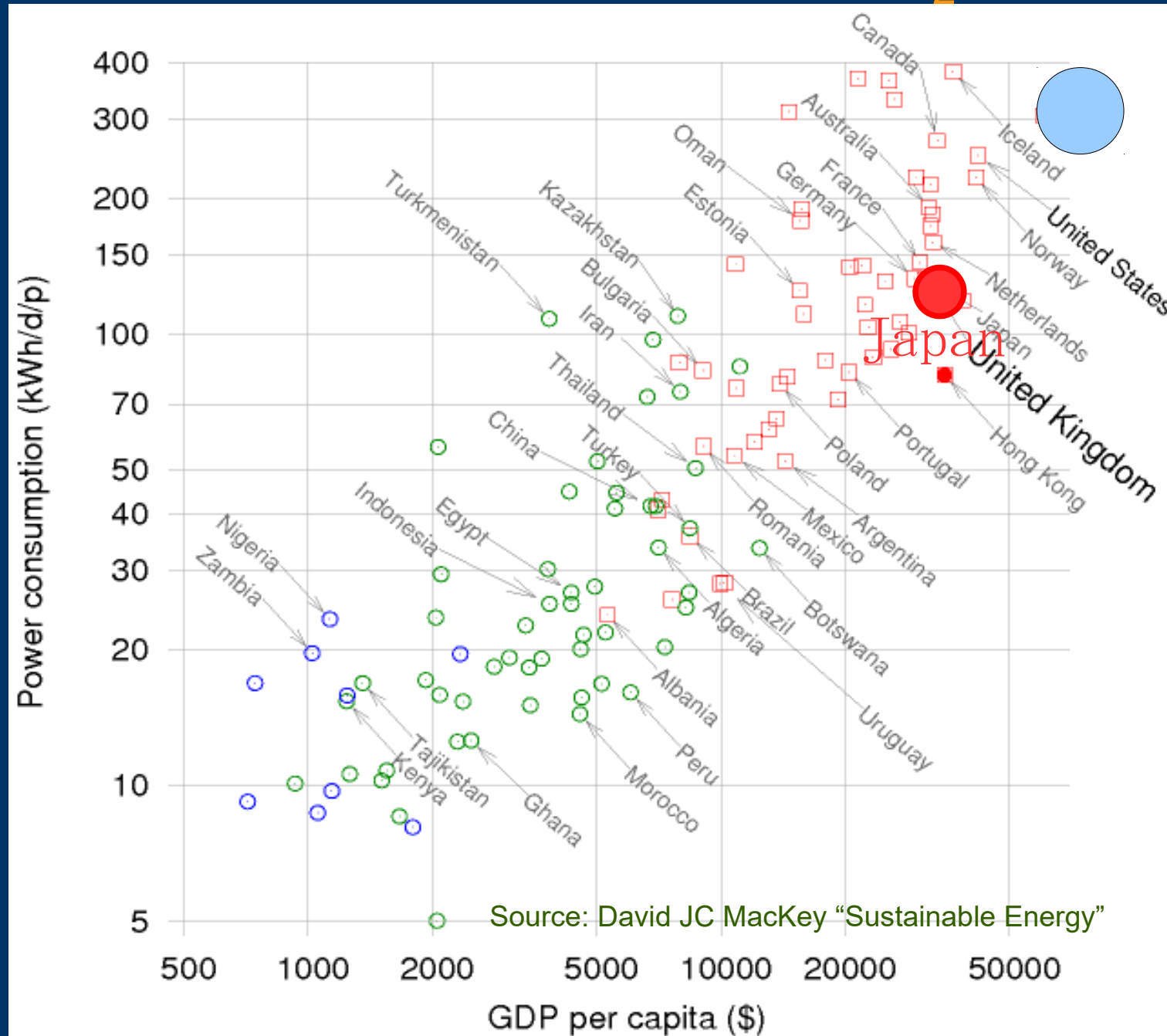


# Koseki's route back to home in Tokyo on the 11<sup>th</sup> March 2011

帰宅途上の風景



# Relationship between GDP & CO<sub>2</sub>-emission



# New motivation to save energy

## Social request after 11 March 2012 in Japan

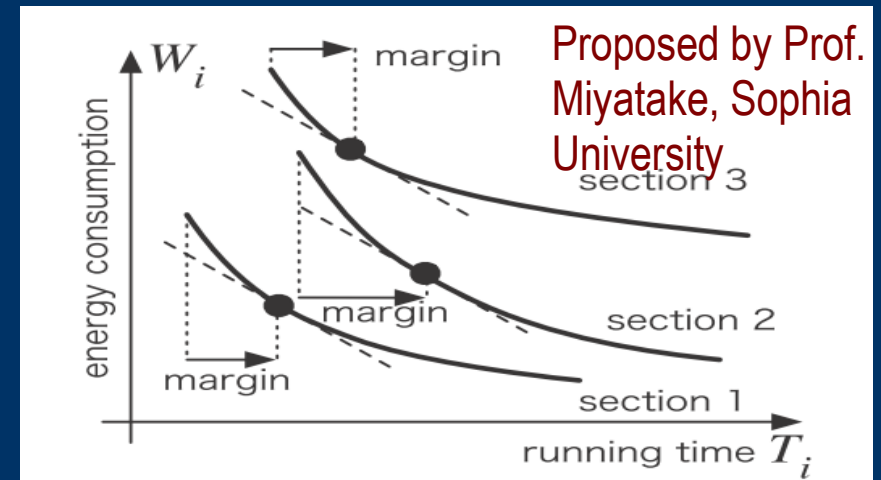
### Energy-saving train operation

Modification of notch-off speed and brake-starting position  
==> Considerable power/energy saving effects  
by slightly longer traveling time

Reduced number of trains



Intentional modification of notch-off speed and brake-starting position

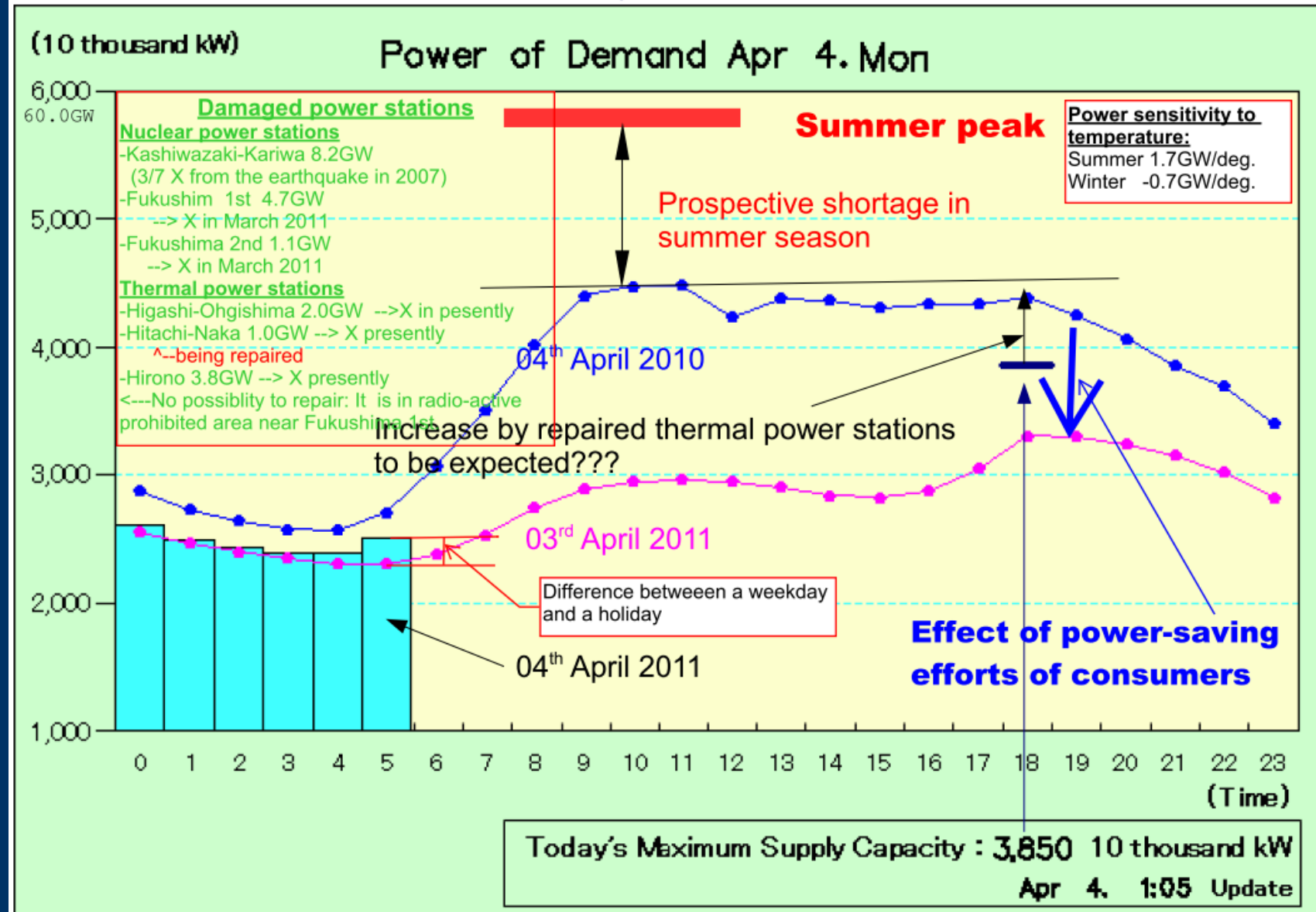


11<sup>th</sup> March 2011 (@Fukushima)



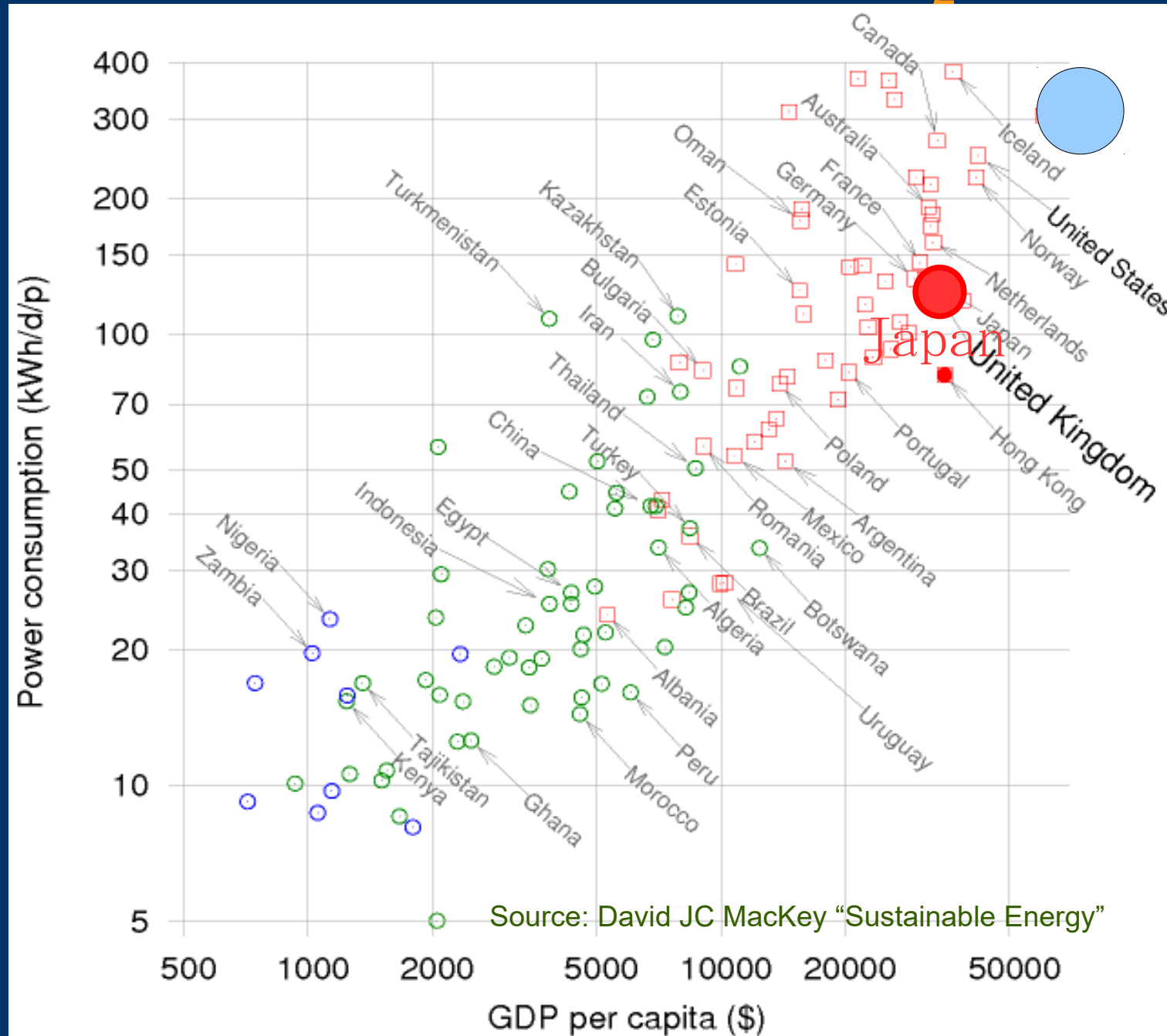
# Electric power supply after 3.11

See <http://www.tepco.co.jp/en/forecast/html/index-e.html>





# Relationship between GDP & CO<sub>2</sub>-emission



# General introduction

# **Electric**

# **Railway**

# **in Japan**

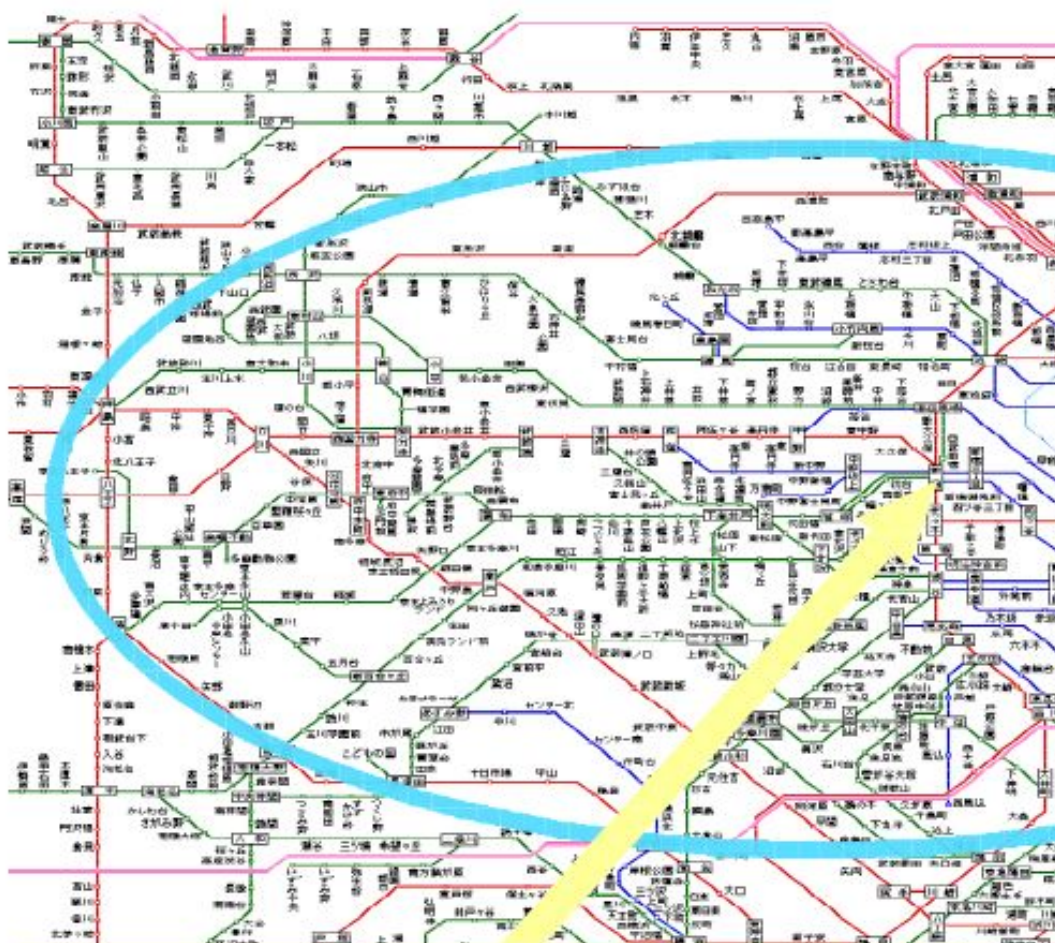
# Introduction

Mobility and information technology:  
Substitution or **inter-dependency**

Information technology is **essential infrastructure** for  
high-quality transportation systems

Speed/distance and the mode of the transportation  
**Significant role of rail-guided public transports**

# Large transportation market in Tokyo area



Total line length 3054km  
578 Stations in Tokyo

Total line length 4565km  
2059 Stations in the area  
37.2million passengers/day  
=62.2% of all Japan

# Railway as a mass ground transportation

Most frequent operation in a morning peak hour

Chiyoda Line Kitasenju

Weekday morning 8-9 o'clock 23 trains

Chuo Line Shinjuku 22 trains

Comparison of transport capacity :

Commuter heavy rail approx. 140Passengers/car

e.g., Series E233 1539 passengers /10 cars

Shinkansen: Series 300 Nominal passenger number

Green car (2nd class?) 200 passengers

Normal car 1,123passengers total 1,323passengers

Tokaido Shinkansen:  $4.2 \times 10^5$  passengers /day

Compared to:

Bus 60-70passengers

LRT approx. 70 passengers/car (approx. 20tos)

Nancy TVR 145人 Translohr 110人

Jumbo jet747-400 420 passengers

(Fuel  $21 \times 10^4$ l、Cruising distance 13000km)

# Electric engineer and rail guided-transportation

## How about in safety?

Are rail-guided systems substantially safe?

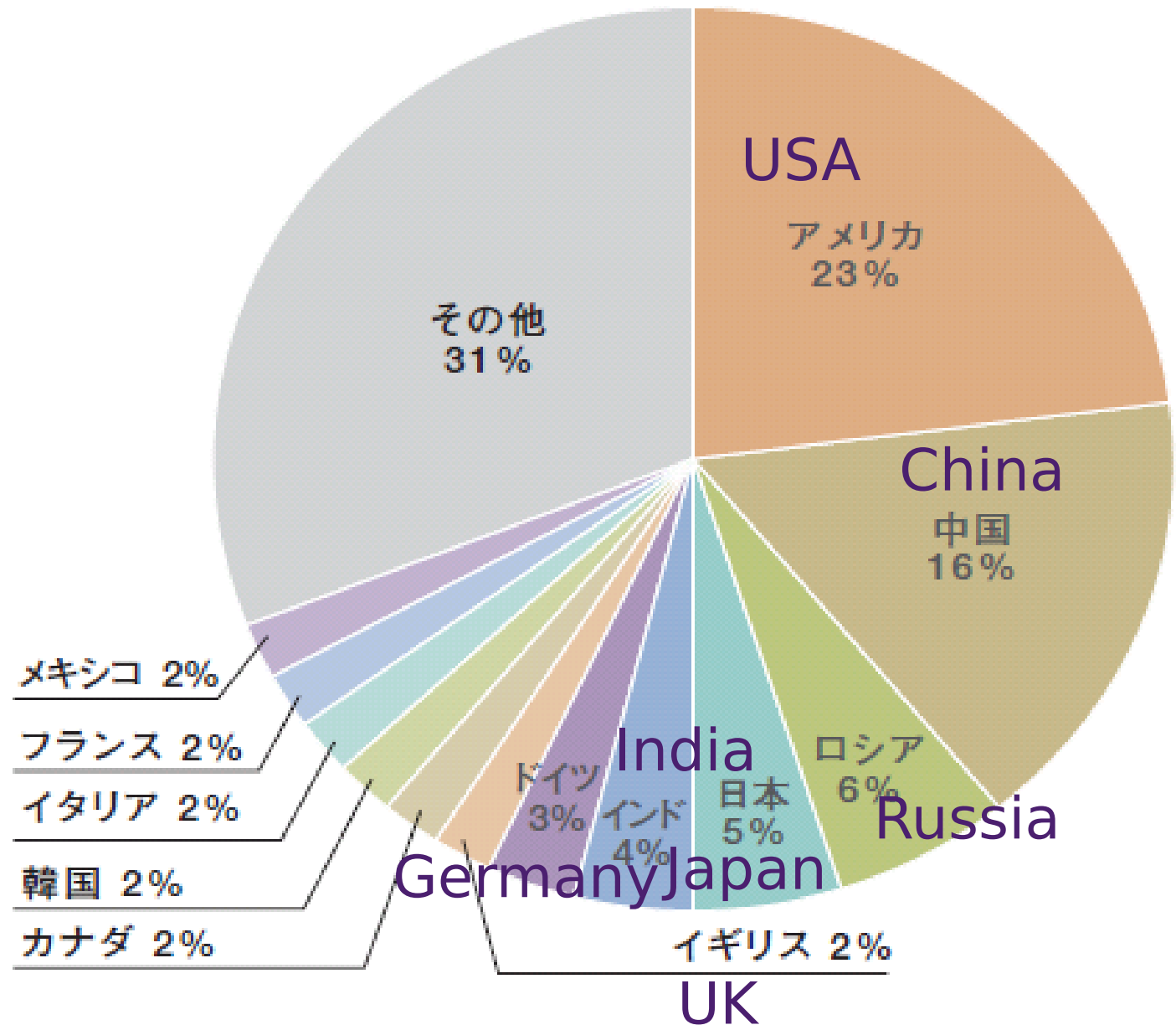
--> philosophy: fail safe system, machine backup

The role of rails cannot be justified solely by “Safety.”

Recent trend: mitigation of the heavy load of safety system: trial in finding intermediate solution between rail and automobiles

Role of electrical and electronic engineers in railway operation ==> automatic operation, energy-saving

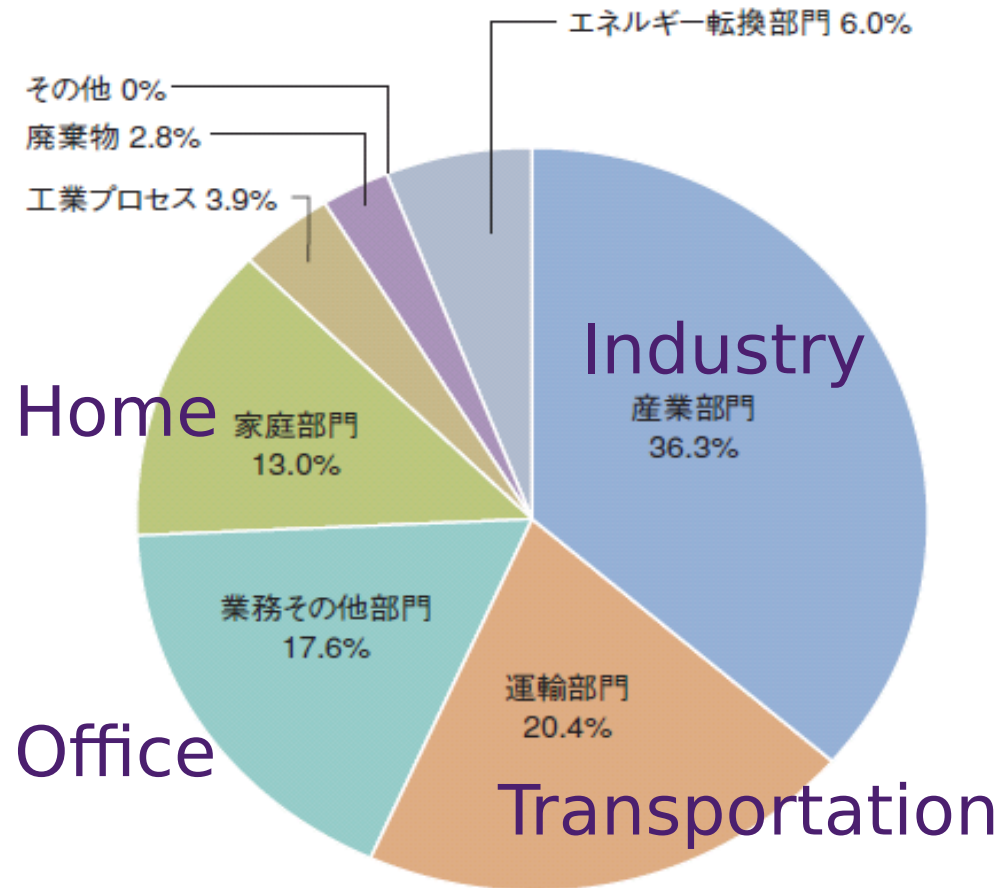
# CO2 emission & Japan



世界のCO<sub>2</sub>排出量 (国別比率)

出典：EDMC エネルギー・経済統計要覧 (2006)

# Transportation and environment



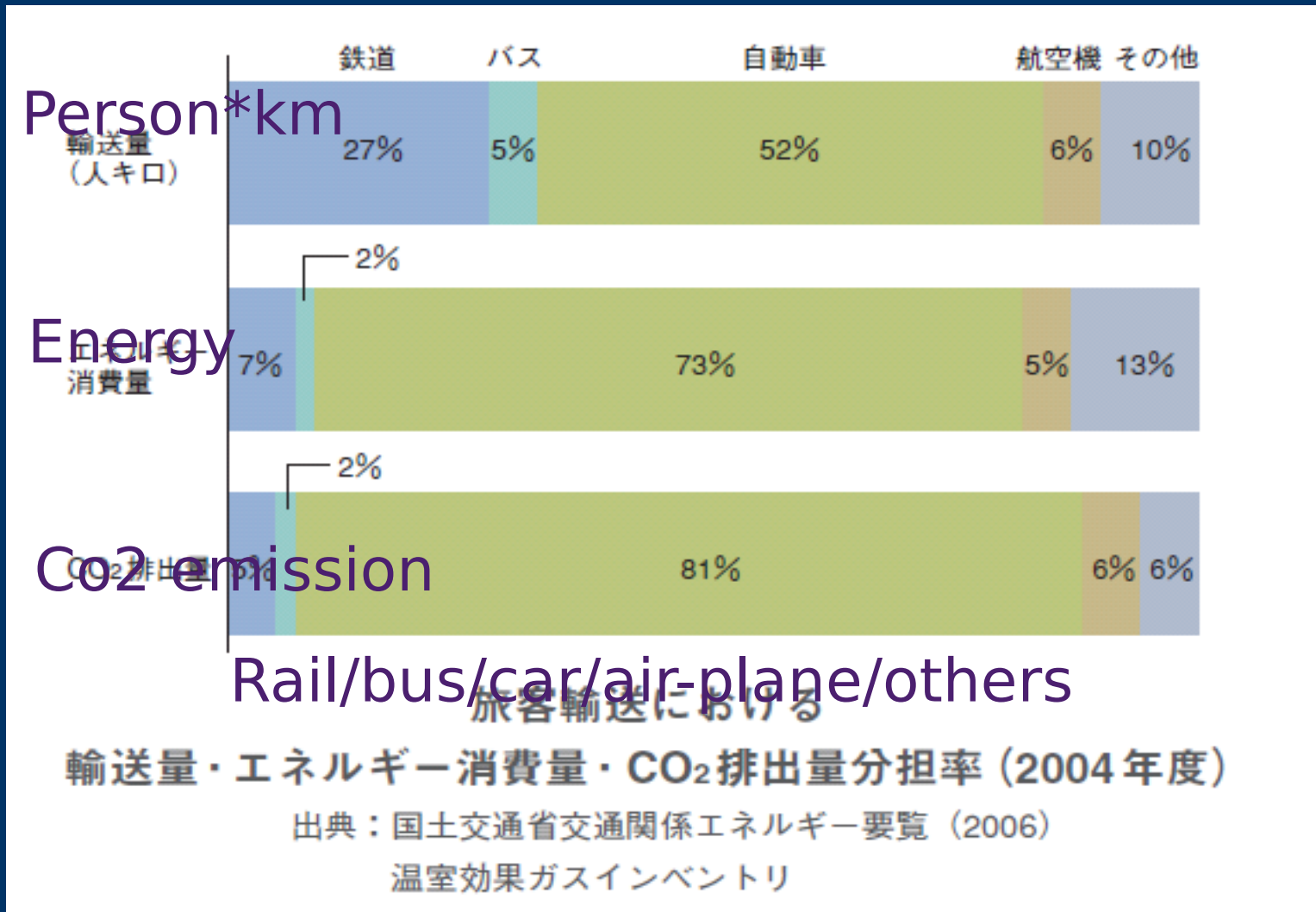
日本のCO<sub>2</sub>排出量の部門別内訳 (2004年度)

出典：平成18年度環境白書

Transportation as 20% share of a CO<sub>2</sub> emission in Japan.

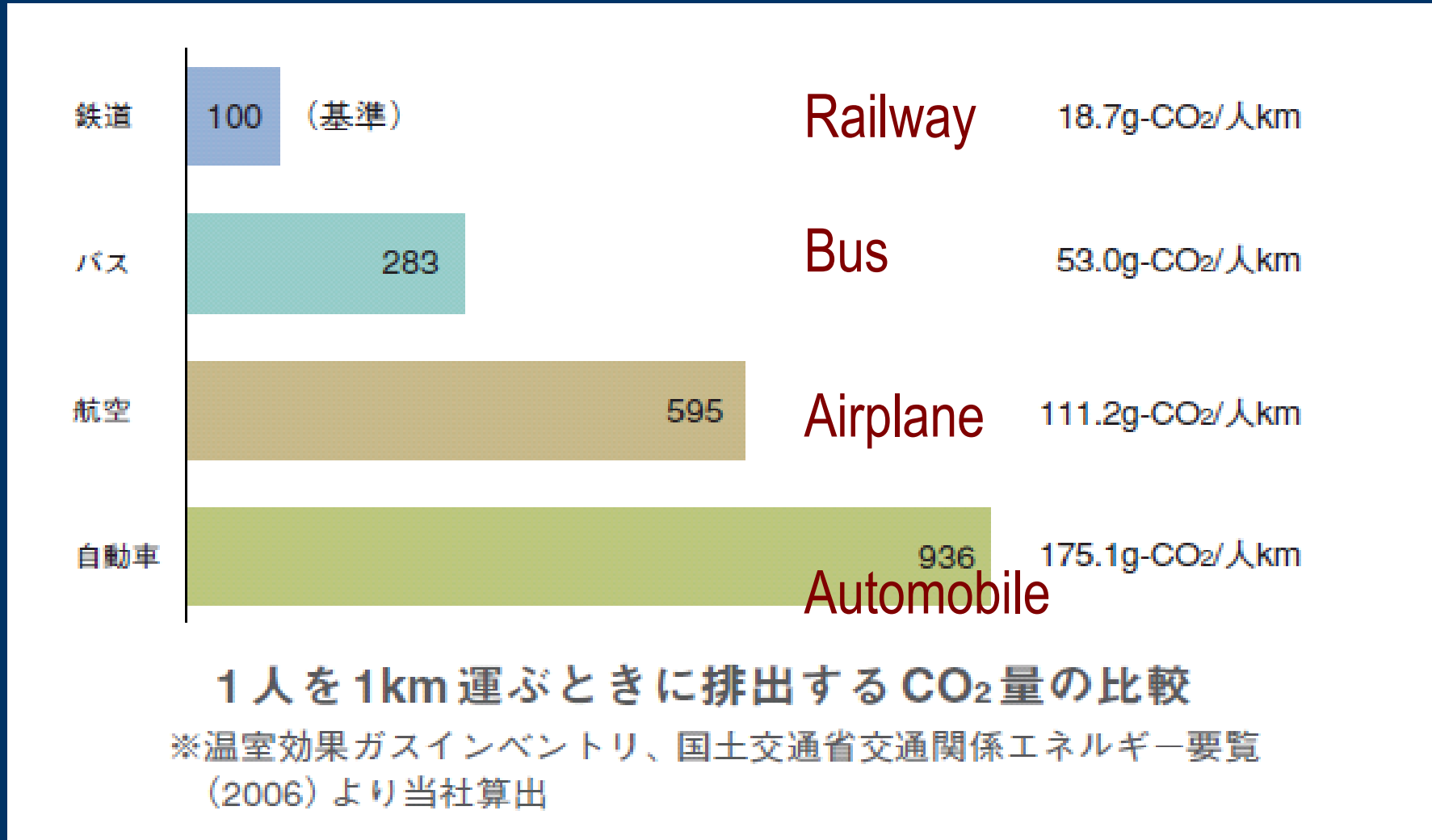


# Relative transportation volume and energy consumption



Relative transportation-km volume and energy consumption in passenger transport in 2006

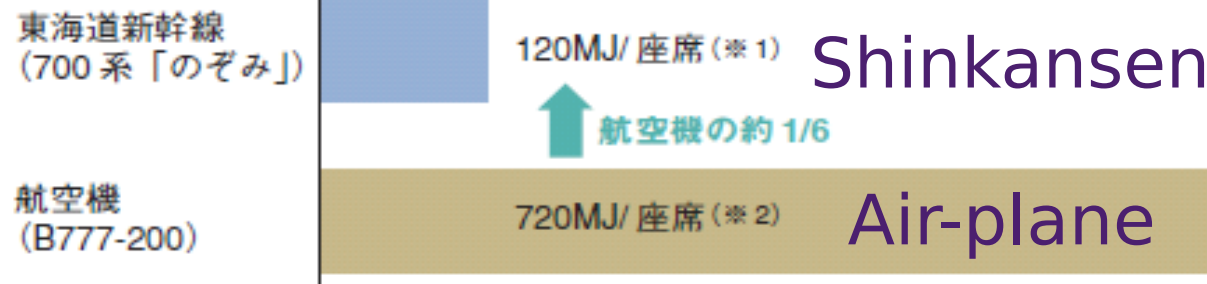
# Transportation mode and CO<sub>2</sub> emission



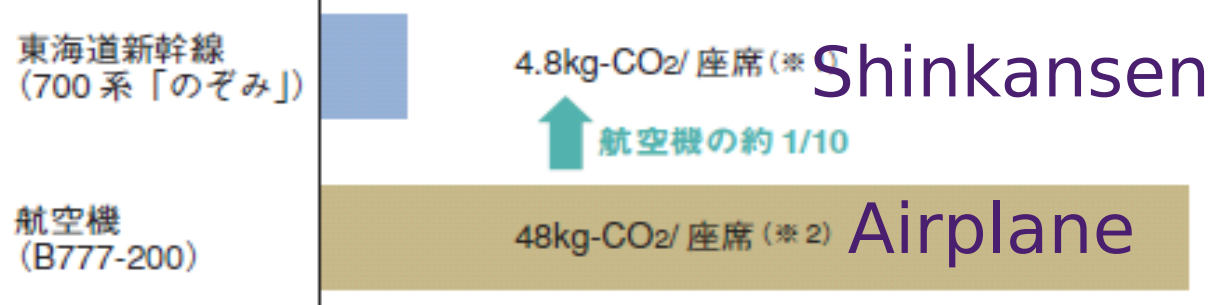
CO<sub>2</sub> emission needed to transport one person in 1km.

# Comparison between Shinkan-Sen & Air plane

## 1 座席あたりのエネルギー消費量の比較 Energy



## 1 座席あたりの CO<sub>2</sub> 排出量の比較 CO<sub>2</sub> emission

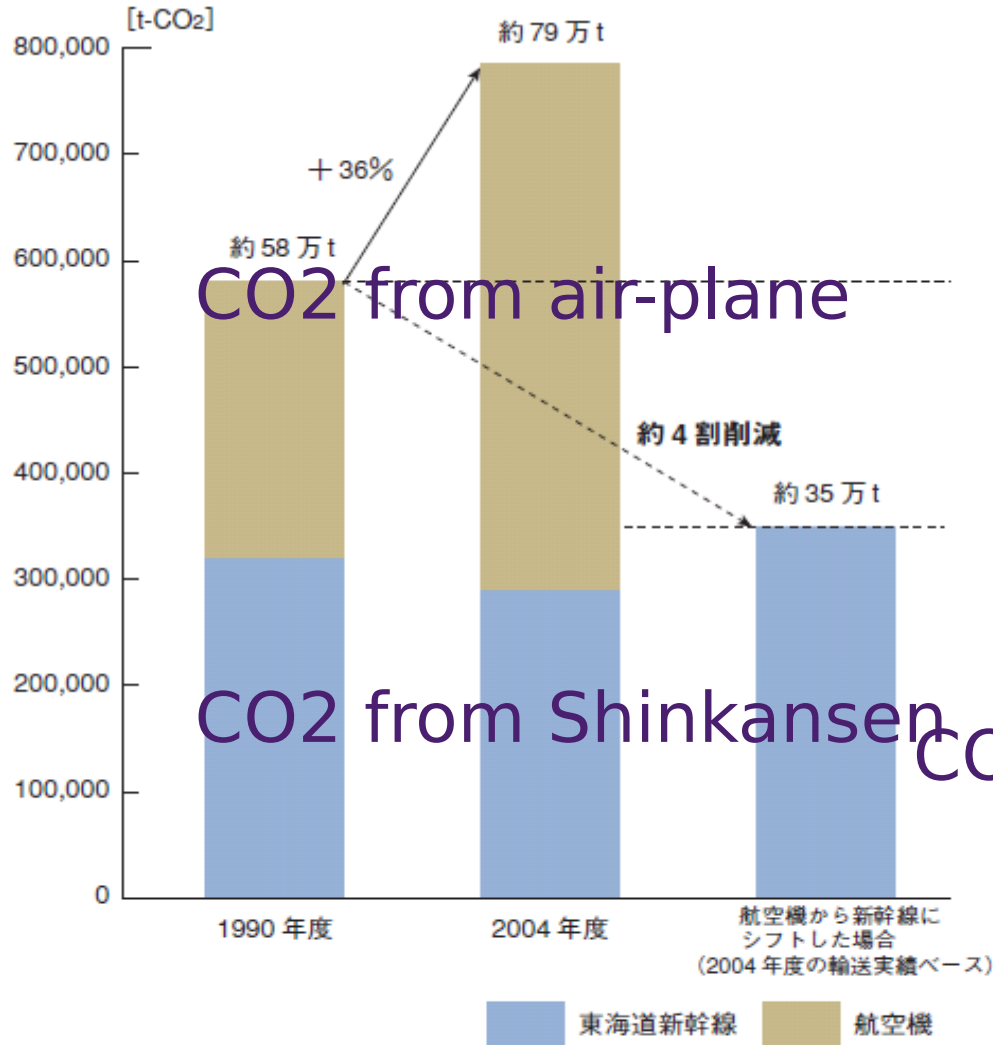


### 東海道新幹線と航空機の比較 (東京～大阪間)

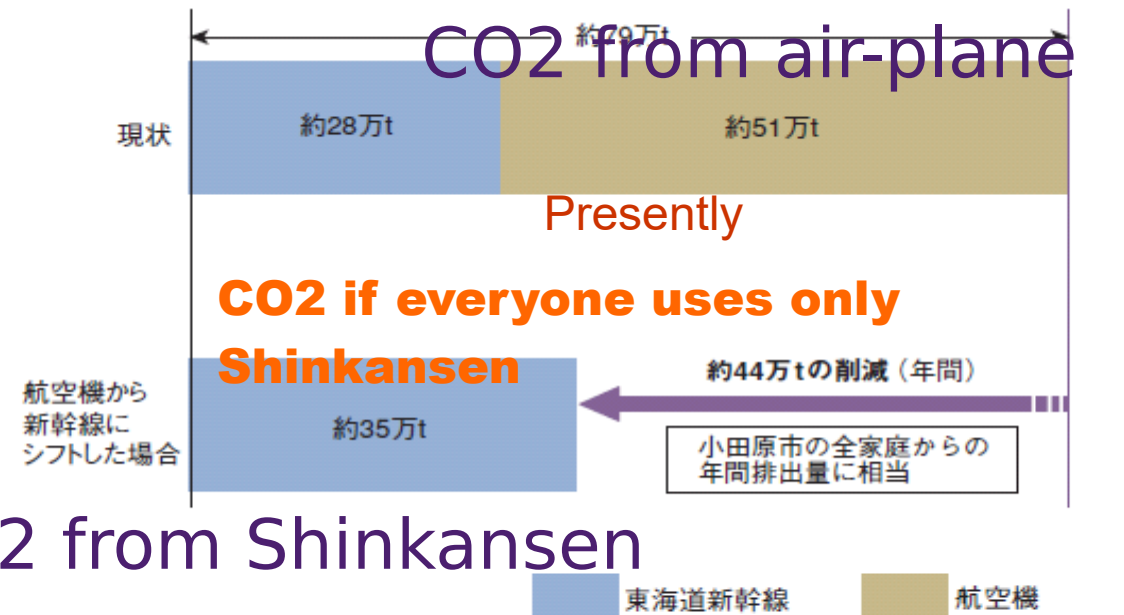
※1 走行実績 (当社分) に基づく算出 700系「のぞみ」(東京～新大阪)

※2 ANA「環境報告書2004」を参考に当社算出 B777-200 (羽田～伊丹・関空)

# Comparison between Shinkan-Sen & Air plane



航空機から新幹線への旅客シフトによる  
CO<sub>2</sub> 排出量の削減効果 (1990年度比)

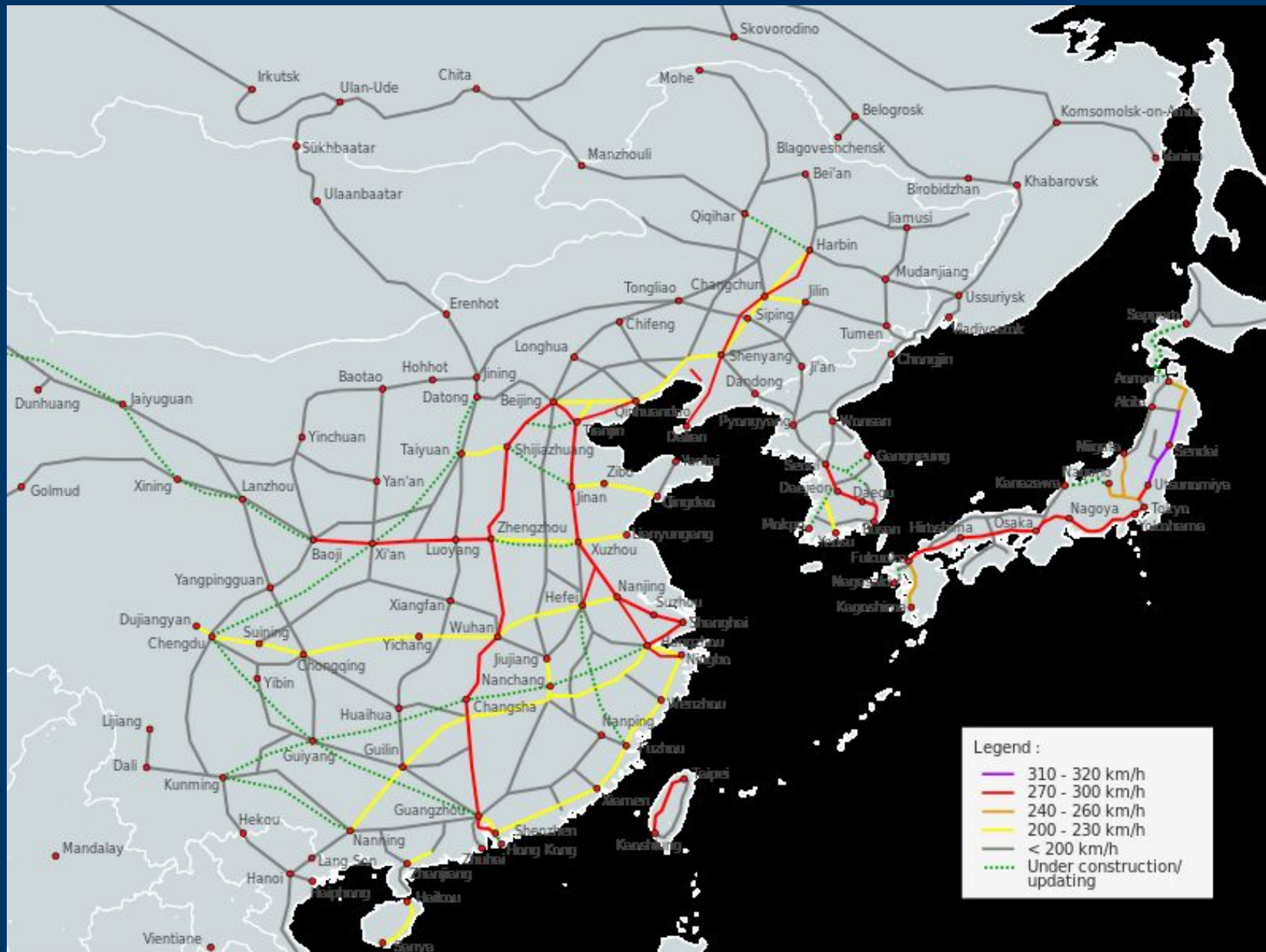


航空機から新幹線への旅客シフトによる  
CO<sub>2</sub> 排出量の削減効果 (年間)

Advertisement of JR-Central

# Electric power supply to trains

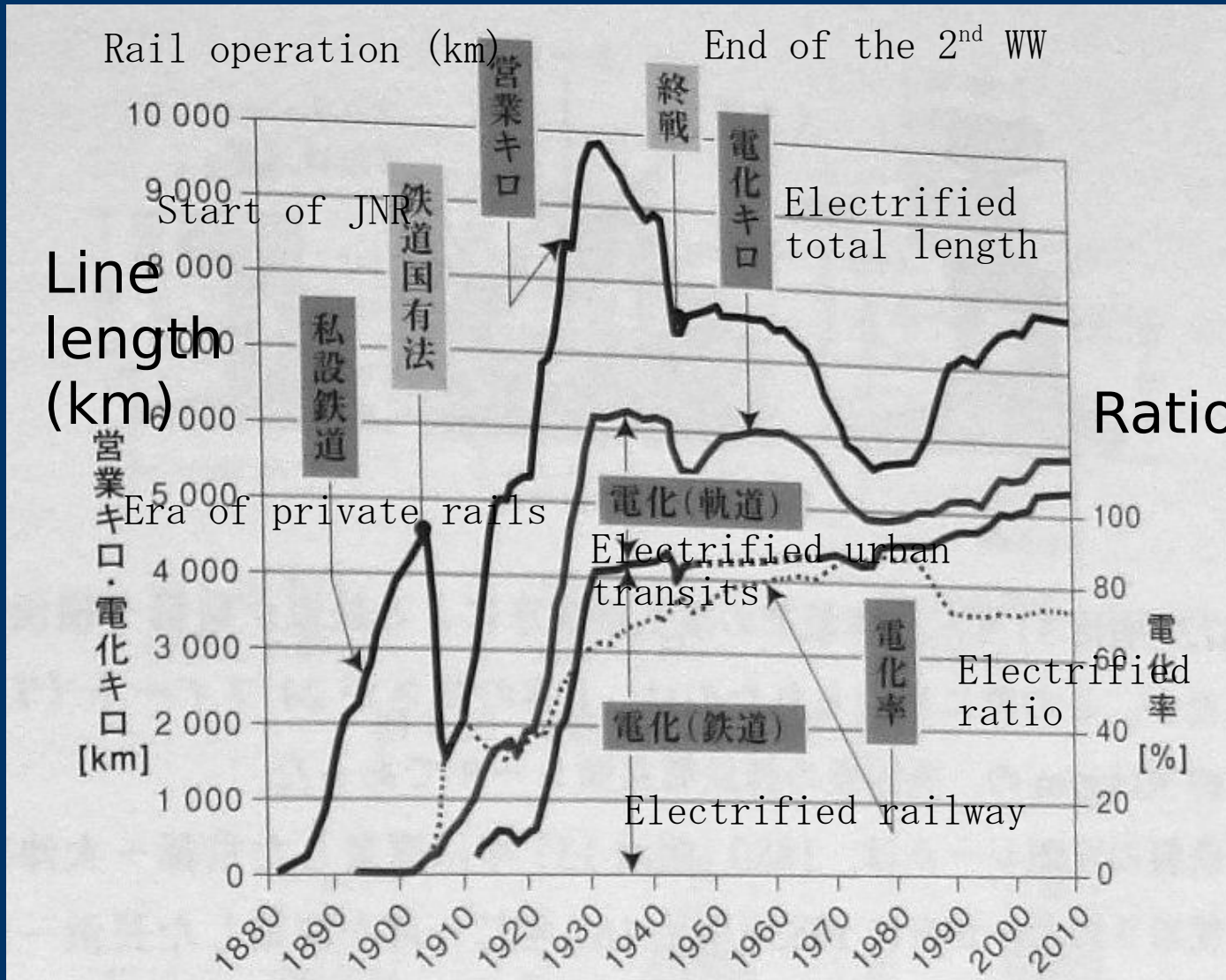
# Rails in eastern Asia



Source: Wikipedia-Schnellfahrstrecke

# Electrification in Japan

Conventional lines 9752km(2010 55.5%)  
Shinkansen 2620km(2010 100%)



# Electric rails in Japan

Electrification in JRs

Conventional lines 9752km(2010 55.5%)

Shinkansen 2620km(2010 100%)

DC electrification mainly for urban railways

**AC electrification** mainly **for intercity** railways





# History of railway

Electric railway: small model of an electric vehicle with VOLTA's battery 1935

Industrial Exposition in Berlin in 1879 (W. Siemens)  
3 trailer cars for 6 passengers DC 150V, 2.2kW, 2-pole  
DCM, 12km/h

1881

The first commercial passenger service by an electric train at Lichterfelde, Berlin, Germany

# Electric rails in Japan

Wayside electric power supply



Powering

Regeneration

Electric trains

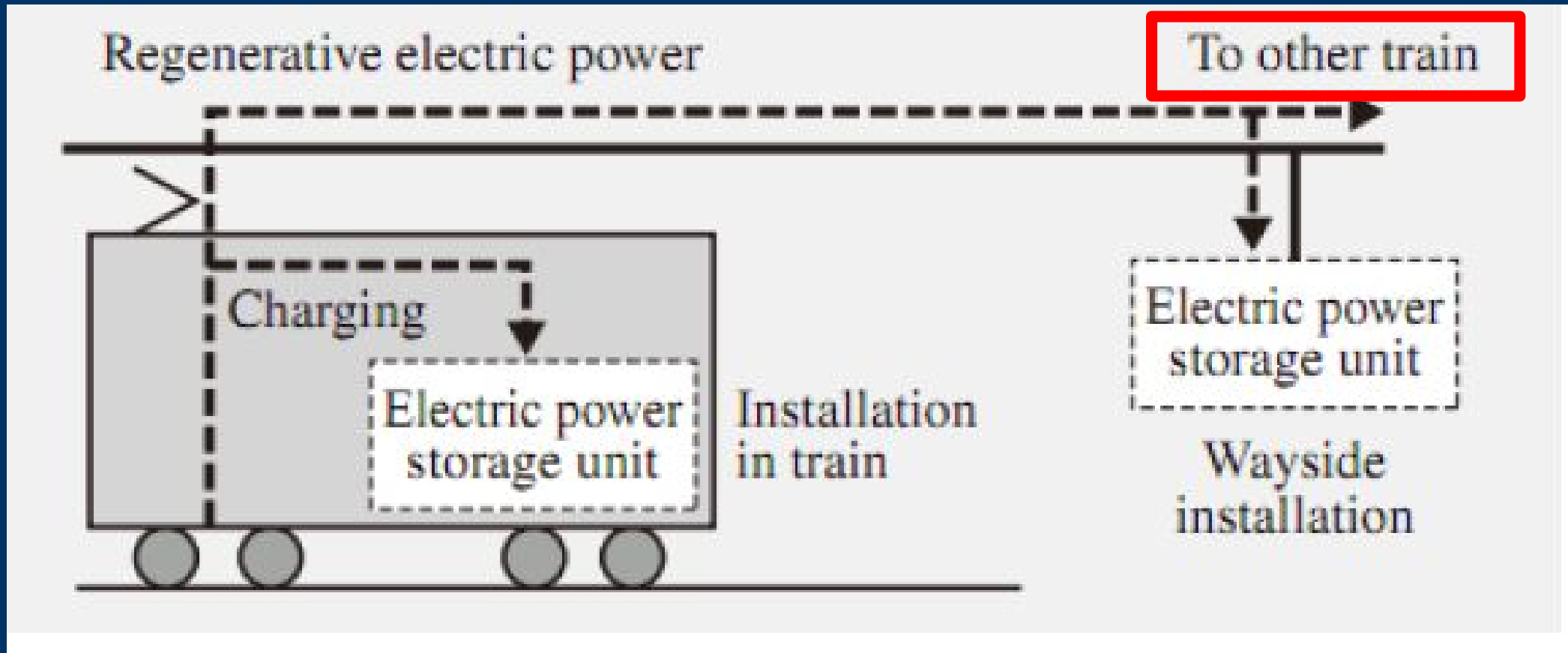


## **Research topic**

**Energy-saving train operation  
with the best use of regenerating  
brake under DC-electrified power  
network**

**2017/12/07**

# Regenerating brake and power flow



# Braking operation and traction performance curve

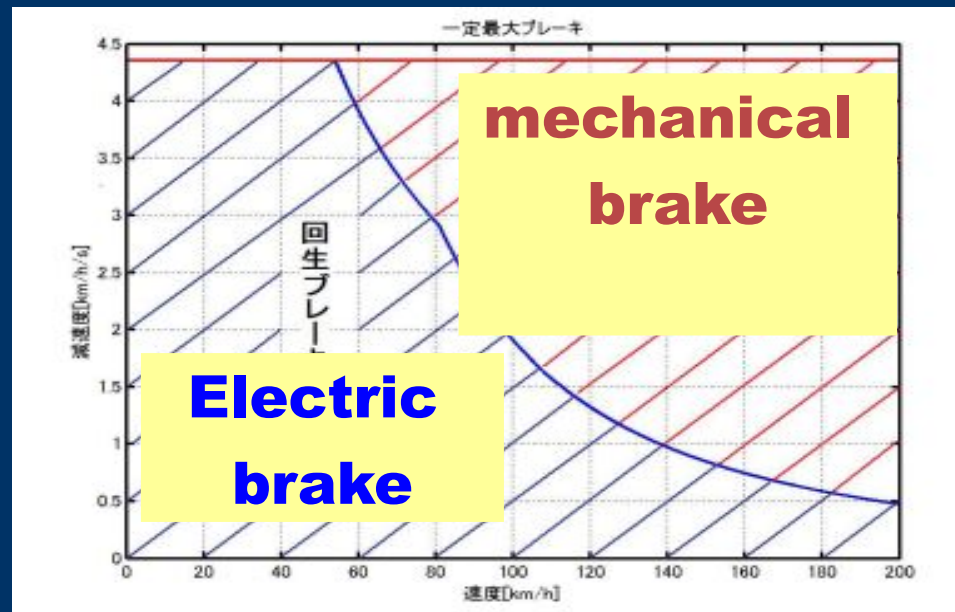
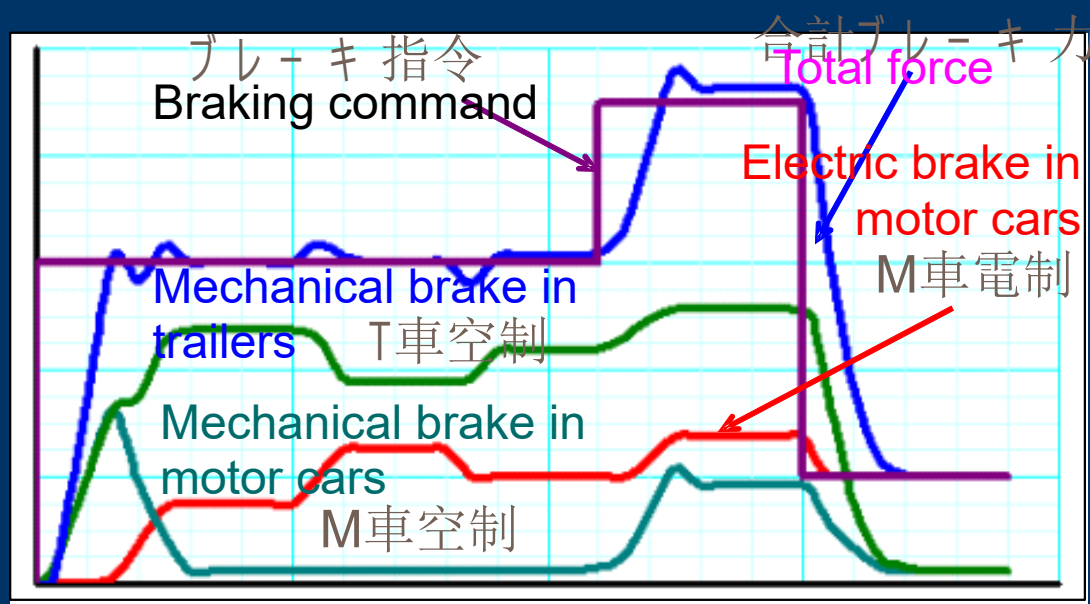
Traditional braking philosophy: Main: Mechanic/ Sub: Electric

=> **Pure Electric Braking** for ordinary operation

Supplemental mechanical brake in high speed: Conventional philosophy

**Small braking force in high speed**

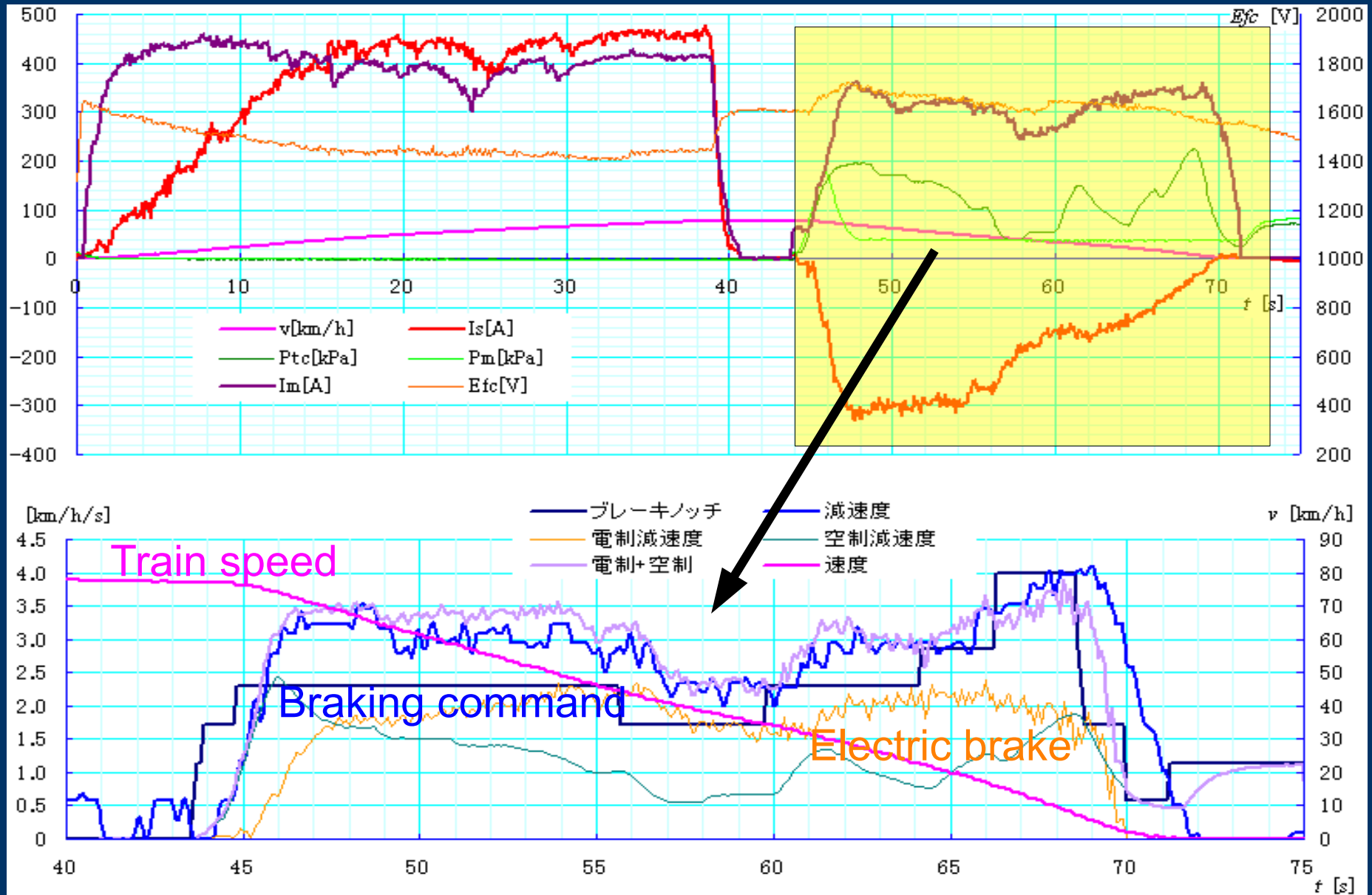
==> Avoidance of cancellation of regeneration



Conventional braking action

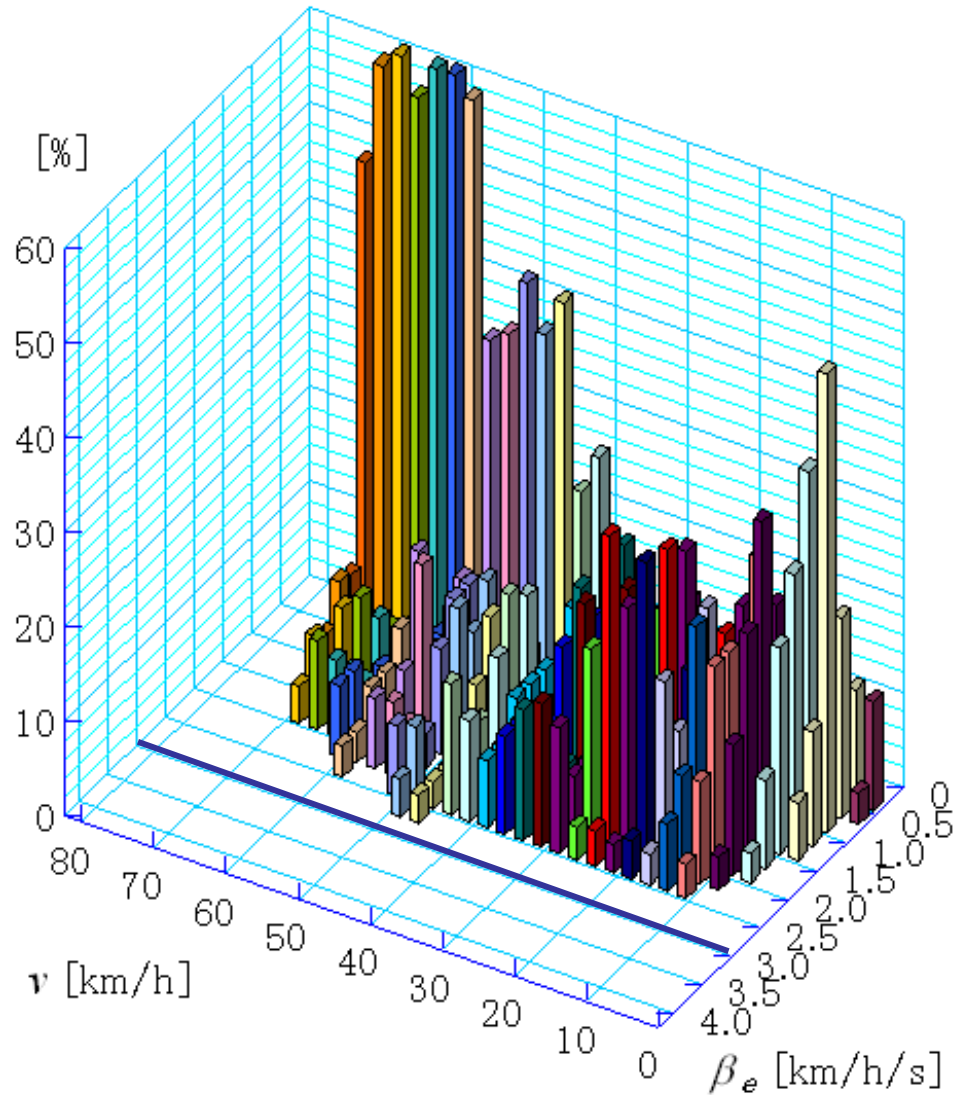
Speed and braking force

# Early study in 1990's st Shin-Keisei

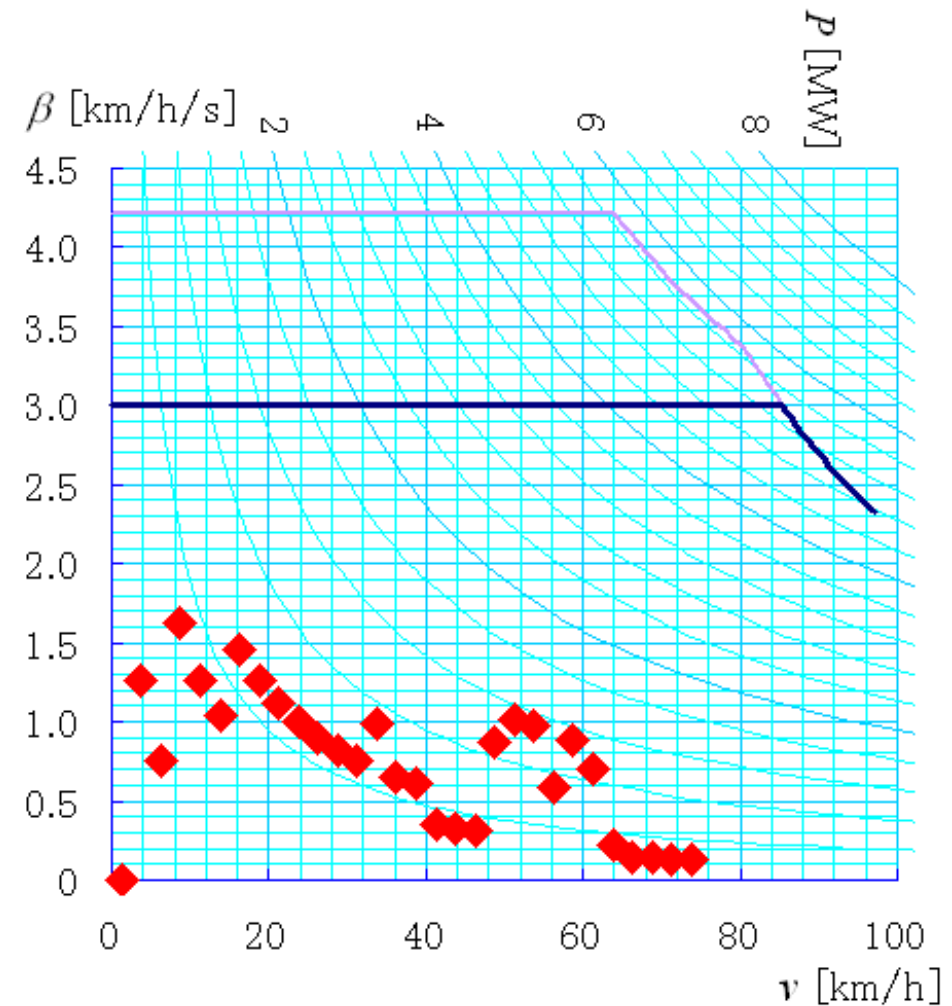


# Early study in 1990's at Shin-Keisei

## Measured contribution of regenerative brake



Probability of successful regenerative braking actions



Average contribution of regenerative braking actions

# Strategies for avoiding cancellation of regenerative brakes

Regenerative substations

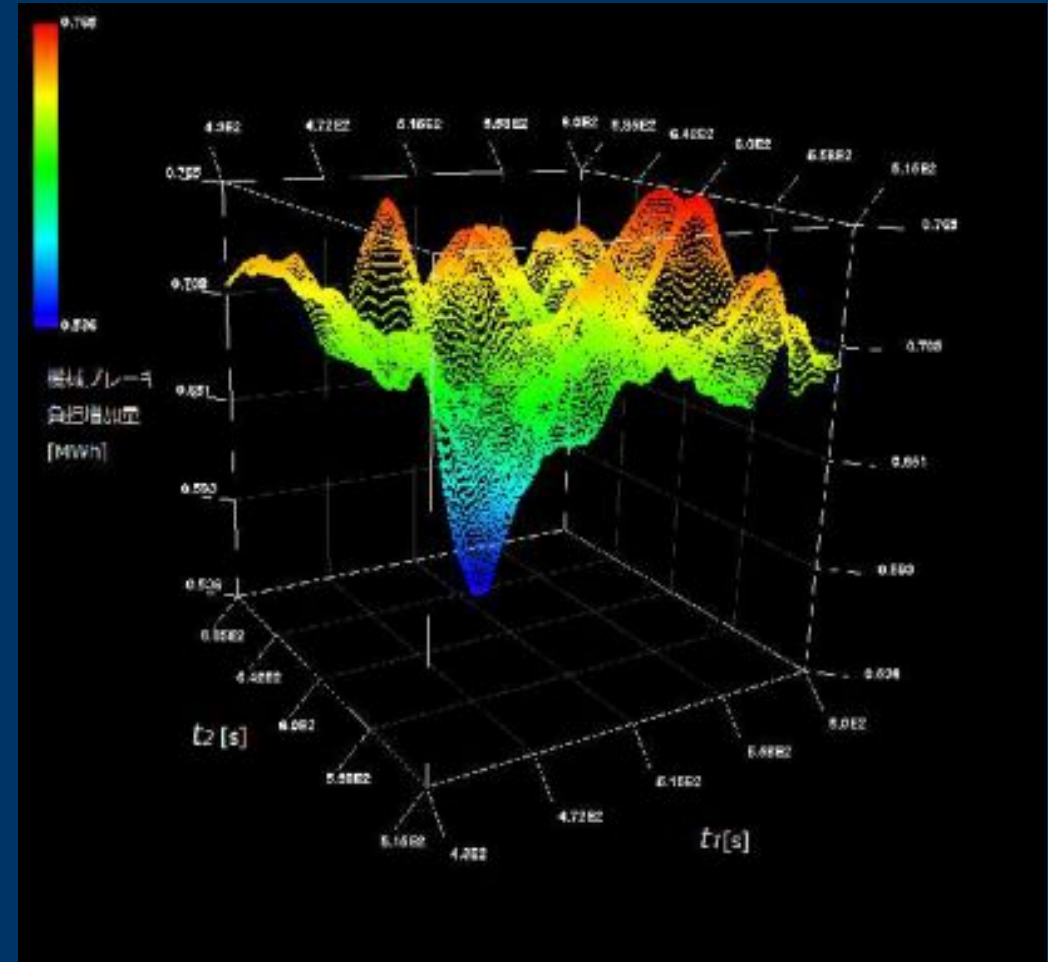
Onboard/Way-side energy storage devices

Tuning train intervals

Simultaneous acceleration/ deceleration

Appropriate converter control and protection at light load conditions

**Avoidance of strong brake at high speed/ Improved train run-curve**



Relationship between train interval and energy consumption (with regenerative substations)



# Fundamental ideas

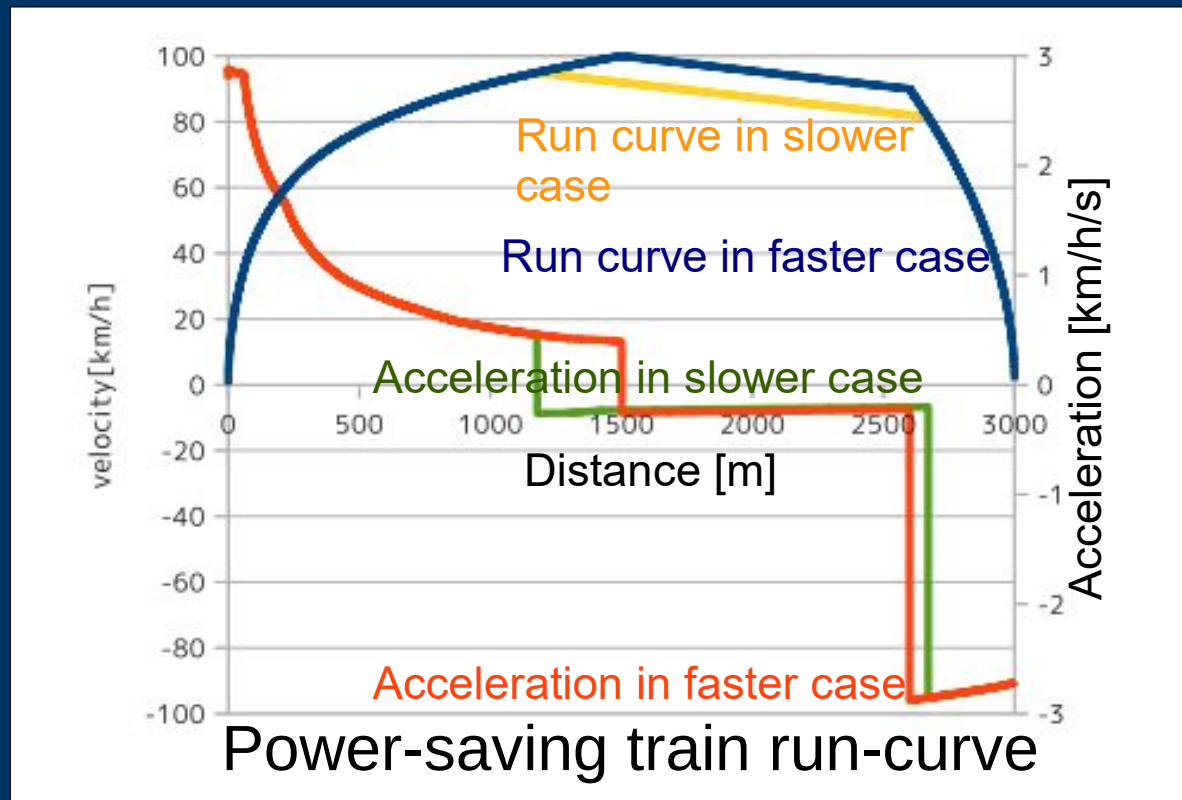
Optimal energy-saving train operation: **Max acceleration, coasting and max deceleration**

**Deterministic** operation

Where is “**buried gold**”?---Substantially **conservative** operational plans!

**Notch-off position and brake-starting points**

Avoidance of strong brakes at high speed



# JRTT project: Leader Dr. Mizuma

## Power management for sustainable low-cost and energy-saving railways

### Theory of power management

KOSEKI, Takaumi  
The University of Tokyo

Traction control and onboard energy storage

KONDO, Keiichiro  
Chiba University

NTSEL

Project leader: Dr. Mizuma

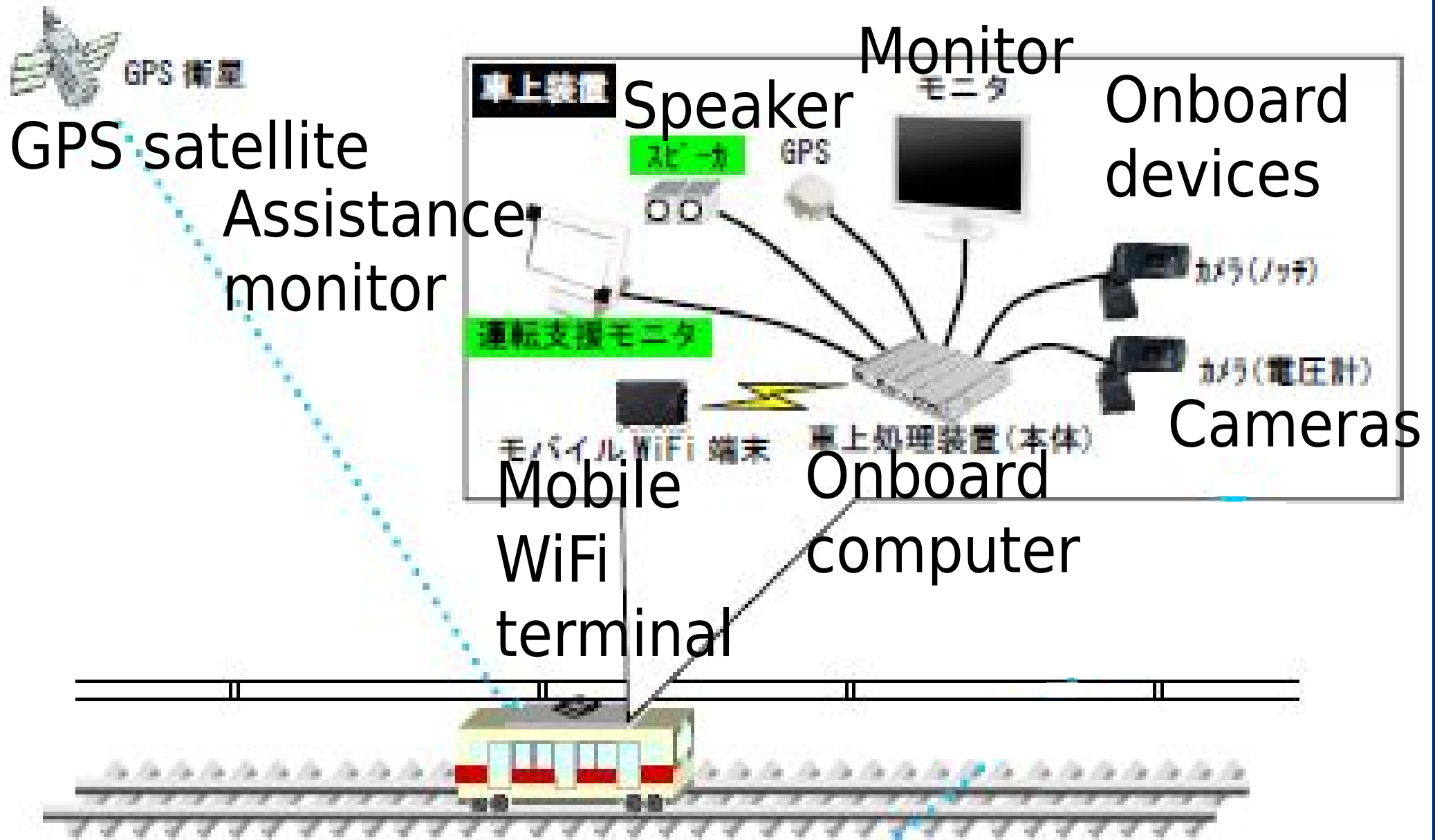
Study on technical needs  
Vehicle test

Shinkeisei Railway Co. Ltd.

Onboard drive assistance

NTSEL

# Drive assistance



# Advantages of power-limiting

## brake and its difficult operation

Modification of run-curve and traveling time

**Avoidance of strong brake in high speed**

**Slightly** longer traveling time

Enhanced ratio of electric brake usage

Higher provability of avoiding electric braking cancellation

in light load conditions

**Difficult operation for a driver**

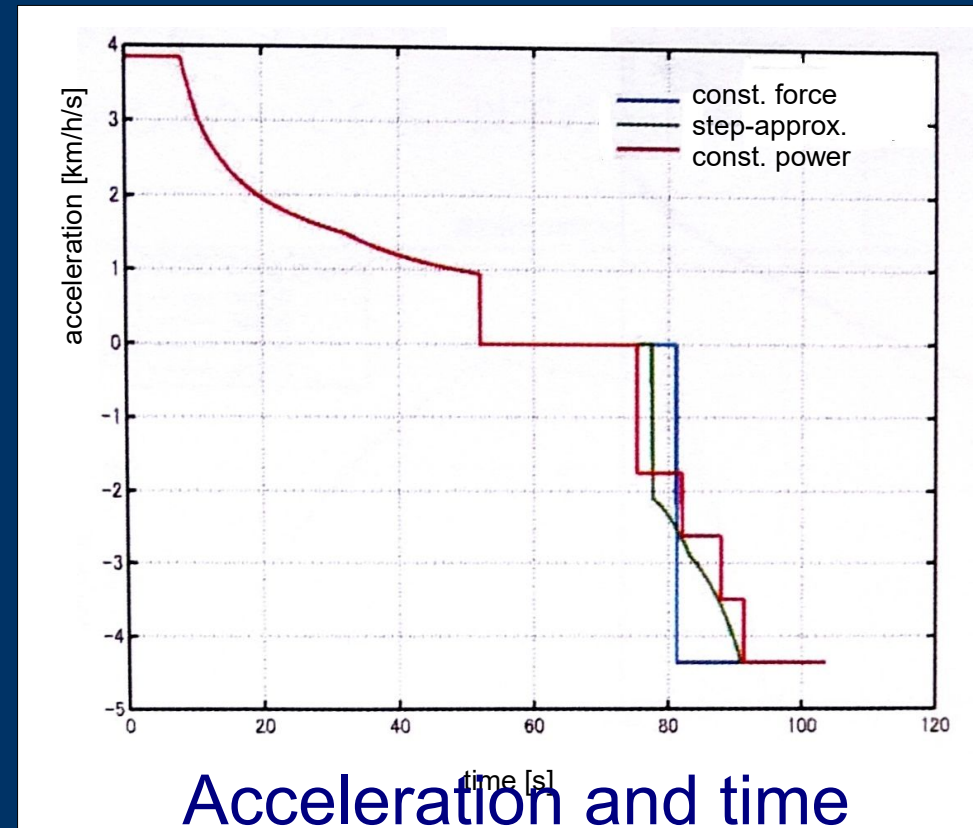
Braking from weak to strong

====> **Complicated**

**Earlier** braking start

**Needs for driver advisory system:**

Operation depending on  
actual initial accelerations

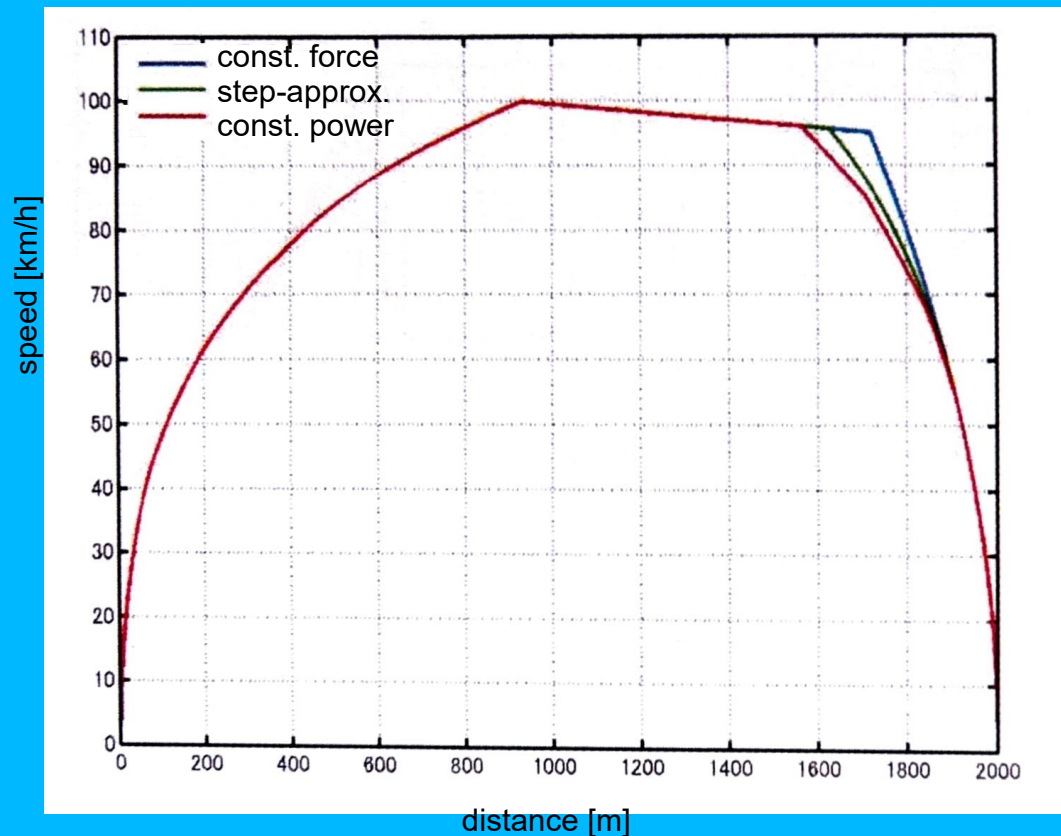


# Fundamental case study: Scenario

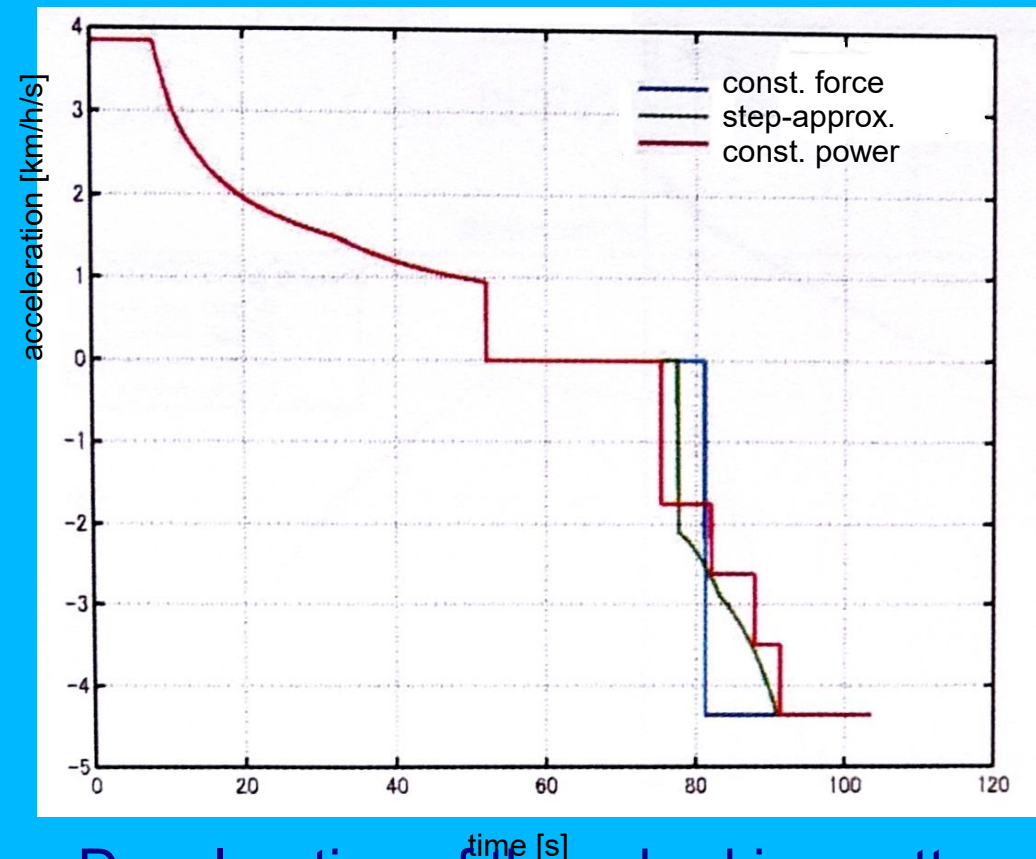
Max deceleration 4.4kn/h/s, max speed 100km/h

Flat inter-station section of 2000m, traveling time approx. a hundred seconds

- (1) Full notch braking at high speed
- (2) Continuous power-limiting brake
- (3) Stepwise power-limiting brake: **Approximate constant power brake** at high speed



Run-curves of three braking patterns



Deceleration of three braking patterns

# Human interface: display and vocal guidance



Drive assistance monitor

Voice  
speaker

# Concept for producing assistance info

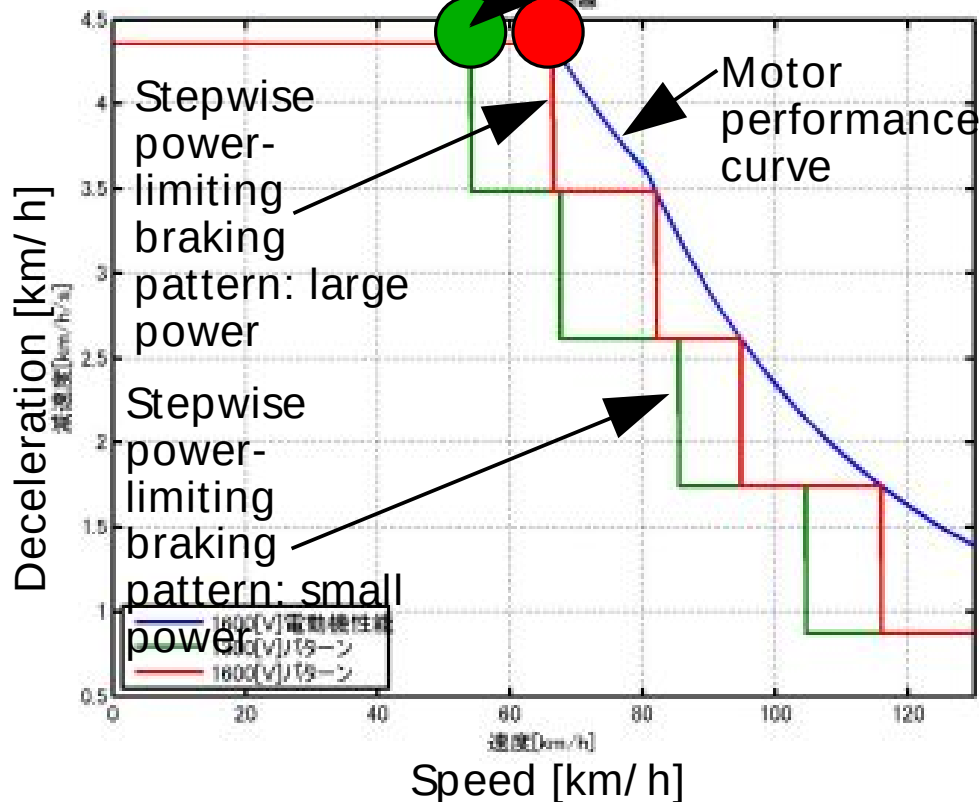
Vehicle test for human-friendly assistance 2012/10/12-15

**Double layer database-files**

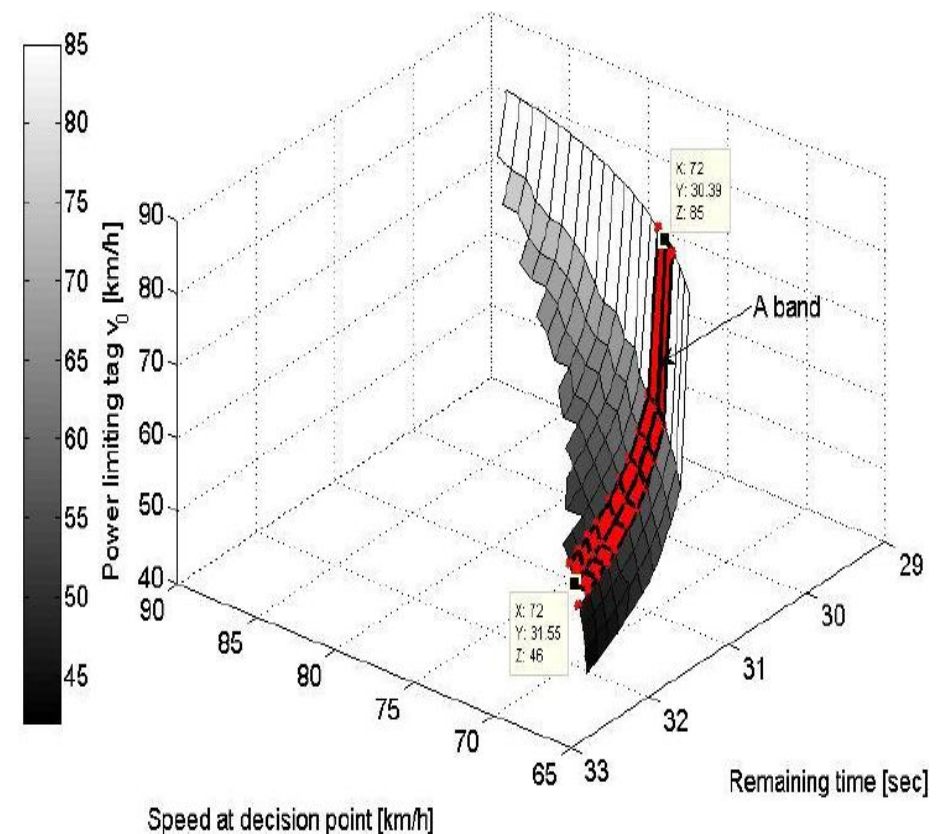
**Two dimensional table:**

**speed, time-reserve to braking-power limit index**

Max.-power operating points



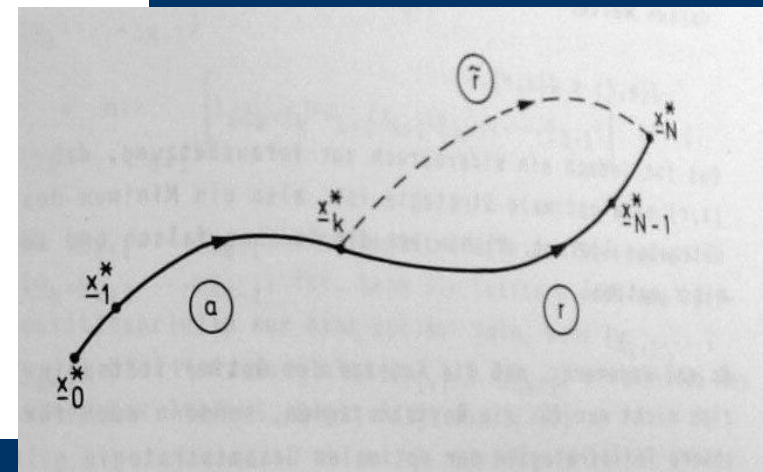
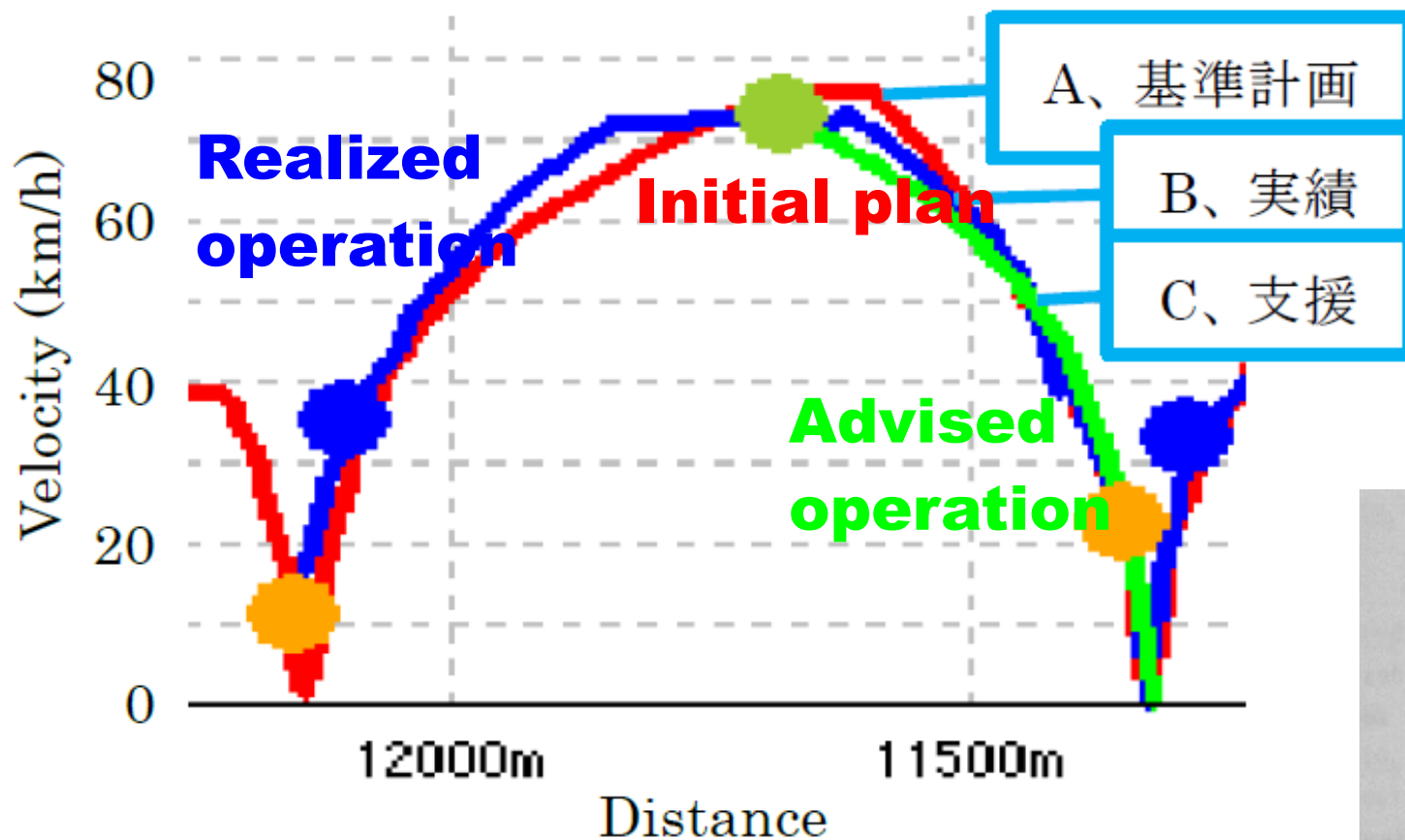
2D-table for searching for power limiting tag  $v_0$



# Assist starting point

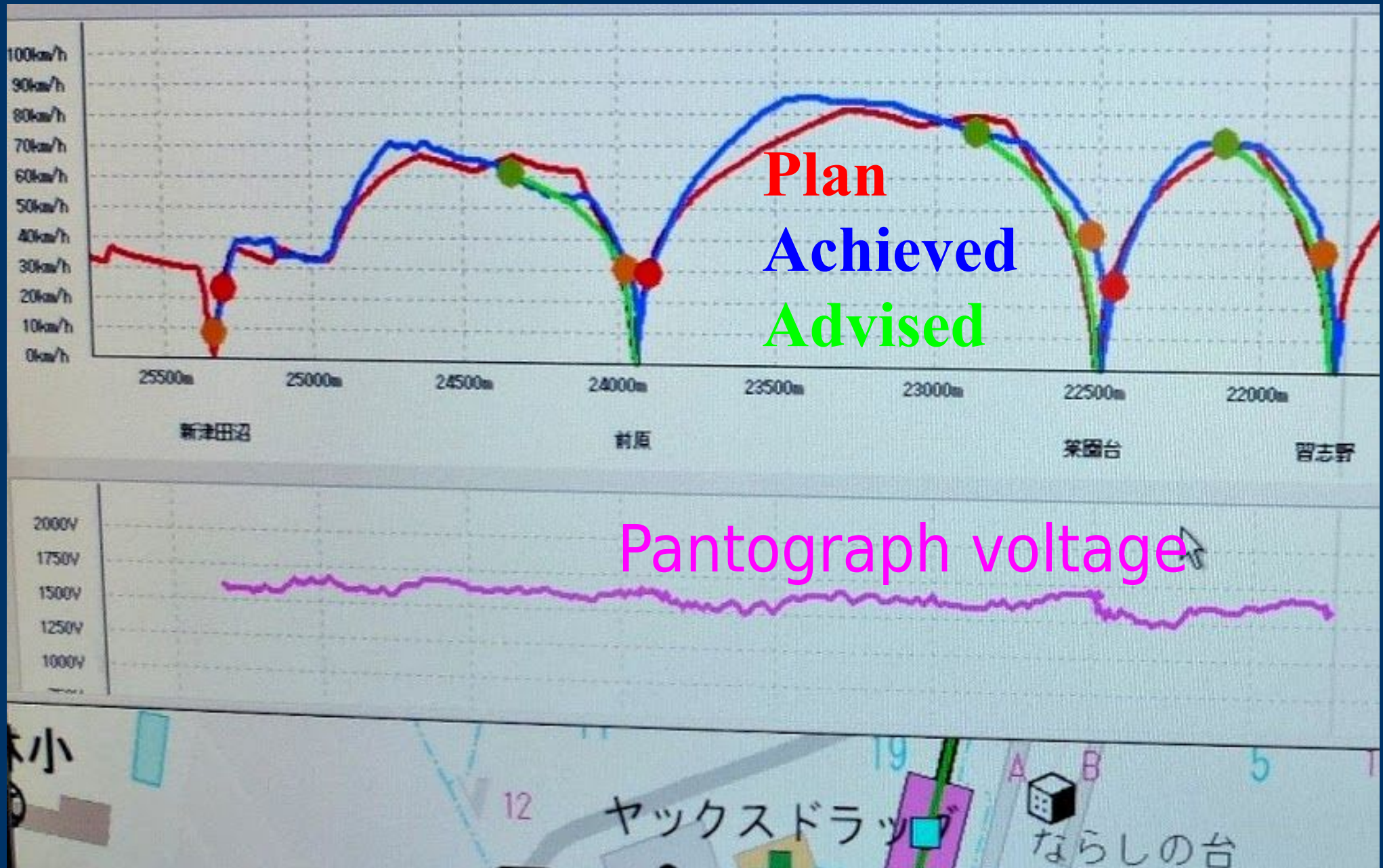
Fixed assist starting point  
Passing speed and time reserve  
=>Braking notch index

Identical idea to DP  
by R. Bellman  
Necessary condition  
for optimality



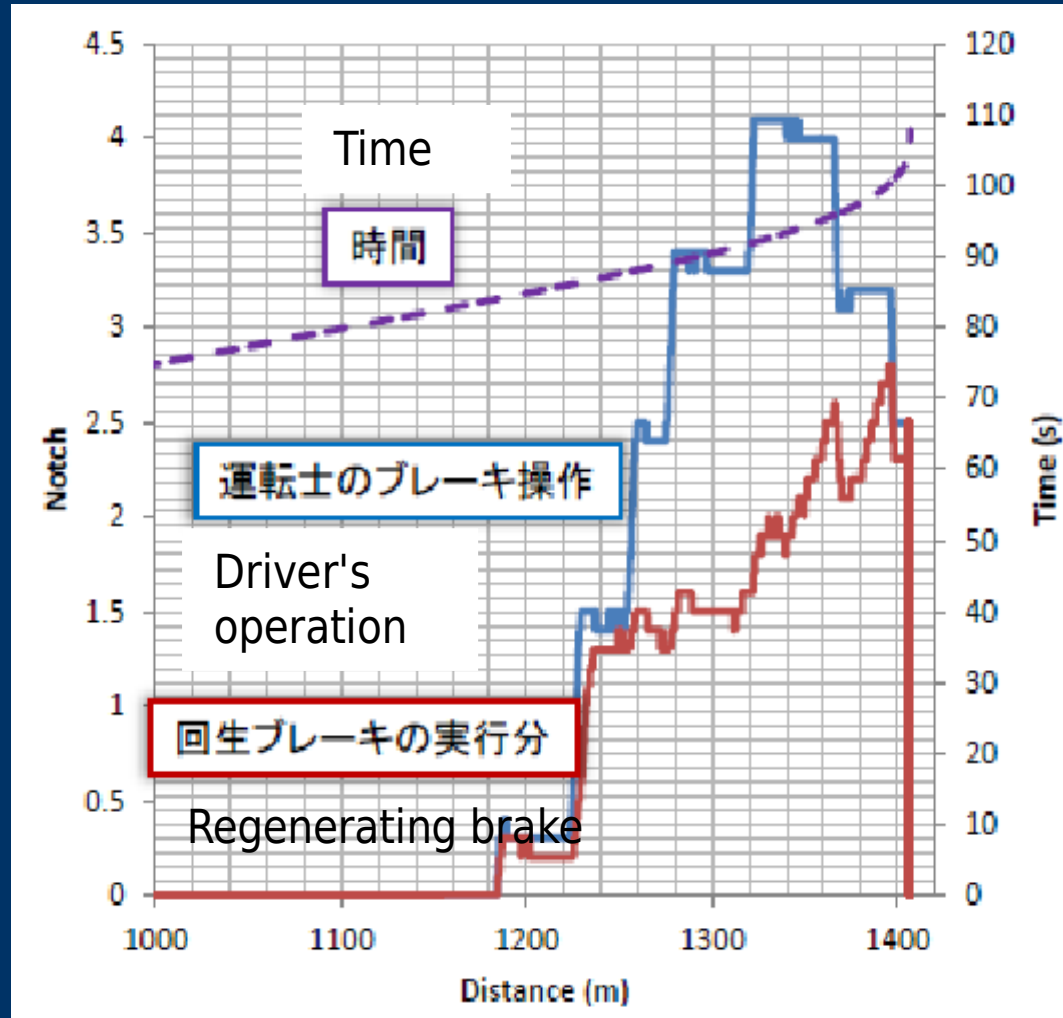


# The third vehicle test in October 2012

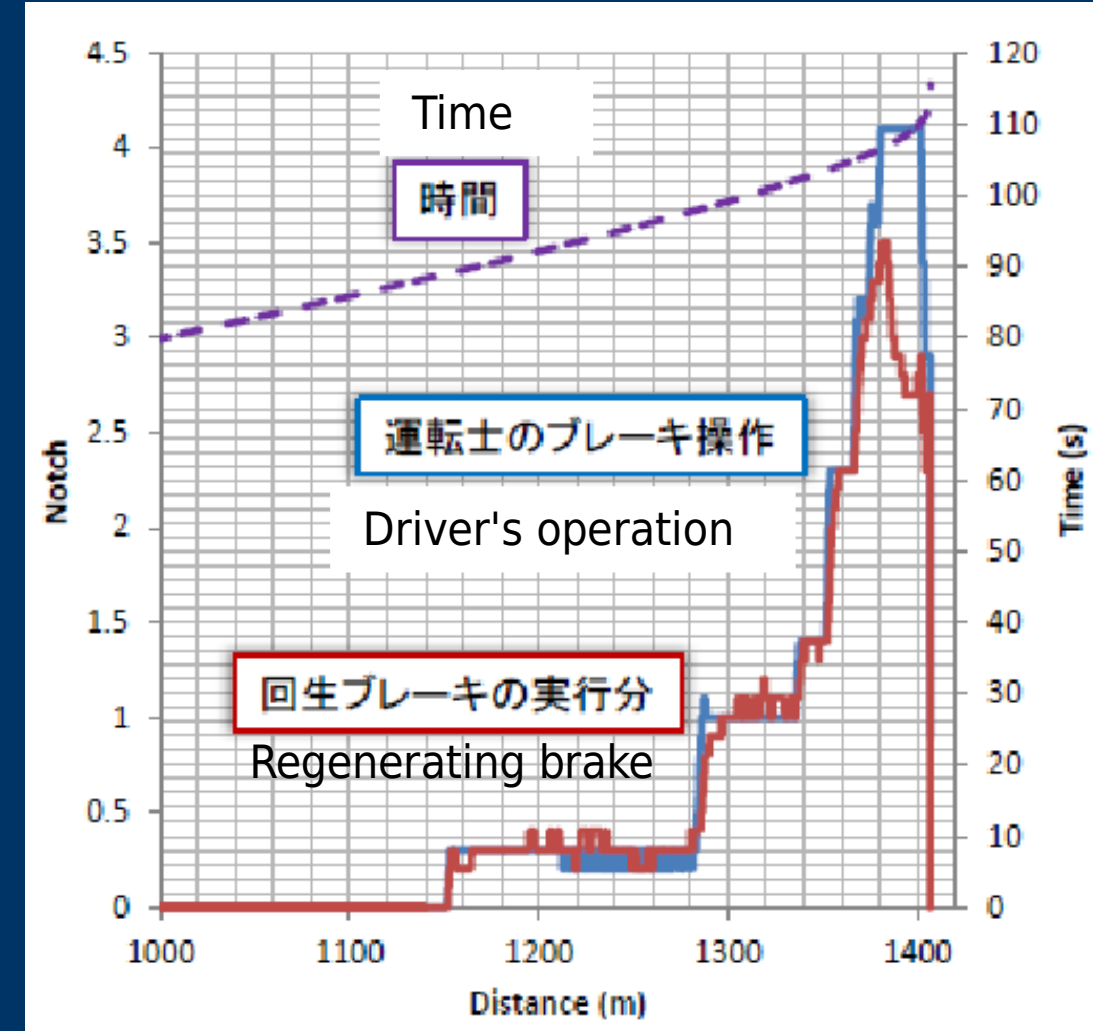


# Test results

## Action of regenerative brake



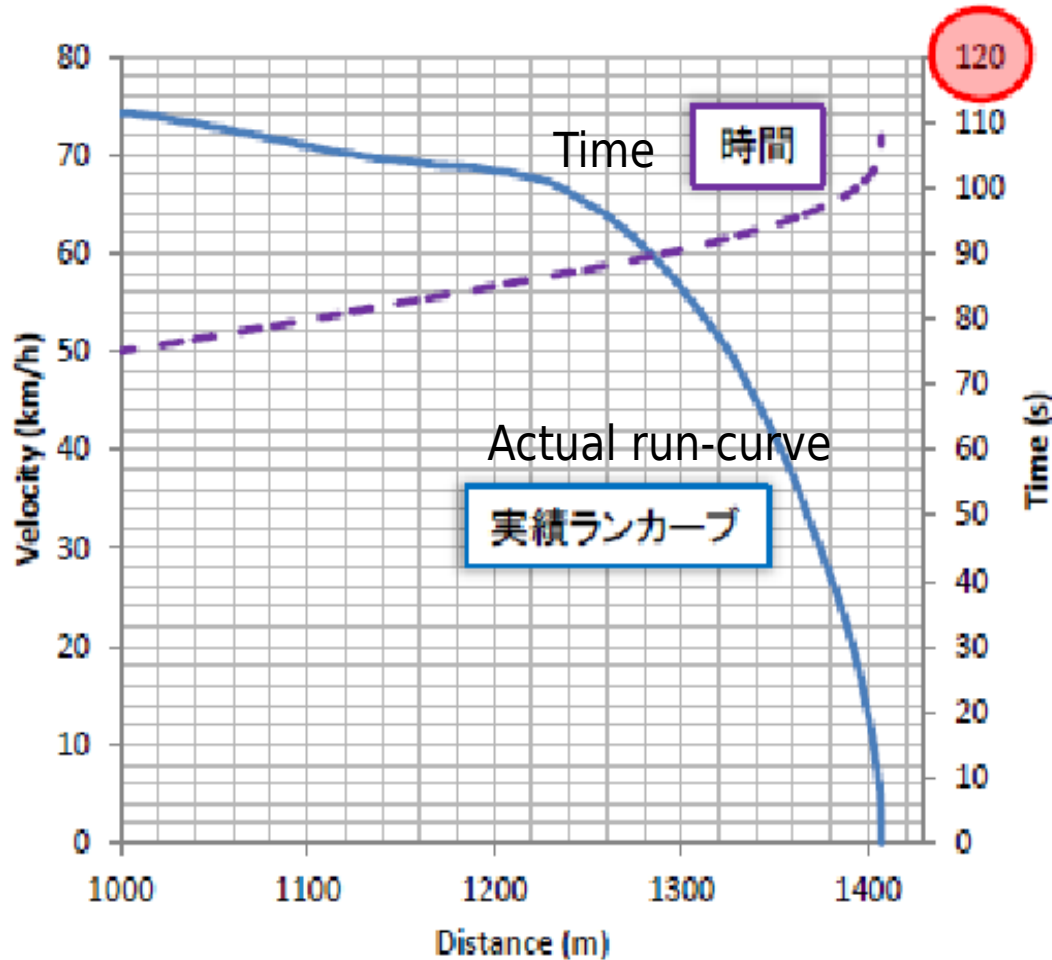
Braking without assistance



Braking with assistance

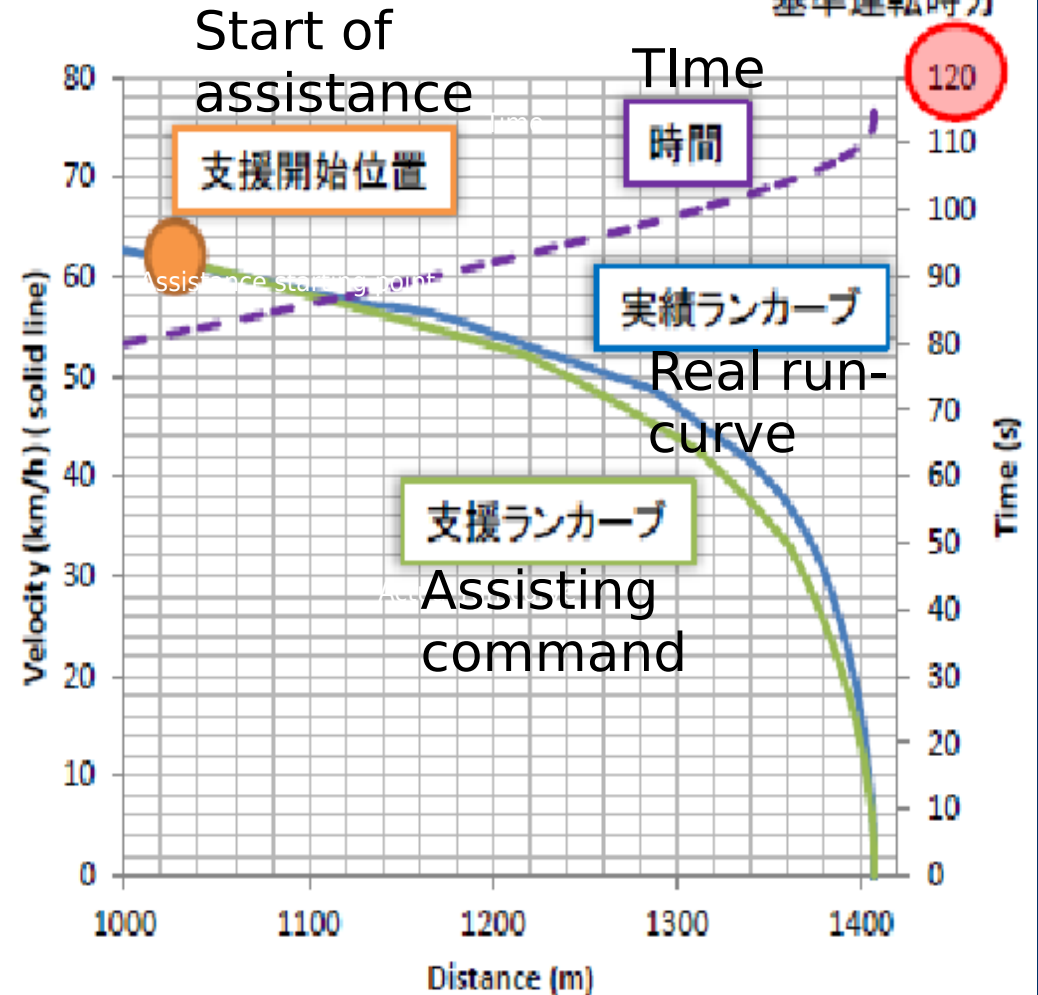
# Comparison of train run-curves

Standard scheduled time 基準運転時分



Braking without assistance

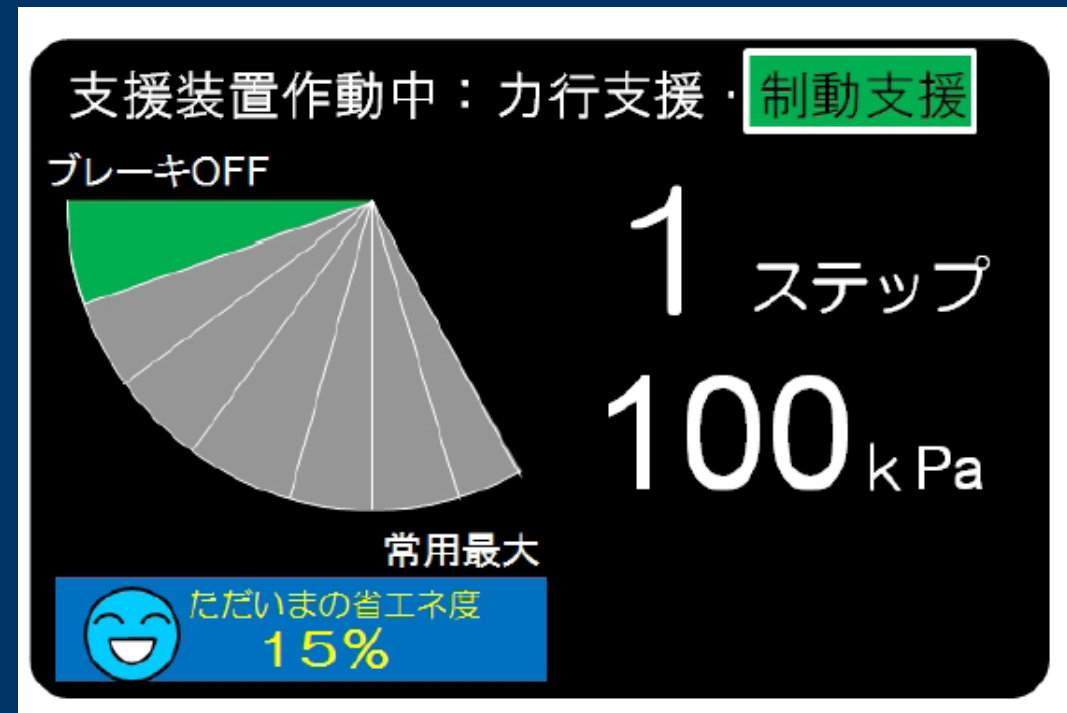
基準運転時分



Braking with assistance

# Opinion from train driver: problems

- Assist display
  - Good to understand
  - Info on regenerative loads is useful
  - Info on energy-waving achievement gives motivation
- Assist timing
  - Loss time by vocal guidance
  - **Earlier advises are** requested: 2 sec.
  -
- Advisory contents
  - **Assist start in arriving station is awful.**
  - **Intermediate feedback of the achievement: *incentive of drivers***



# Power management and energy-saving Automatic Train Operation for linear metros

2014-2017

# Introduction

- 1) The technical development of Japanese linear metros started in **1979**.
- 2) After the commercial operation in the 7th line **at Osaka in March 1990**, the technology has been extensively applied: commercial line of **115km in Japan**.
- 3) Japan has **the longest commercial line of linear subways**.
- 4) LIM has much worse efficiency and power factor.
- 5) Larger traction energy demand is a major problem.
- 6) Japan Subway Association (**JSA**) started technical investigation to realize more efficient linear metro systems in 2010.
- 7) Let us improve running profile by its automatic train operation (**ATO**).

# *Background*

## Iron Wheel/Rail System with Linear Induction Drives

# Global market :Bombardier system



Starting from Skytrain in Vancouver, the business market is now extended to Malaysia, Singapore, China, Korea,...

Bombardier has its own test line for operational test and training at Kingsto, Canada.



## Korean application



Revenue service  
2009(?)  
11.5km dual-lane  
alignment  
15 stations  
30 ART MK II  
vehicles



# Global market: Southern-eastern Asia



シンガポール、マレーシアには  
Bombardier社システム導入実  
績が既にある

Bombardier has  
already supplied  
commercially  
operated systems in  
**Singapore and  
Malaysia.**

**PUTRA line in  
Malaysia**

# Global market: CHINA

中国は .....



Chinese linear metros

Source: Bombardier

# **Japanese Linear Metros**

**the Largest Linear  
Driven Train Network**

# Linear Metros

*being developed from 1979*



**Linear Motor bogie**

Source: Japan Subway Association

# The First application: Osaka-Nagahori-Tsurumi-Ryokuchi Line



March, 1990  
5.2km partially opened

August, 1997  
15.0km whole line  
opened

17 stations

4cars × 25units

Source: Japan Subway Association

# Depot for Osaka Imazatosuji-Line. (Technical visit by IEC/PT62520 members)



December, 2006

11.9km partially opened

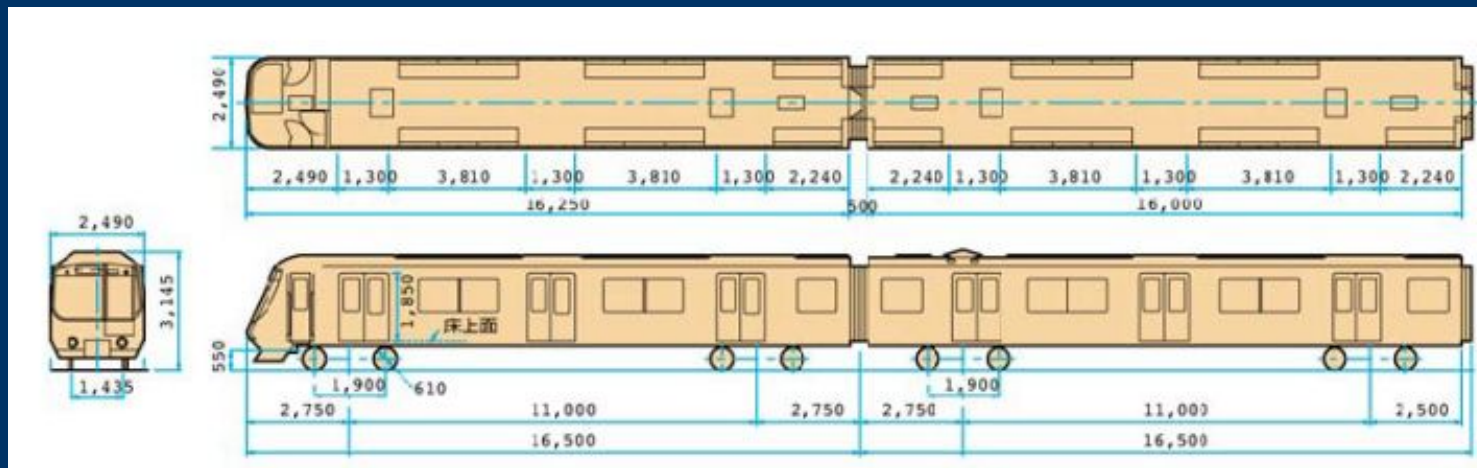
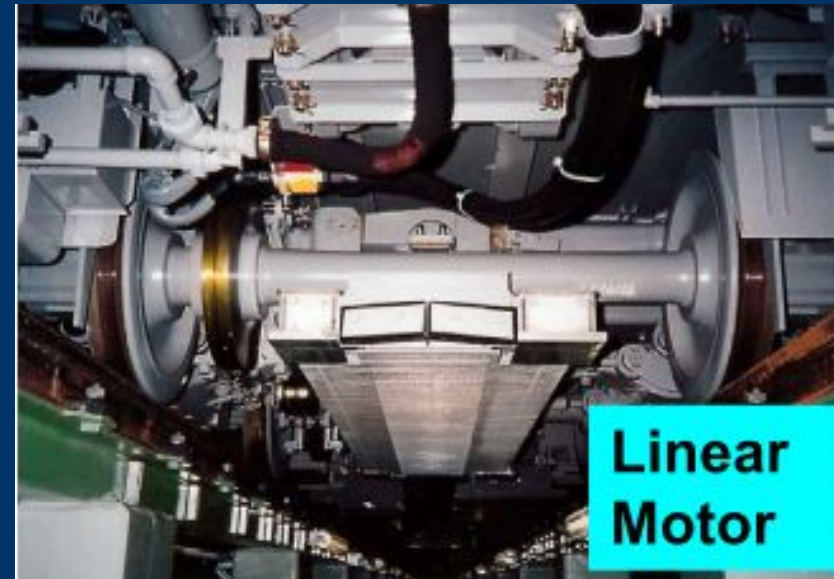
11 stations

4cars × 20units

Source: Japan Subway Association

# Tokyo Ring Transport

## Oedo-Line: Japanese standard vehicle



December, 1991  
3.8km partially opened

December, 2000  
40.7km whole line opened  
38 stations  
8cars × 53units

**Japanese standard rolling-stock** Source: Japan Subway Association

# Kobe-Kaigan-Line



July, 2001  
7.9km opened

10 stations

4cars × 10units



Source: Japan Subway Association



# Fukuoka Tozai-Line (The 1<sup>st</sup> DTO-ready system)



February, 2005  
12.0km opened

16 stations

4cars × 17units

Driverless System



Source: Japan Subway Association

# Yokohama Green Line



March 2008

13.1km

10 stations

4cars × 17units



Source: Japan Subway Association

# Sendai Tozai Line



December 2015

13.9km

13 Stations

4cars X15 unis

Linear Metros  
**Total 115km**



Source: Japan Subway Association



# Smaller and lighter primary



Changing design  
priority:

Efficiency to volume



# Japanese Linear Metros born in Osaka



The 10<sup>th</sup> “One Step on Electro-Technology” of IEE-Japan

# Power management and energy-saving Automatic Train Operation for linear metros

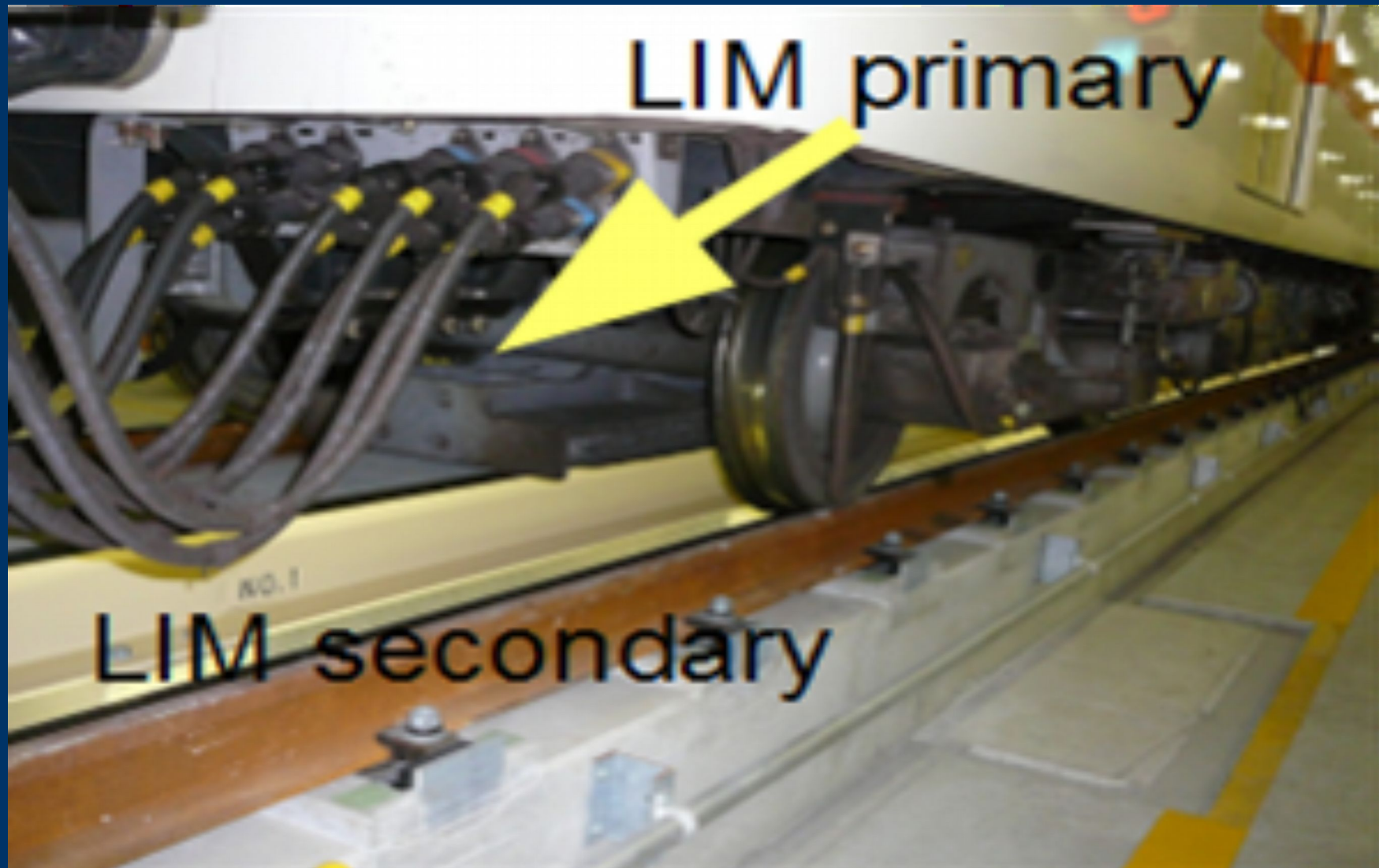
2014-2017

# Introduction

- 1) The technical development of Japanese linear metros started in **1979**.
- 2) After the commercial operation in the 7th line at **Osaka in March 1990**, the technology has been extensively applied: commercial line of 115km in Japan.
- 3) Japan has **the longest commercial line of linear subways**.
- 4) LIM has much **worse efficiency and power factor**.
- 5) **Larger traction energy demand** is a major problem.
- 6) Japan Subway Association (**JSA**) started technical investigation to realize **more efficient linear metro systems in 2010**.
- 7) Let us **improve running profile by its automatic train operation (ATO)**.



# Linear Induction Motor at a linear-metro rolling stock

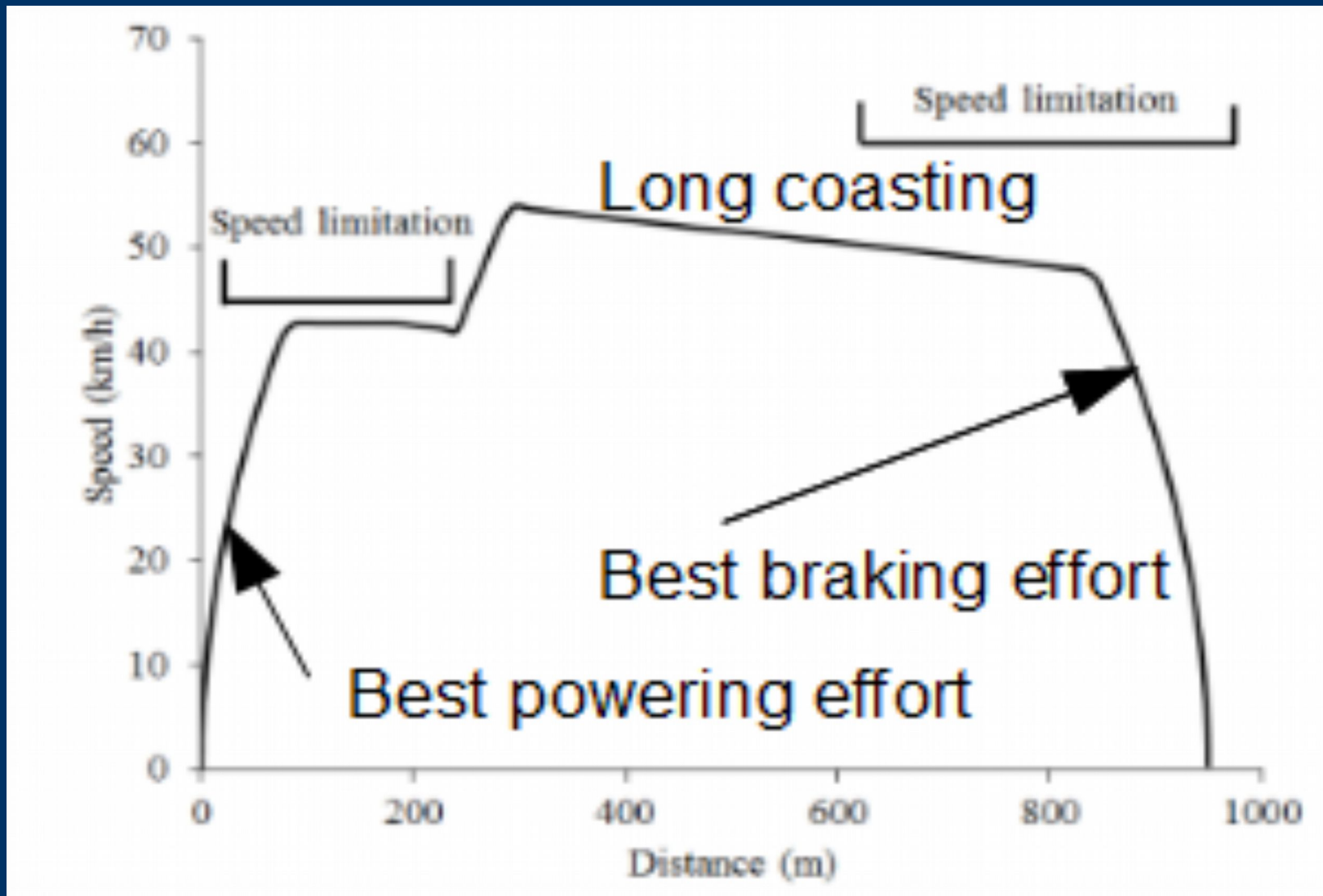


# **Theoretical aspect for energy-saving effort**

# General strategies to reduce energy

- 1) Improved design of **LIM-primary to reduce weight** of onboard traction component.
- 2) **Reduction of magnetic gap length** of the LIM.
- 3) Improvement of the **form and structure of secondary conductor** for reducing secondary resistance, and consequently, secondary loss.
- 4) Introduction of **novel self-steering bogies to reduce running-resistance** during passing curvatures.
- 5) **Train operation for better power-management!**

# Fundamental strategies for energy-saving operation

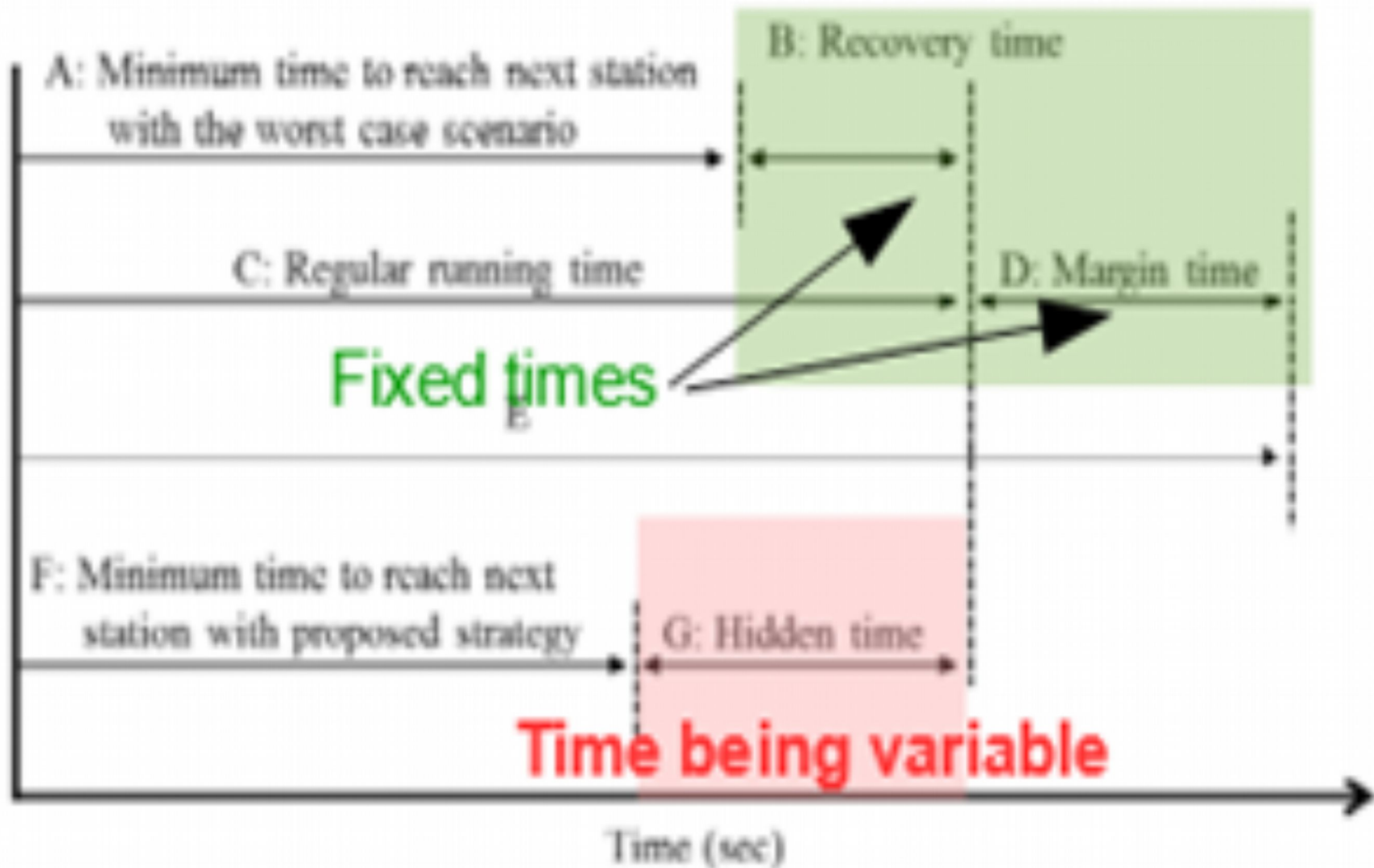


# Three strategies for energy-saving train operation

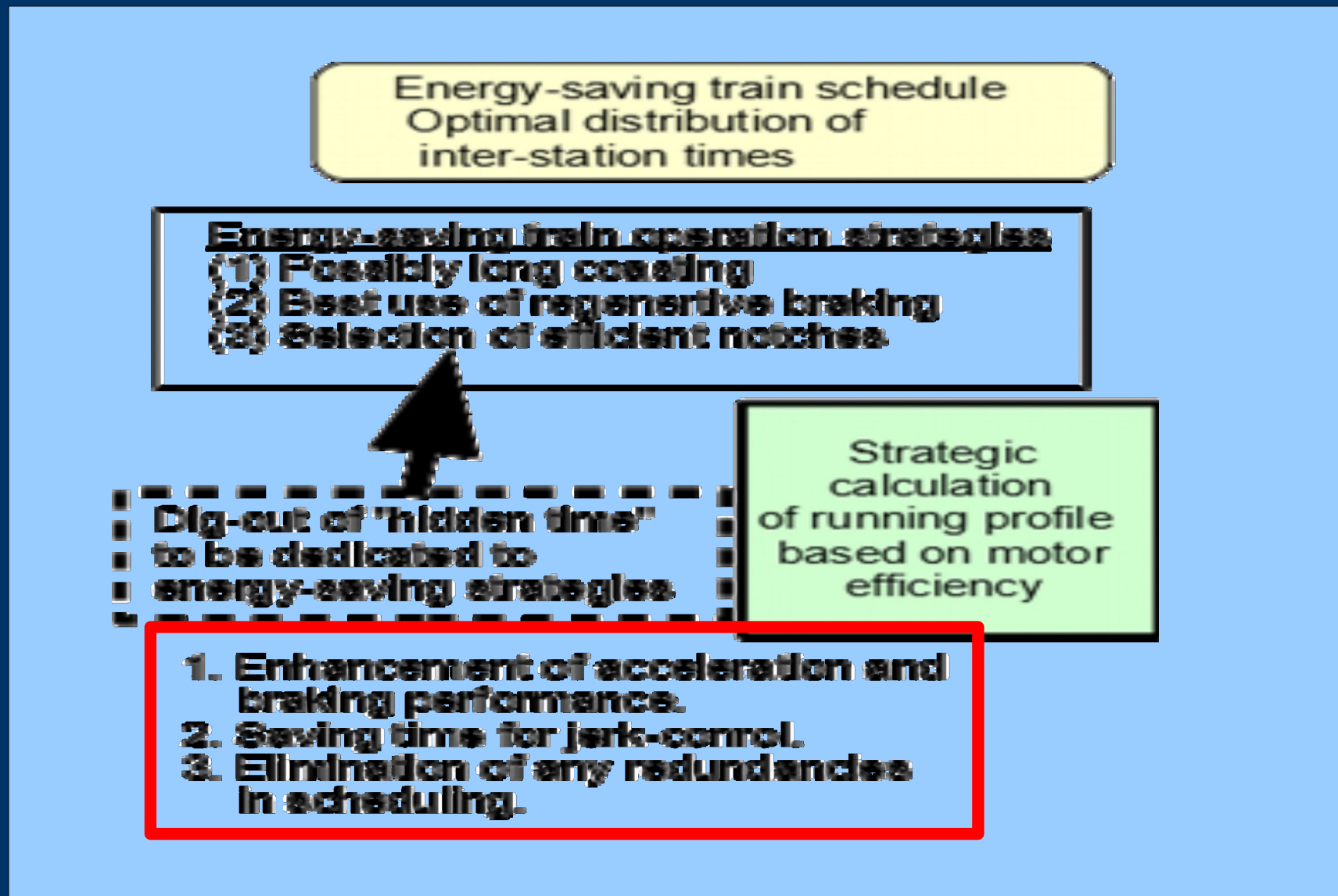
- 1) introduction of possibly **long coasting** instead of speed- constant weak powering operation at high speed,
- 2) best use of regenerative brakes, *i.e.*, **power-limiting brakes** at high speed, and
- 3) selection of efficient powering/braking command inputs, *i.e.*, **combination of the best efforts both in powering and braking** modes in principle. *However,*

**Keep the traveling time!**

# Hidden time for energy-saving efforts



# How to dig out “hidden time” for energy-saving

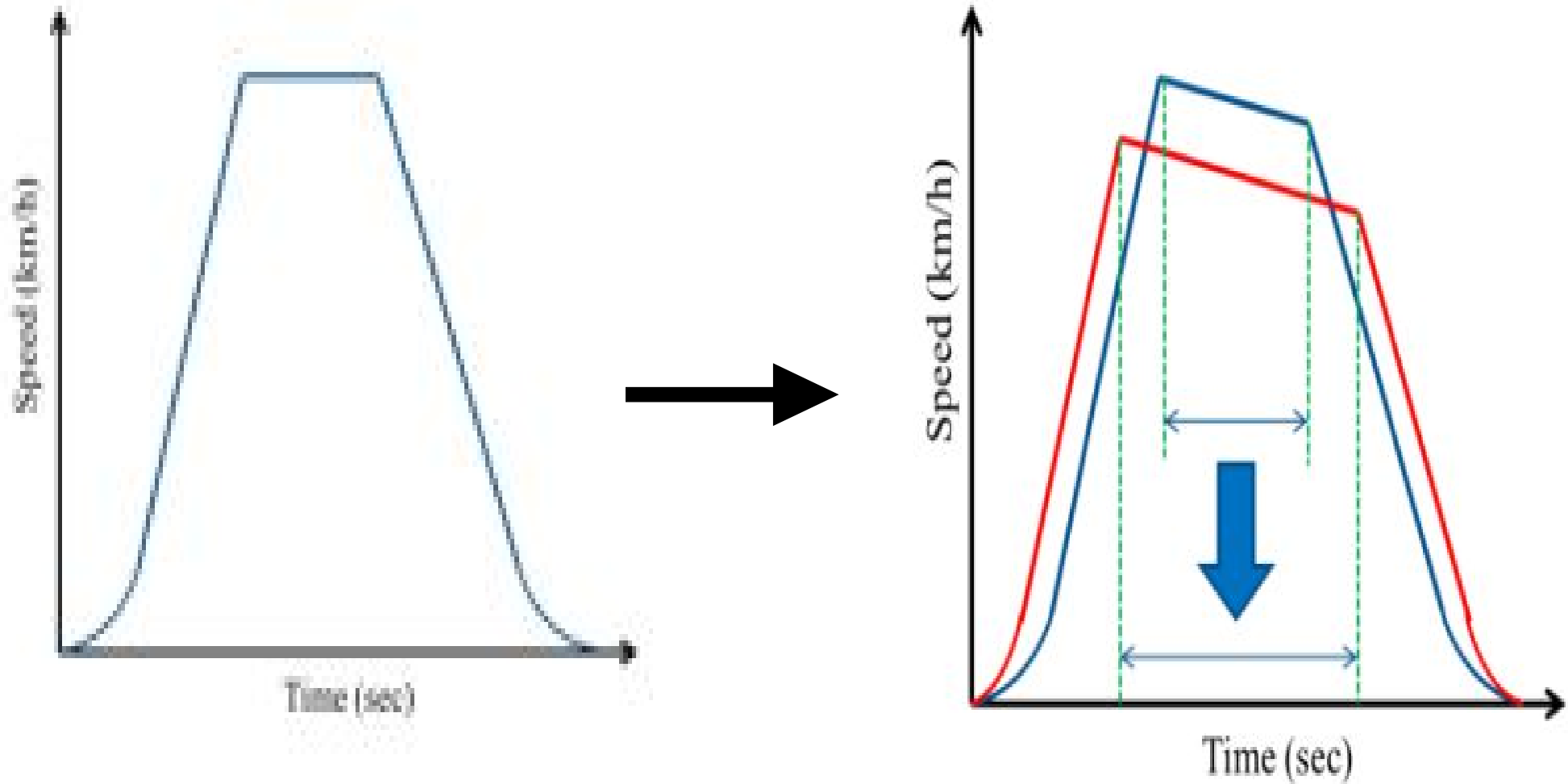


# Three strategies for energy-saving train operation

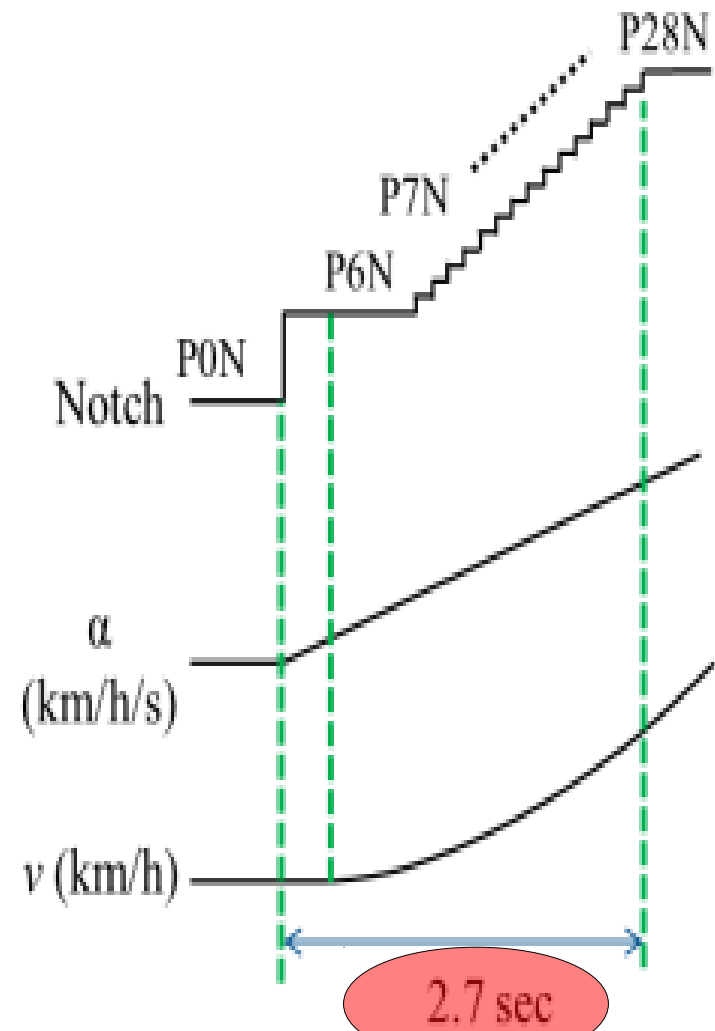
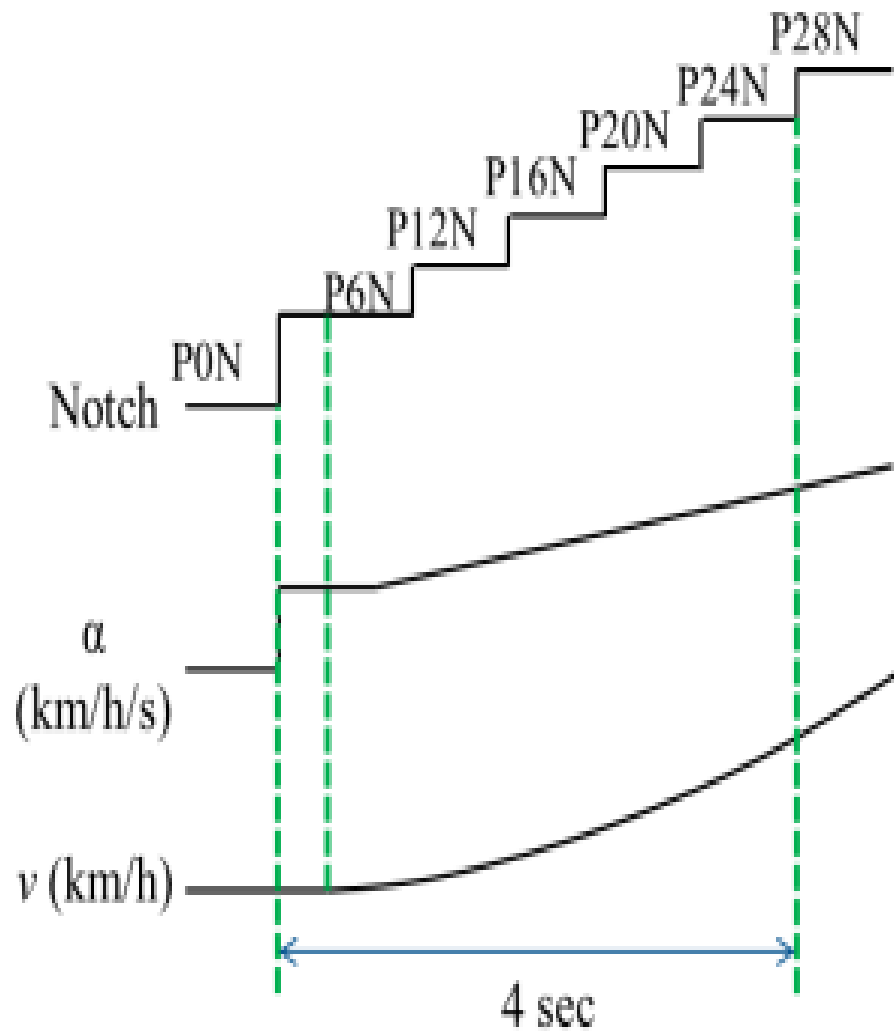
- 1) introduction of possibly long coasting instead of speed-constant weak powering operation at high speed,
- 2) best use of regenerative brakes, *i.e.*, power-limiting brakes at high speed, and
- 3) selection of efficient powering/braking command inputs, *i.e.*, combination of the best efforts both in powering and braking modes in principle.



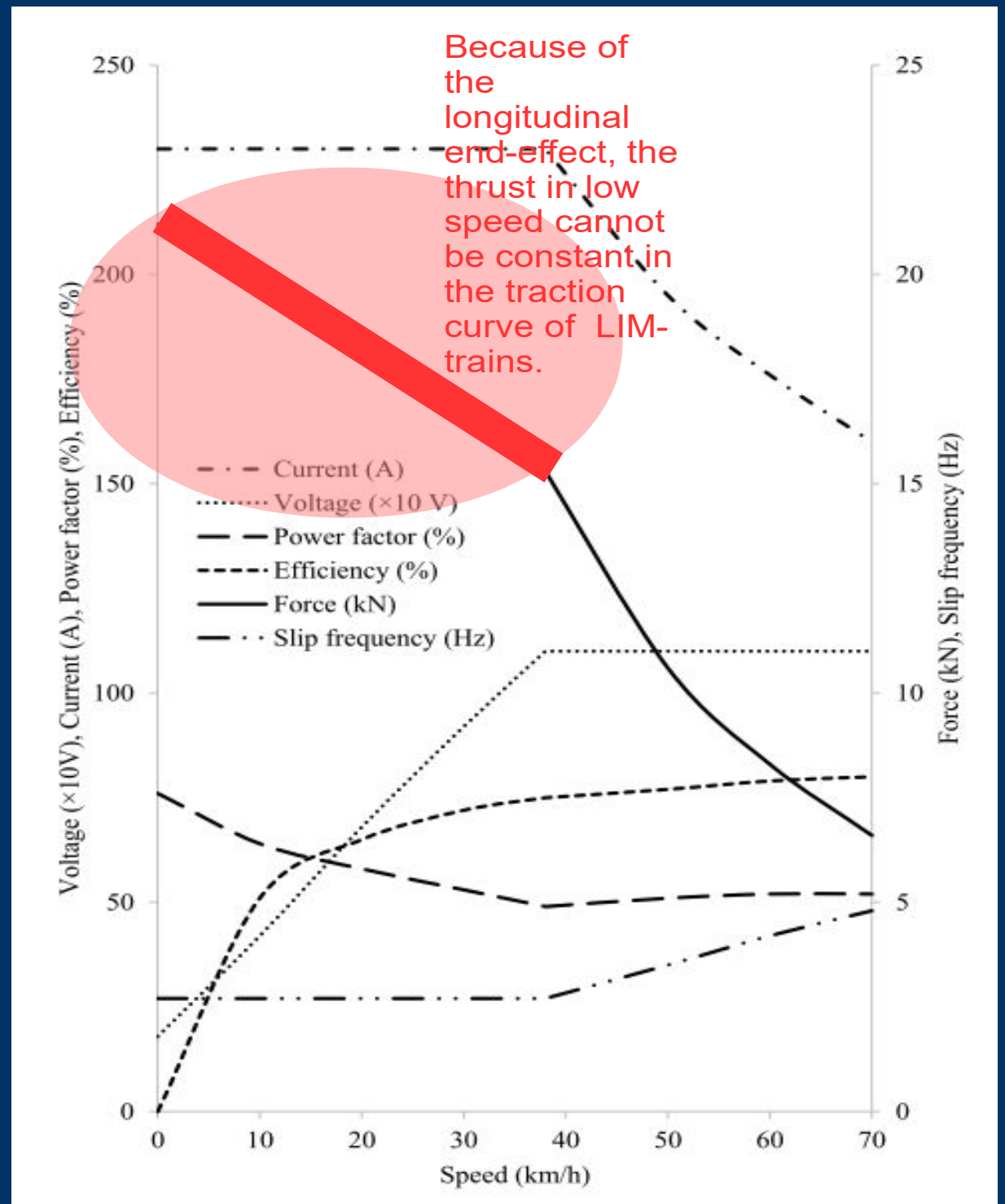
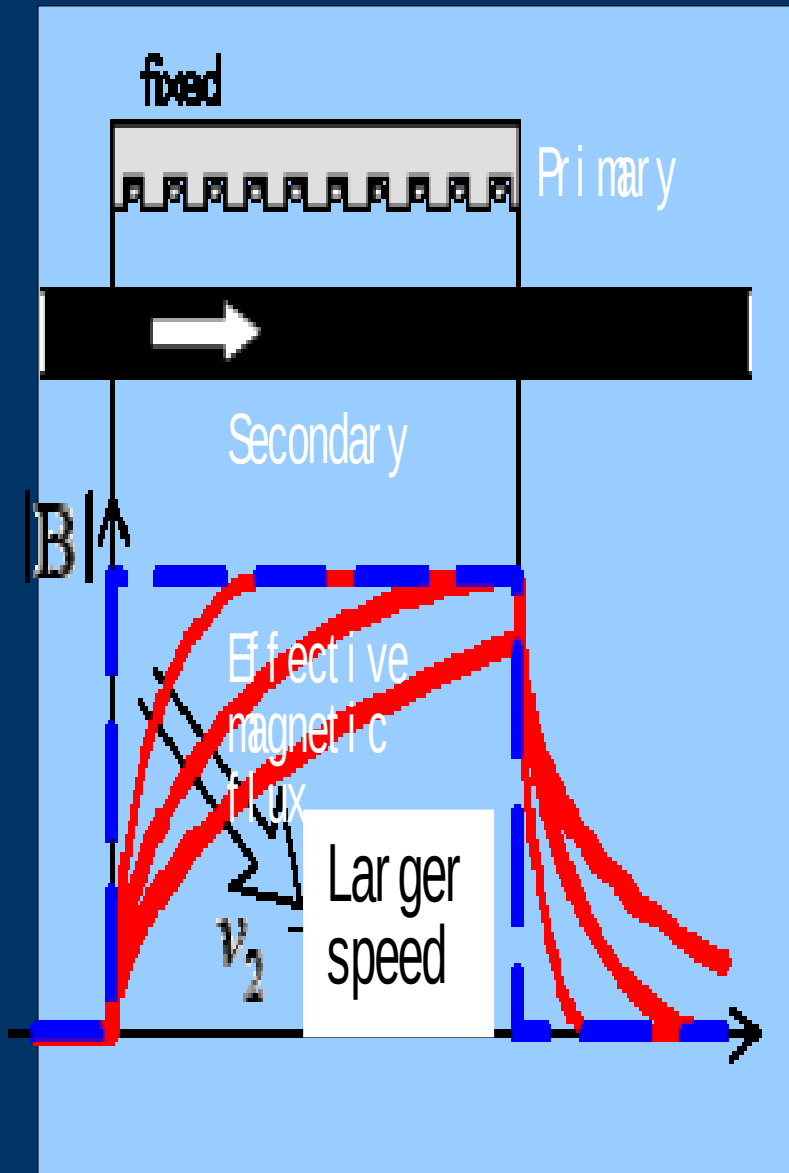
# Image of improved running profile



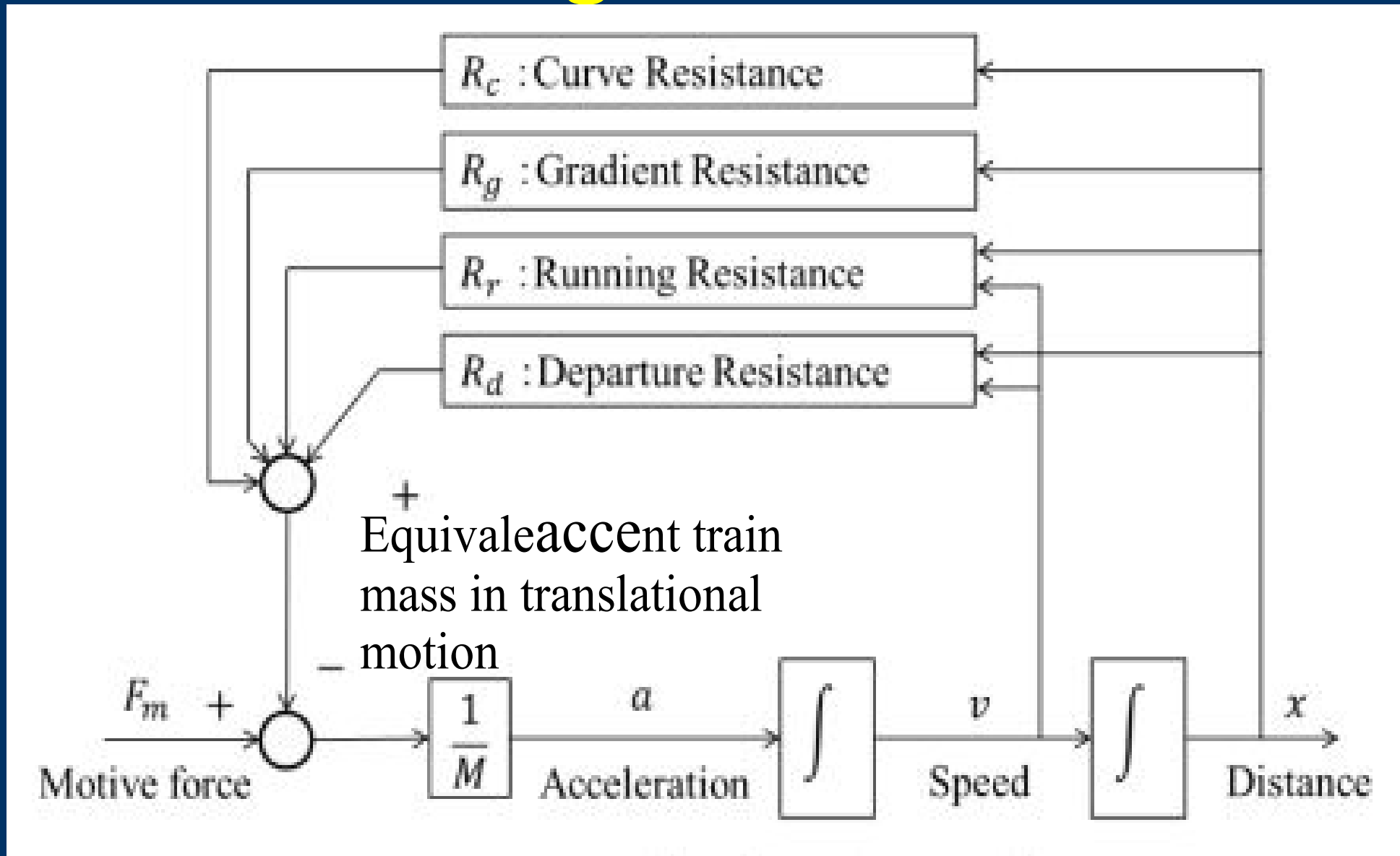
# Fine and shorter jerk control



# Traction curve of a LIM

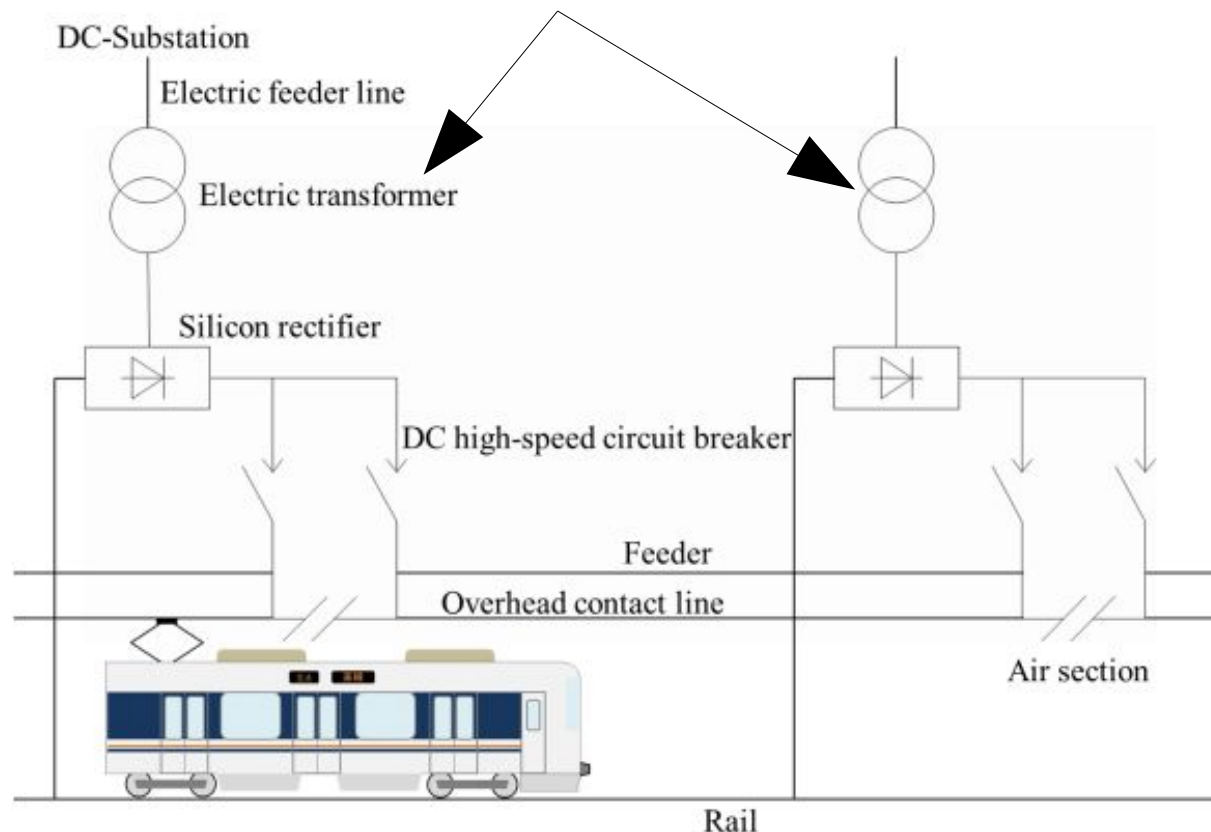


# Block diagram for numerically calculating a train motion



# Circuit configuration of DC-power supply for calculating change of pantograph voltage

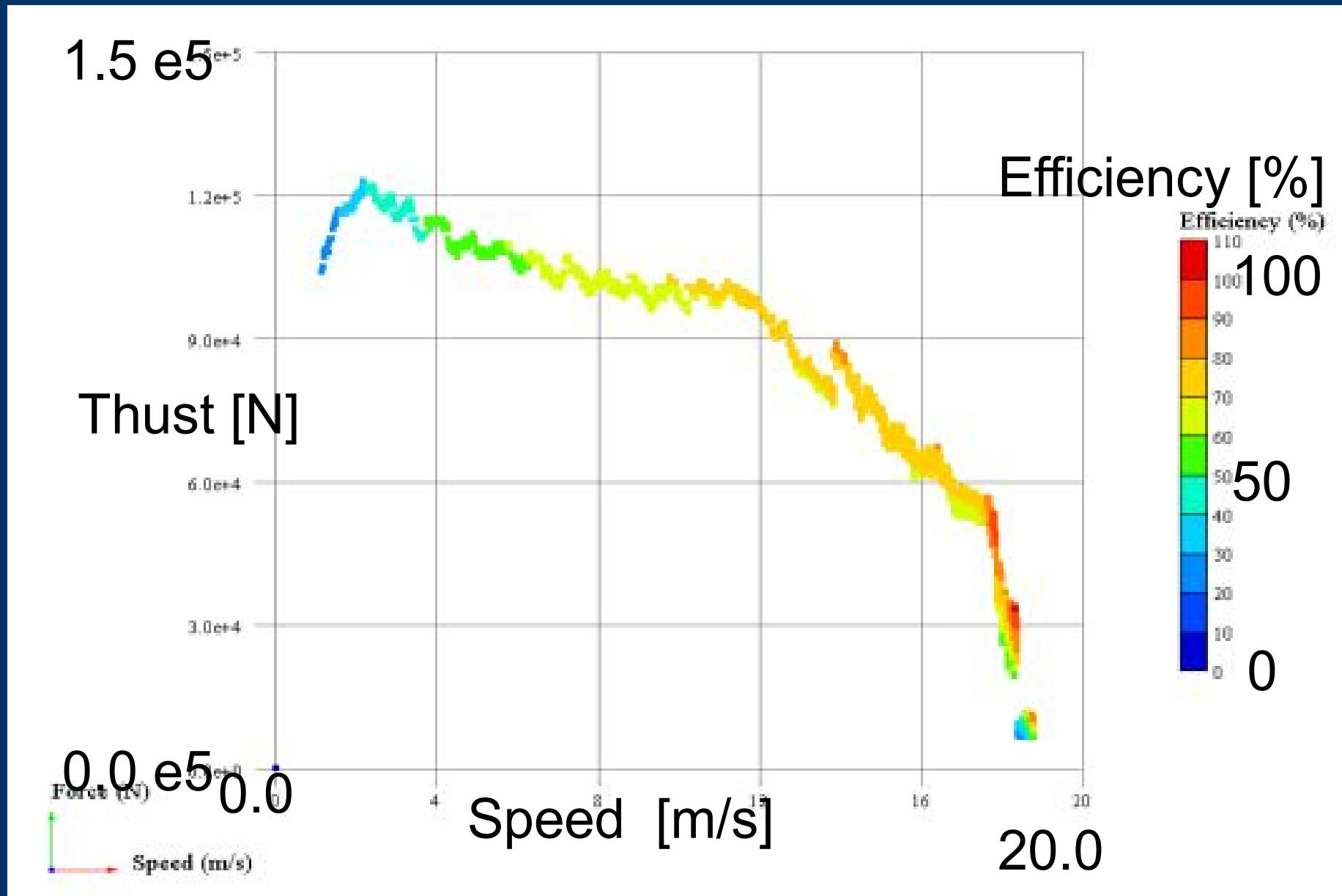
Relatively large power demand other than traction is expected in many subway systems. Therefore, there are also regenerative power converters in many substations in subways.



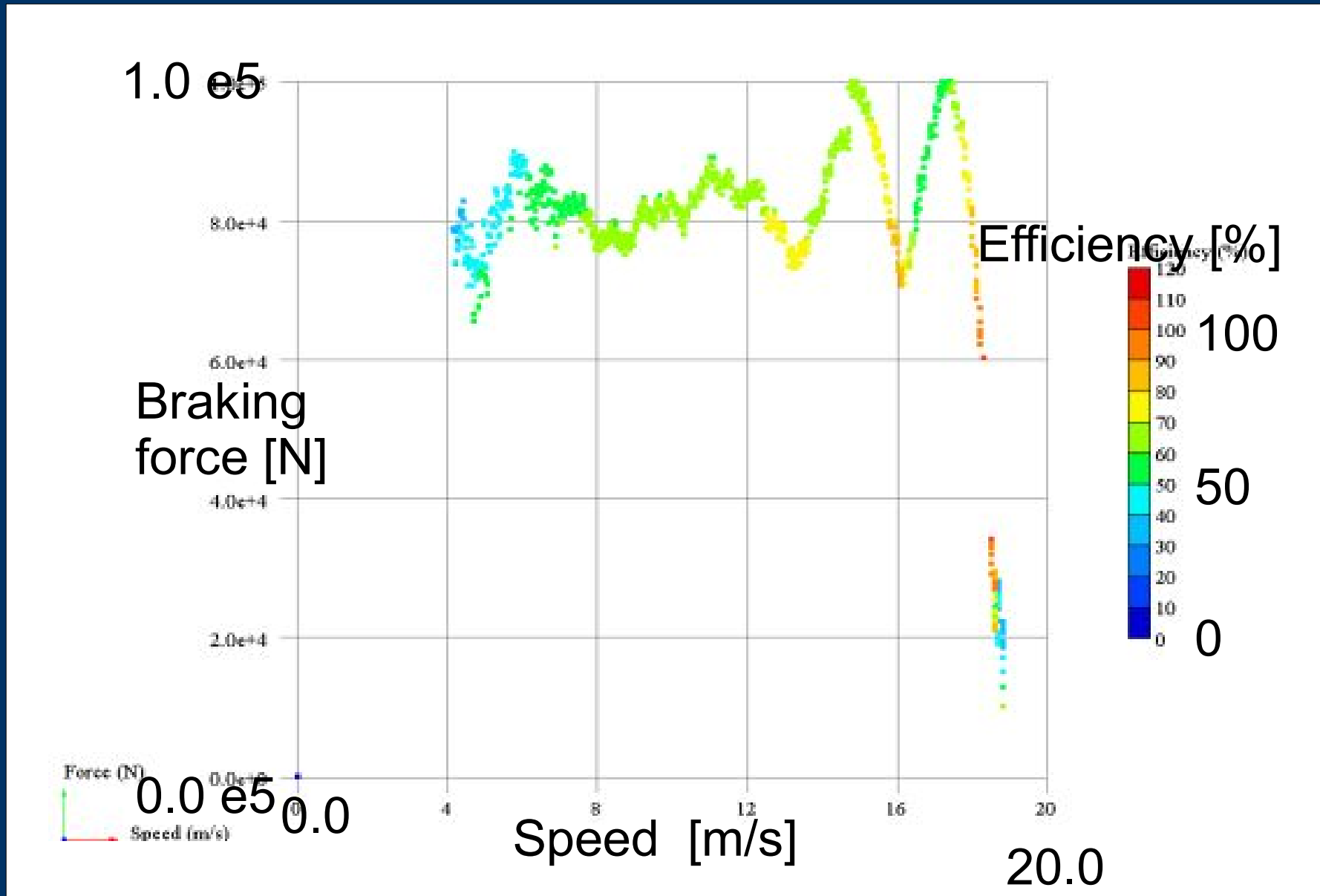
# Three strategies for energy-saving train operation

- 1) introduction of possibly long coasting instead of speed- constant weak powering operation at high speed,
- 2) best use of regenerative brakes, *i.e.*, power-limiting brakes at high speed, and
- 3) selection of efficient powering/braking command inputs, *i.e.*, **combination of the best efforts both in powering and braking** modes in principle.

# Efficiency map in powering mode

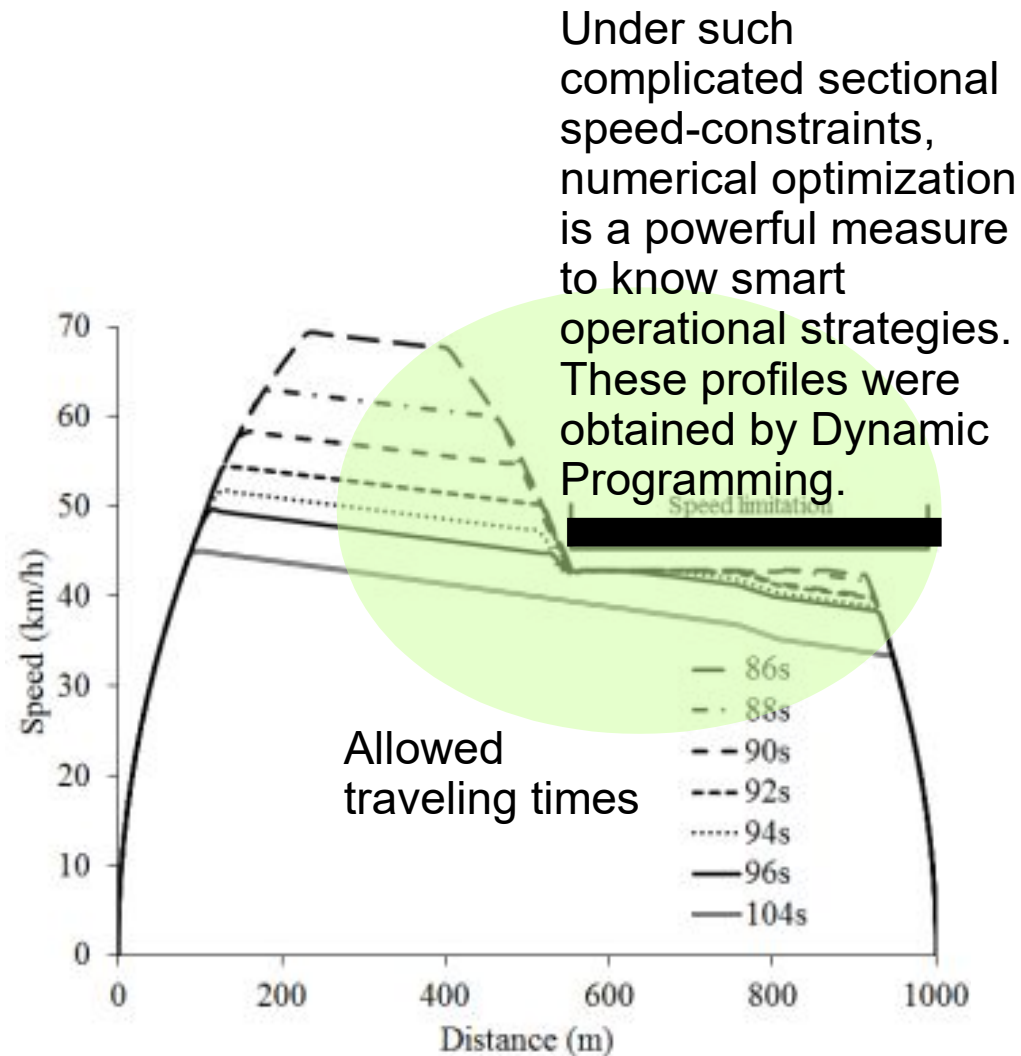


# Efficiency map in braking mode





# An example of train-running profiles under sectional inequality constraints in speed numerically optimized by dynamic programming

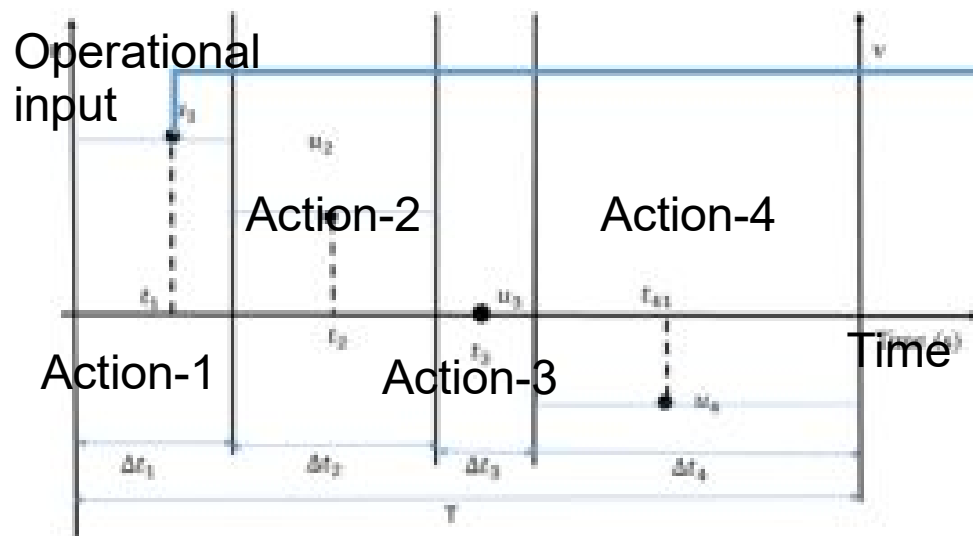


**New theory**

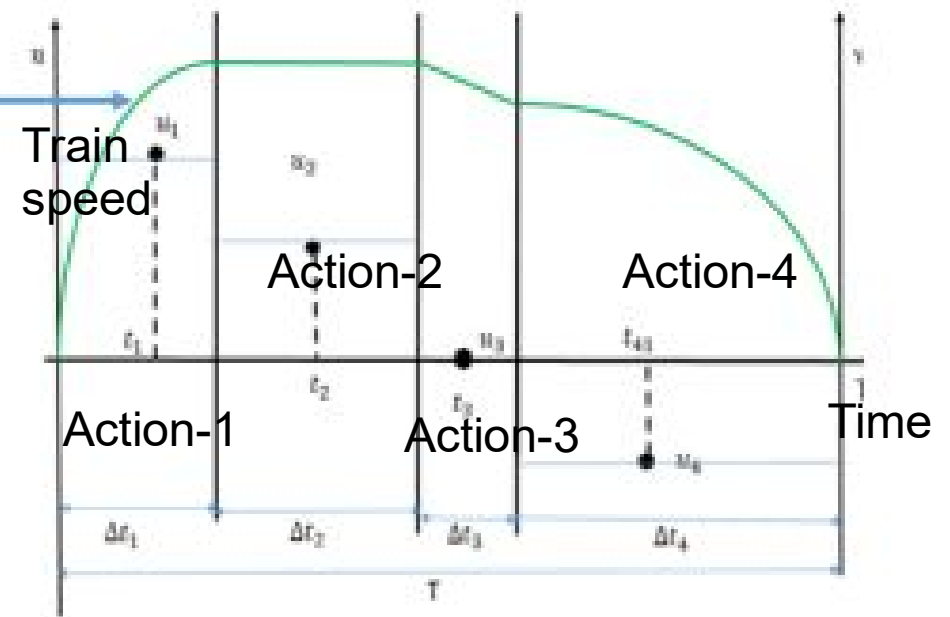
**for energy-saving effort**

# Optimization for difficult cases

## Fundamental modeling for parametric optimization of notch-input actions



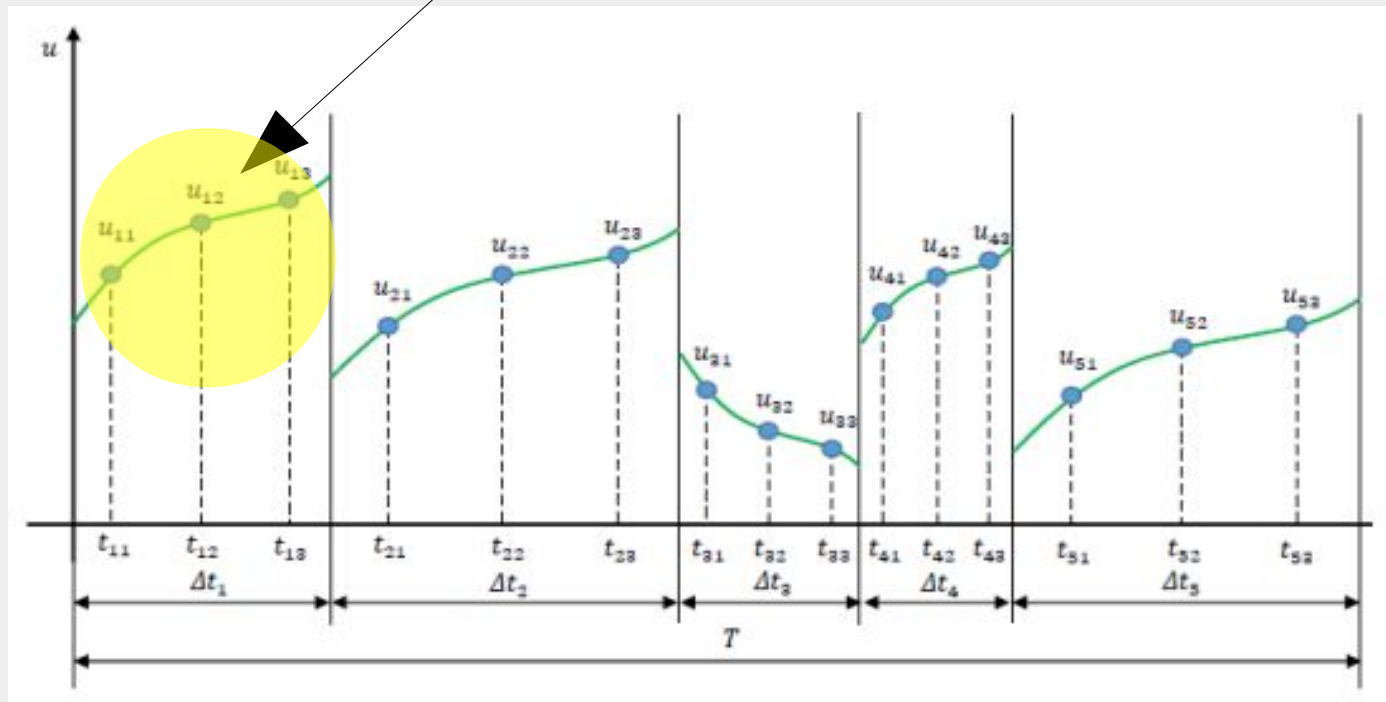
(a) Operational input level



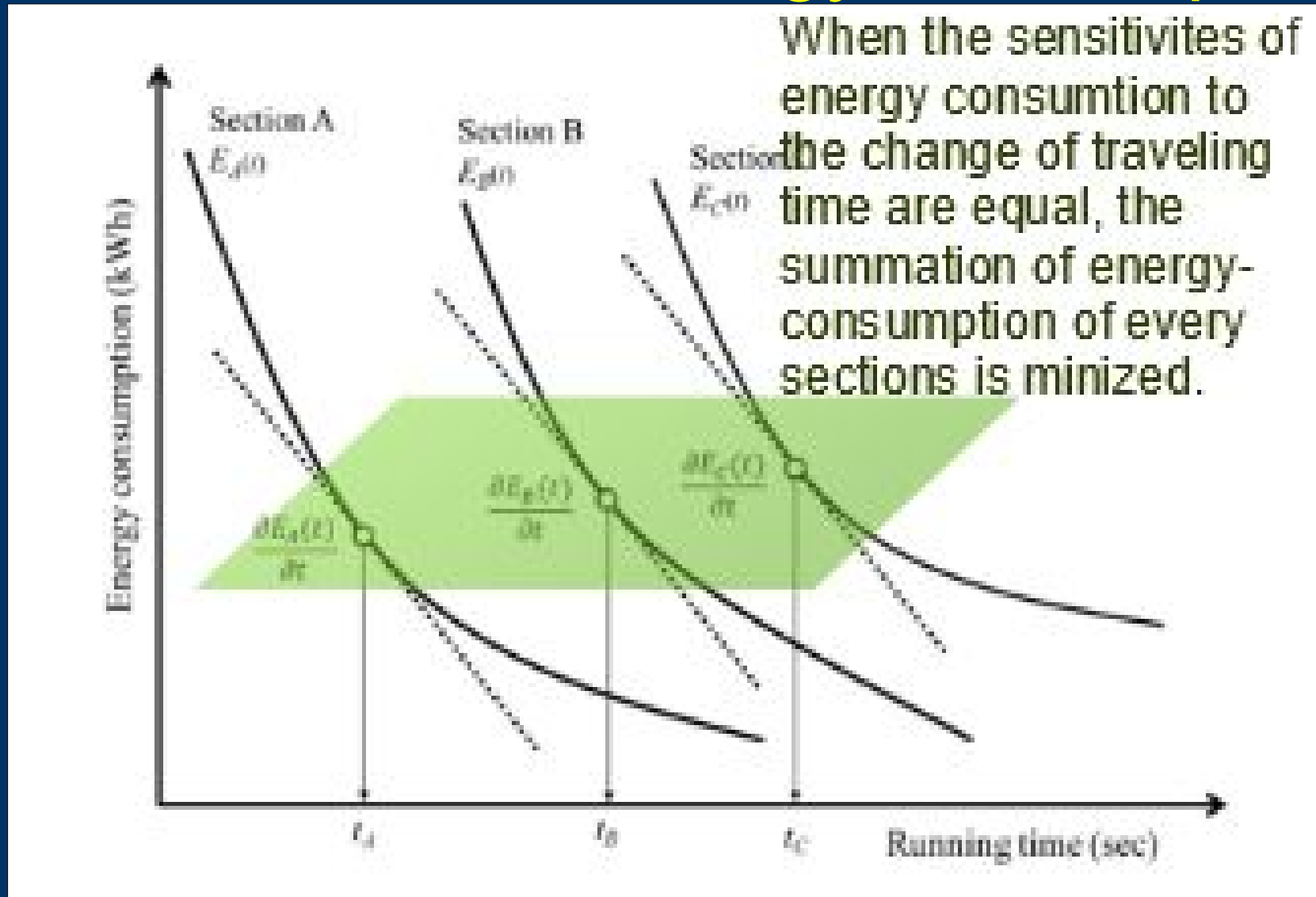
(b) Resultant speed profile

# Parametric optimization of notch-input actions

If the input actions are requested to be continuous, each action may be approximated as polynomials. For instance, when each input action is approximated as the second-order polynomial, the amount of the input are represented three points per action as illustrated in this figure.



# Energy-Time chart and equal sensitivity condition for total minimal energy consumption

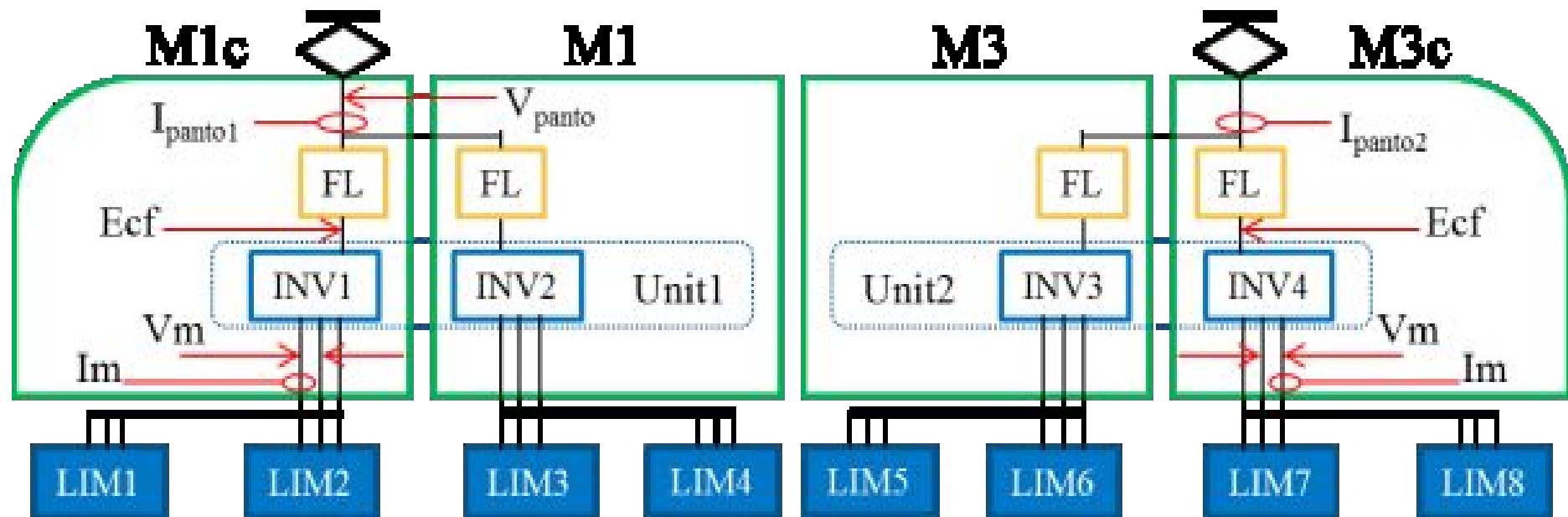


# Experimental verification

## Vehicle test on a commercial track in 2015



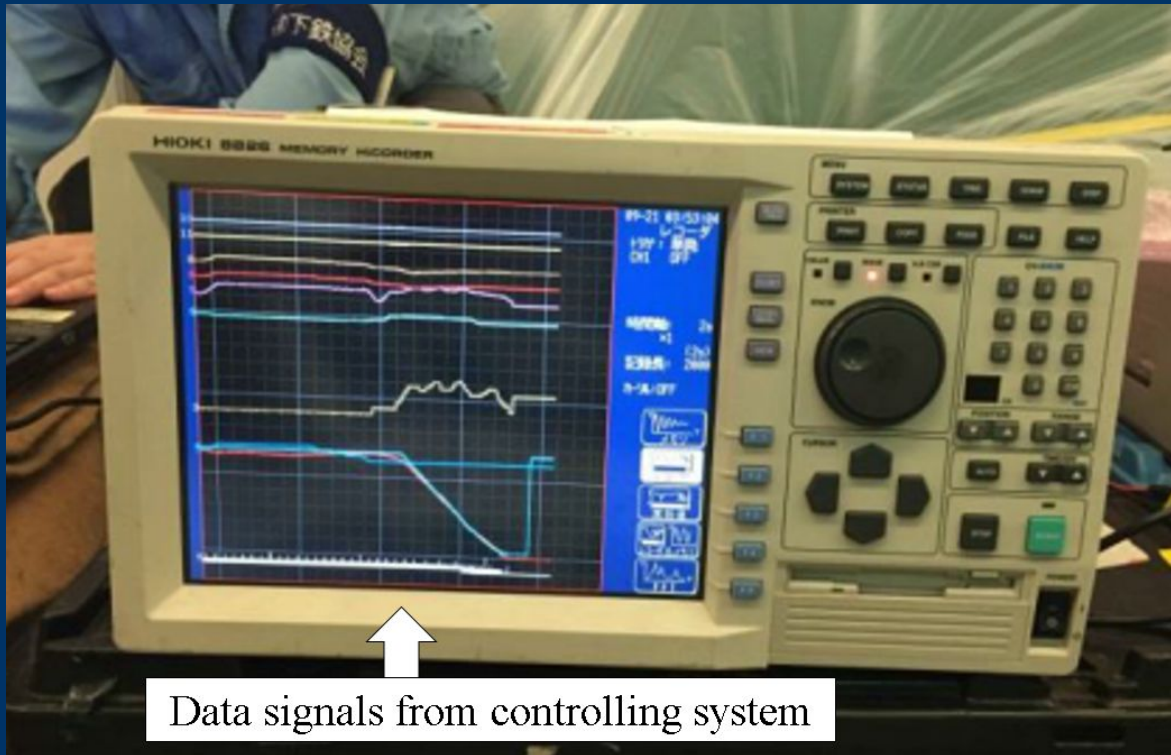
# Vehicle tests in 2015



What to be measured in the vehicle tests?

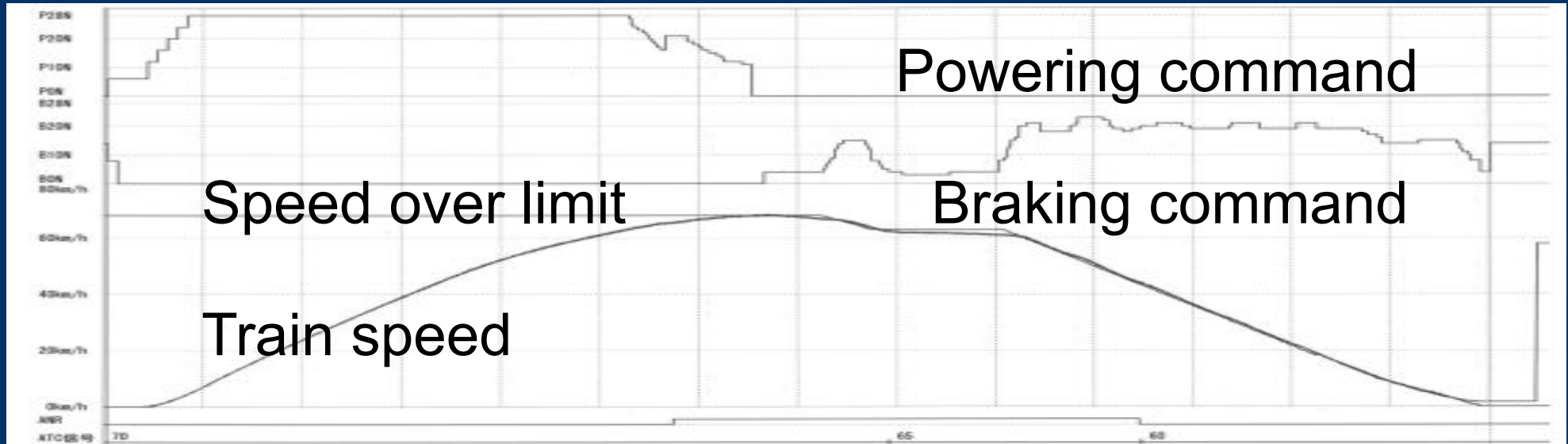
# Vehicle tests in 2015

Dr. Watanabe's works with JSA

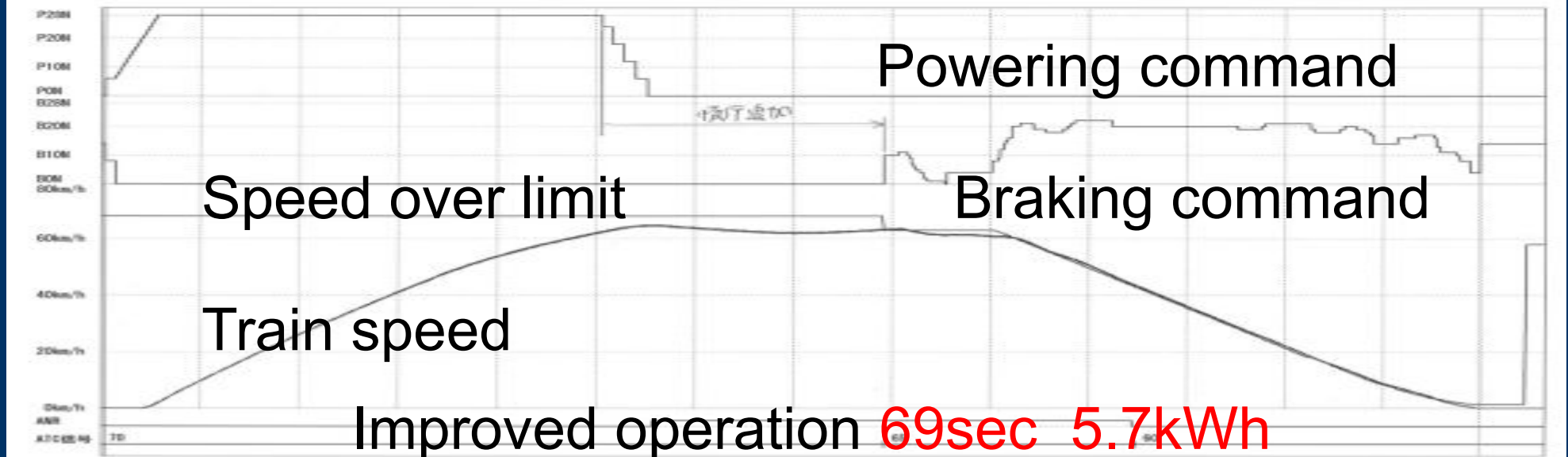




# Example of operation improvement



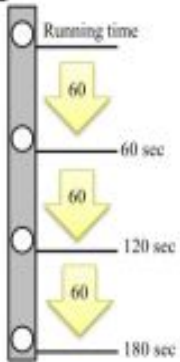
Original operation **69sec 6.5 kWh**



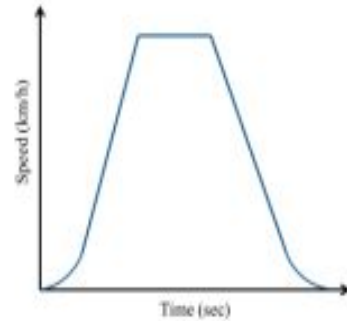
Improved operation **69sec 5.7kWh**

# Steps of operation improvement

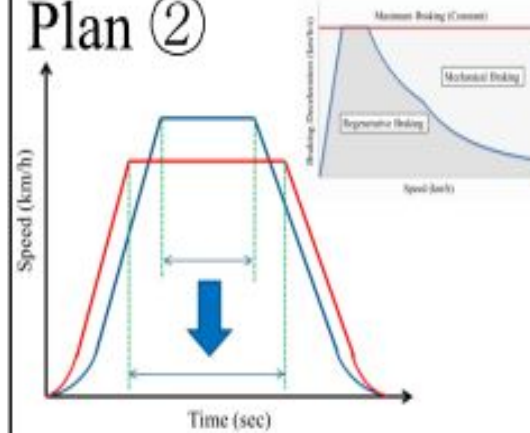
Conventional  
schedule



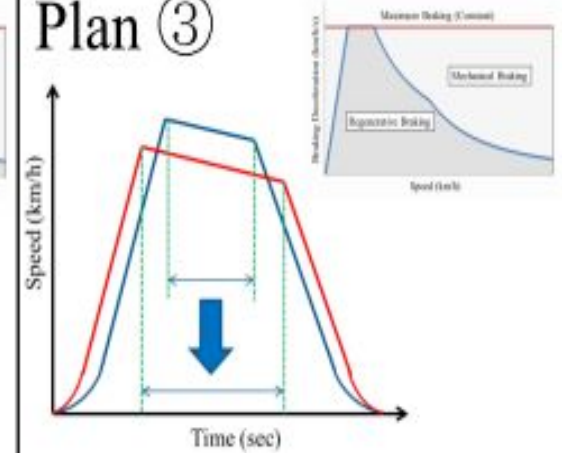
Plan ①



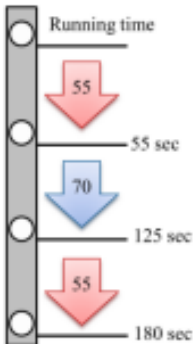
Plan ②



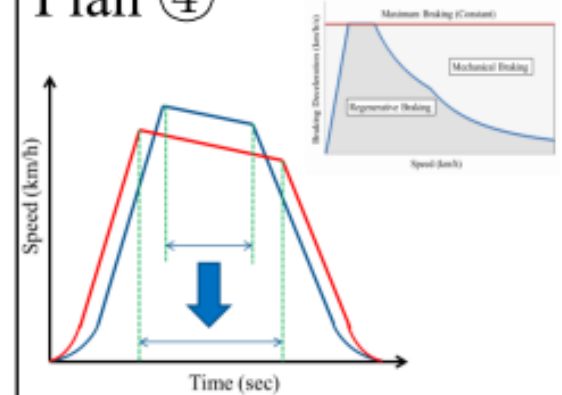
Plan ③



Optimised  
inter-station  
time



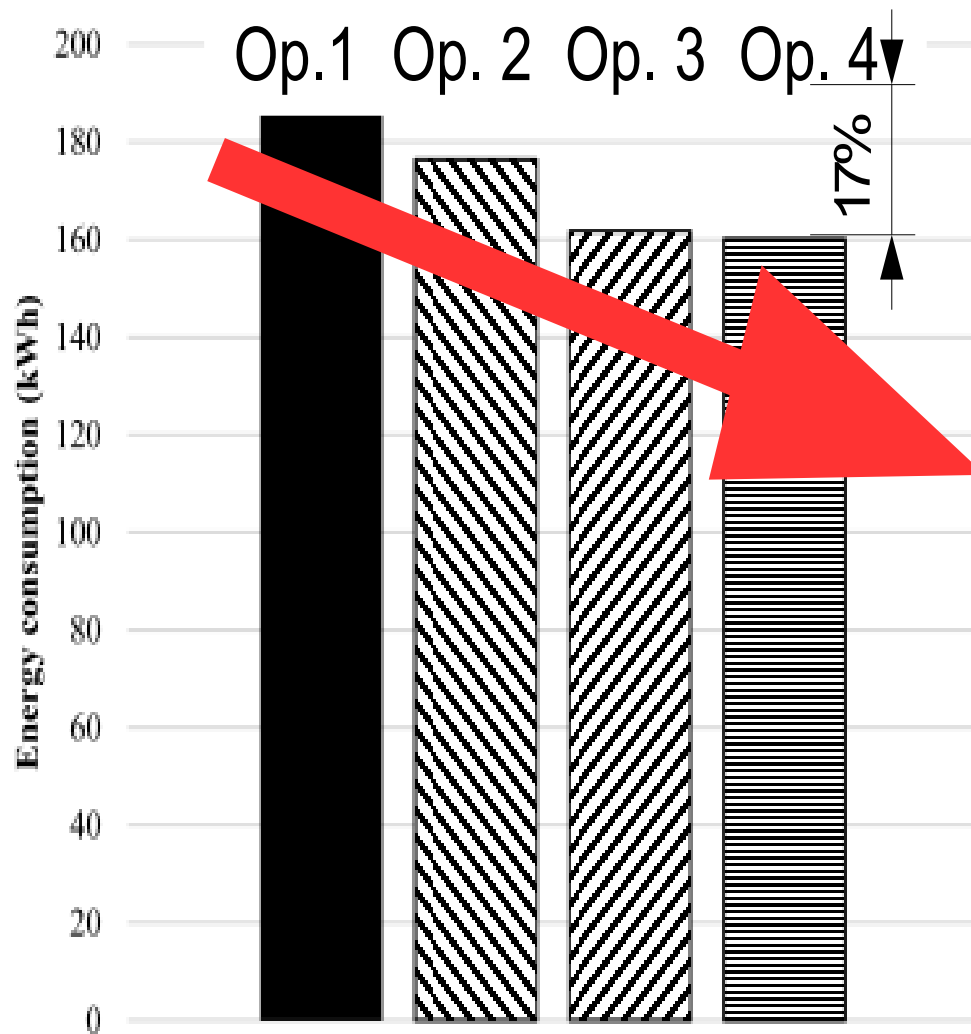
Plan ④



# Examples of **energy-saving effect** at a couple of inter-station sections

	Plan 1		Plan 3		Time difference (sec)	Energy-Saving ratio
	Running time (sec)	Energy Consumption (kWh)	Running time (sec)	Energy Consumption (kWh)		
<b>Section A</b>	58	5.67	58	4.87	$\pm 0$	14.1%
<b>Section B</b>	71	6.18	71	5.41	$\pm 0$	12.5%
<b>Section C</b>	69	6.54	69	5.69	$\pm 0$	13.0%

# Summary of final achievement of our energy-saving efforts



Final comparison among the following four cases:  
Op.1: conventional operation,  
Op. 2: operation with best use of regenerative braking and efficient notch selection supported by time margin "dug-out" by reduction of jerk-control times,  
Op. 3: operation with introduction of possibly long coasting modes additional to Op. 2, and  
Op. 4: operation with inter-station traveling time optimization explained in Fig. 12 additional to Op. 3.

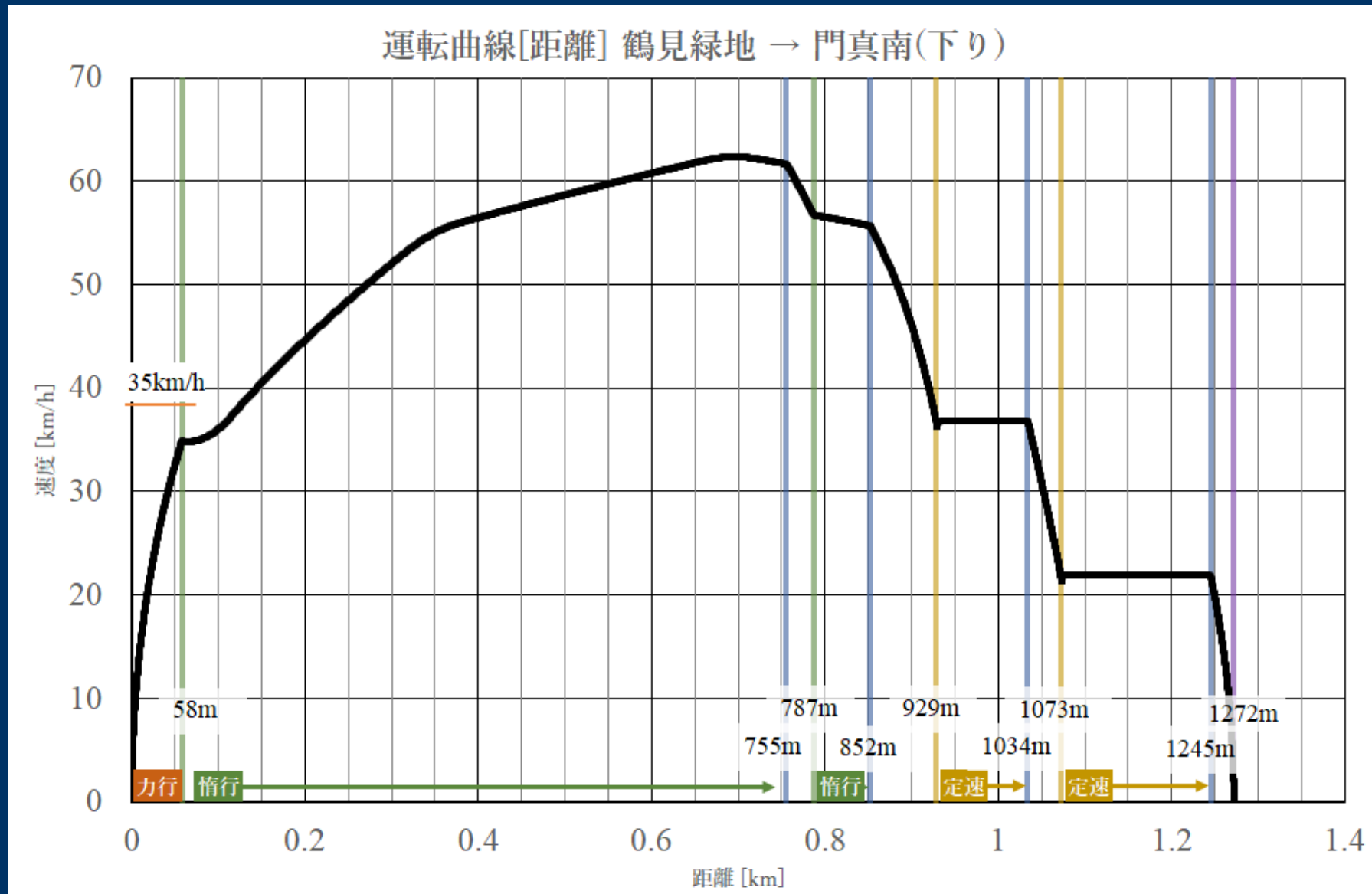
# Conclusions

- 1) Successful challenge to design **energy-efficient ATO for linear metros** by working group at JSA from 2012 through 2015.
- 2) The ATO for the best use of regenerative brakes and coasting at high speed: **Energy-reduction of more than 16%**.
- 3) **Systematic slow-downs for energy-saving**, if slight increase of traveling time were allowed.
- 4) Future work I: More advanced power management using **wayside/onboard energy storage**
- 5) Future work II: Comprehensive **implementation** of this efficient ATO *under working*

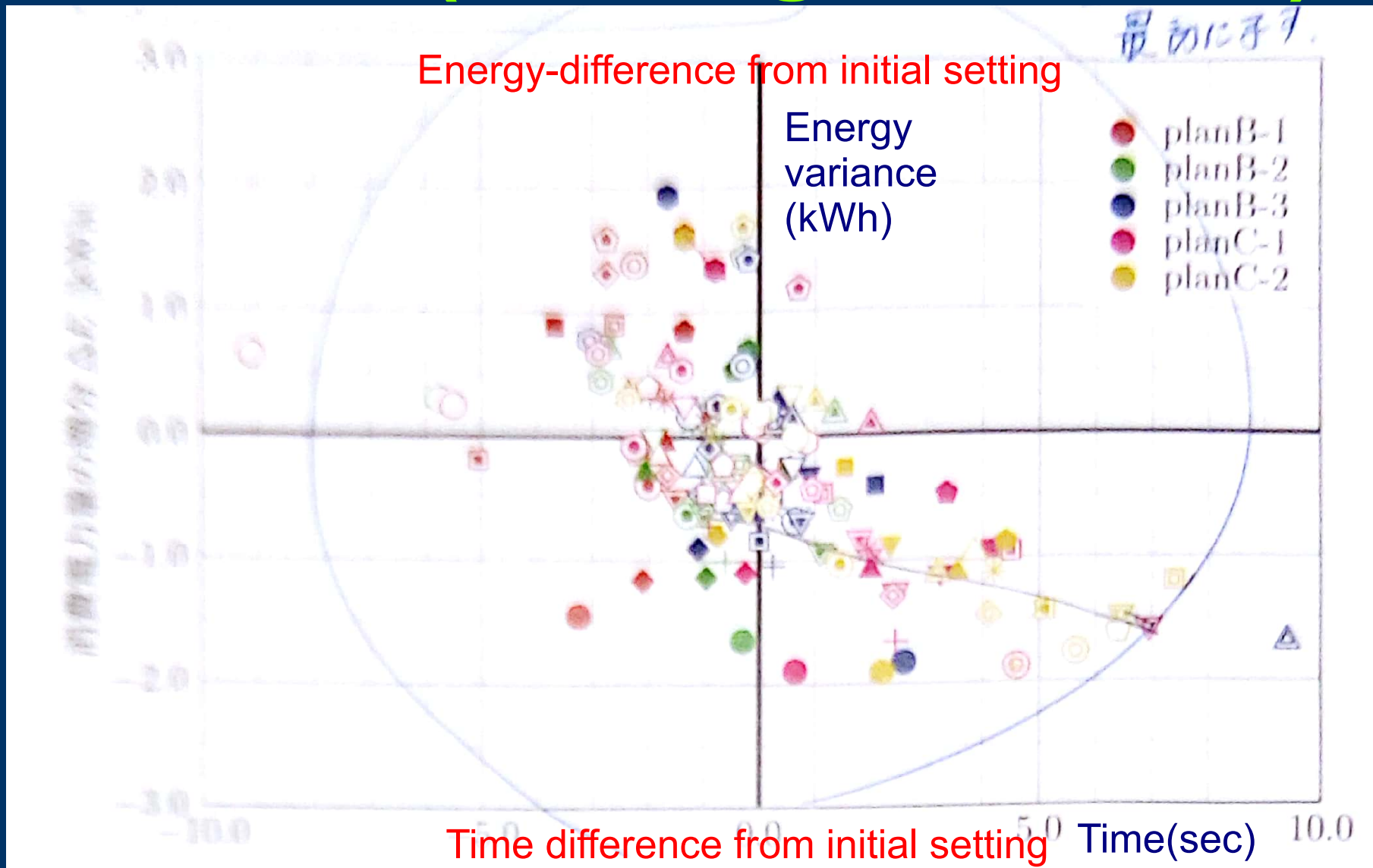
# Appendix: further application in 2017



# Appendix: further application in 2017



# Appendix: further application in 2017 (Working document)





# Appendix: further application in 2017 (Working document)

